

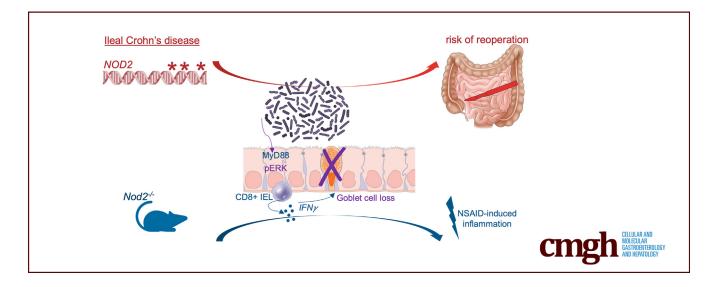
### ORIGINAL RESEARCH

# Goblet Cell Loss Linked to NOD2 and Secondary Resection in Crohn's Disease Is Induced by Dysbiosis and Epithelial MyD88



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#### **SUMMARY**

We show that *NOD2* risk alleles associate with ileal goblet cell loss and increased need for reoperation in patients with Crohn's disease. Mouse models and supporting data from human cohorts indicate that this defect is driven by microbiota dysbiosis and epithelial innate immune signaling.

**BACKGROUND & AIMS:** The role of goblet cells in small intestinal inflammation in Crohn's disease (CD) is unknown. Polymorphisms of *NOD2* confer risk for CD and associate with small intestinal disease location. We previously showed in mice that *Nod2* deficiency leads to overexpansion of *Phocaeicola vulgatus* in the gut and downstream goblet cell defects, which preceded small intestinal inflammation. In this study, we ask whether goblet cell defects occur in patients with CD with

*NOD2* polymorphisms and investigate in mice how *P vulgatus* signals through the intestinal epithelium.

**METHODS:** We performed a retrospective study of patients with CD to assess clinical outcomes and goblet cell histology by *NOD2* status. We evaluated the contribution of microbiota and MyD88 signaling in the intestinal epithelium to goblet cell defects in the setting of *Nod2* deficiency using genetic mouse models and germ-free mice.

**RESULTS:** In patients with CD who have undergone ileocolic resection, NOD2 risk alleles confer a risk for reoperation (odds ratio, 8.12; P=.047) and for increased phosphorylated extracellular signal-regulated kinase and goblet cell defects in uninflamed ileal tissue. We show that patients with CD with ileal involvement harbor P vulgatus regardless of NOD2 risk allele status. We show that intestinal epithelial MyD88 and TLR4 are required for goblet cell defects in  $Nod2^{-/-}$  mice harboring P vulgatus. Finally, we show that P vulgatus requires complex microbiota to exert its effects in Nod2-deficient mice.

**CONCLUSIONS:** Goblet cell defects may be a harbinger of small intestinal inflammation in patients with CD, particularly in the postoperative setting. Our findings in mice show that small intestinal goblet cell loss associated with *Nod2* mutation is induced by microbiome dysbiosis and epithelial MyD88, in part due to TLR4 signaling. (*Cell Mol Gastroenterol Hepatol 2025;* 19:101533; https://doi.org/10.1016/j.jcmgh.2025.101533)

Keywords: Crohn's Disease; Goblet Cells; Intestinal Epithelium; Microbiota Dysbiosis; NOD2; TLR4.

In the gastrointestinal tract, the host intestinal epithelium and overlying mucus layer are in direct juxtaposition with a diverse microbial ecosystem. The host derives myriad benefits from this interaction, including nutrient generation and absorption and resistance to colonization by potential pathogens. Disruption of this homeostasis and intestinal inflammation occurs in inflammatory bowel disease (IBD), including ulcerative colitis (UC) and Crohn's disease (CD). The etiology of IBD is multifactorial, with contributions of host genetic factors, host immune system, environment, and microbiota. 5.6

Compared with studies of inflammation in the colon, studies highlighting pathways to small intestinal inflammation are relatively scarce. This distinction is important because CD and UC are different in both anatomy and behavior: CD includes transmural inflammation anywhere along the gastrointestinal tract, whereas UC is limited to the mucosal layer of the colon. There are also known functional and microbial community differences along the gastrointestinal tract. Thus, understanding pathways to inflammation at different anatomic sites may hold important clues to understanding the etiology of these diseases.

Goblet cells throughout the epithelium secrete mucus that forms a chemical-physical barrier between gut microbes and their hosts. Mucins, the glycoproteins that form this mucus layer, are diminished in patients with UC and CD.<sup>7–11</sup> Goblet cell numbers, normally most abundant in the colon, are depleted in inflamed colonic sections, particularly

affecting patients with UC.<sup>12</sup> Another study using colonoids from patients with UC showed an abnormal phenotype, including failure to secrete mucin in response to agonists.<sup>13</sup> The nature of mucus abnormalities in the colon of patients with CD is less clear, with some studies reporting a lack of goblet cell depletion, consistent with distinct pathogenesis mechanisms underlying IBD subsets.<sup>14,15</sup> Goblet cell numbers and characteristics have not been well-described in small intestinal tissue of patients with CD.

Mutations in NOD2 confer the highest risk for CD and are associated with disease location in the small intestine. 16,17 Whether NOD2 risk alleles are associated with specific disease outcomes has been queried but remains debated. 18 For instance, although a recent meta-analysis solidified NOD2 alleles as risk factors for disease recurrence after surgery, their roles in risk of reoperation remains contested. 18,19 NOD2 encodes an intracellular protein that is activated by muramyl dipeptide derived from peptidoglycan, which is part of the cell wall of both Gram-positive and Gramnegative bacteria. The 3 main NOD2 risk alleles for CD are associated with reduced activation in cell culture assays and mouse models.<sup>20–27</sup> NOD2 functions in both hematopoietic and nonhematopoietic cells and is necessary to control infections by pathogenic microbes.<sup>28,29</sup> Deficient NOD2 function has been linked to microbiota dysbiosis in mice and humans.30-32 This role may be particularly important for understanding IBD, as one proposed mechanism involves a breakdown in tolerance to microbes.

We previously showed that *Nod2* deficiency in mice leads to overexpansion of the commensal *Phocaeicola vulgatus* (formerly *Bacteroides vulgatus*), subsequent goblet cell defects, and susceptibility to inflammation in the small intestine. <sup>33,34</sup> We subsequently demonstrated that helminth infection can protect against this susceptibility via changes to the microbiota that resist *Bacteroidales* (including *Phocaceicola* and *Bacteroides*) colonization that occur in both mice and humans and rely on type 2 immunity. <sup>34</sup> Moreover, the goblet cell defects are dependent upon production of interferon-y (IFNy) from CD8+ intraepithelial lymphocytes

Abbreviations used in this paper: ANOVA, analysis of variance; B6, C57BL/6J; BBE, Bacteroides bile esculin; BHI, brain heart infusion; BM, bone marrow; CD, Crohn's disease; CFU, colony forming unit; DTT, dithiothreitol; EDTA, ethylenediaminetetraacetic acid; FDR, false discovery rate; FFPE, formalin-fixed paraffin-embedded; GF, germfree; H&E, hematoxylin and eosin; HBSS, Hanks' Balanced Salt Solution; IBD, inflammatory bowel disease; IEC, intestinal epithelial cell; IEL, intraepithelial lymphocyte; IFN- y, interferon-y; IHC, immunohistochemistry; IQR, interquartile range; ISMMS, Icahn School of Medicine at Mount Sinai; LPS, lipopolysaccharide; MRS, de Man-Rogosa-Sharpe agar; NSAID, nonsteroidal anti-inflammatory drug; NYU, New York University; PAS, periodic acid-Schiff; PBS, phosphate buffered saline; pERK, phosphorylated extracellular signal-regulated kinase; PMA, phorbol 12-myristate 13-acetate; PYG, peptone yeast extract glucose; SNP, single nucleotide polymorphism; SPF, specific pathogen-free; TLR, toll-like receptor; TSB, tryptic soy broth; UC, ulcerative colitis; WT, wild-type.

Most current article

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(IELs). From bone marrow (BM) chimera experiments, our findings showed that *Nod2* deficiency from BM-derived cells were sufficient to allow for *P vulgatus* colonization. However, in our model the defects ultimately occur in the epithelium, and the contribution of NOD2 to goblet cell defects remains undefined.

In this study, we investigate how defective NOD2 leads to loss of tolerance to *P vulgatus*, resulting in small intestinal goblet cell defects. To address this, we analyzed clinical characteristics and outcomes of a cohort of patients with CD according to NOD2 risk allele status. In a subset of these patients who underwent ileocolic resection, we correlate NOD2 risk allele status with goblet cell defects in uninflamed ileum. In a second patient cohort, we confirm P vulgatus presence in patients with CD with ileal disease. Next, we probe the toll-like receptor (TLR) pathway and cell-types through which P vulgatus initiates pathogenic events in Nod2-deficient mice. We show evidence for phosphorylated extracellular signal-regulated (pERK), one of the downstream mediators of MyD88, in the intestinal epithelium of both mice and patients and confirm a requirement for MyD88 in intestinal epithelial cells (IECs) in cell-type specific knockout mice. We find that deletion of TLR4 abrogates small intestinal defects in this model, thereby suggesting that aberrant MyD88 signaling in the setting of Nod2 deficiency is induced by TLR4 engagement in IECs. Finally, in germ-free mouse experiments, we show that P vulgatus is necessary but not sufficient to induce goblet cell defects in *Nod2*-deficient mice; rather, it requires the presence of commensals.

#### Results

#### NOD2 Risk Alleles Are Associated With Fistulizing Disease and Reoperation in Patients With Crohn's Disease

Of 106 patients who underwent genotyping for the 3 major CD-associated *NOD2* risk alleles at the Icahn School of Medicine at Mount Sinai (ISMMS), 61 harbored no risk alleles and 45 carried 1 or 2 risk alleles (Table 1). Among the cases, 34 individuals had 1 risk allele, and 11 had 2 risk alleles, including 7 homozygotes and 4 compound heterozygotes. We performed retrospective chart review of these patients. Demographic and disease-related characteristics are shown in Table 2.

Univariate analysis revealed that cases and controls were similar for all baseline characteristics except for age at diagnosis and disease phenotype. Patients carrying NOD2 risk alleles were younger at diagnosis (median age of 22 [interquartile range (IQR) 11] vs 27 [IQR, 18] years; P=.048) and more likely to have penetrating disease (46.7% vs 16.4%; P=.003). No differences were observed in remaining demographics when comparing patients with or without NOD2 risk alleles (P>.05 for all characteristics) (Table 2).

Analysis of disease outcomes showed that patients with CD carrying NOD2 risk alleles were more likely to require biologics or small molecules during disease course (73.3% vs 52.5%; P = .043). The rates of abdominal surgery for CD were not different between NOD2 risk allele carriers and

**Table 1.**Distribution of *NOD2* Risk Alleles in the ISMMS Patient Cohort

Genotype	Patients, n (%) <sup>a</sup>
No risk allele	61 (57.5)
Single risk allele G908R.rs2066845 R702W.rs2066844 X1007fs.rs5743293	18 (17.0) 5 (4.7) 11 (10.4)
Two risk alleles - homozygotes G908R.rs2066845 R702W.rs2066844 X1007fs.rs5743293	3 (2.8) 2 (1.9) 2 (1.9)
Two risk alleles - compound heterozygotes G908R.rs2066845 * R702W.rs2066844 G908R.rs2066845 * X1007fs.rs5743293	1 (0.9) 3 (2.8)

ISMMS, Icahn School of Medicine at Mount Sinai. 
<sup>a</sup>Percentage of the entire cohort (n=106)

non-risk allele *NOD2* patients (66.7% vs 75.4%; P=.187) (Table 2). However, individuals carrying *NOD2* risk alleles were more likely to have negative postoperative disease outcomes, including recurrence of disease (55.6% vs 42.5%; P=.008), need for postoperative advanced therapy (42.2% vs 29.5%; P=.026), reoperation (40% vs 23%; P=.01), and hospitalization (42.2% vs 29.5%; P=.041) (Table 2). No statistical differences were identified in patients with 1 or 2 risk alleles (P>.05) (Supplementary Table 1). On multivariate analysis and after adjustment for age and disease duration, only the odds ratio (OR) for need for reoperation remained significant (OR, 6.78; 95% confidence interval [CI], 1.05-26.12; P=.048) (Supplementary Table 2).

#### NOD2 Risk Allele Status Is Associated With Goblet Cell Defects in Noninflamed Ileal Sections From Patients With Crohn's Disease

We previously showed that *Nod2*-deficient mice display a reduction in number and staining intensity by periodic acid-Schiff (PAS)-Alcian blue of goblet cells in small intestinal villi but not crypts.  $^{33,34}$  These findings were confirmed by transmission electron microscopy demonstrating fewer mucin granules per goblet cell and gene expression analysis showing a decrease in Muc2 expression in *Nod2*-deficient mice.  $^{33,34}$  We therefore asked whether similar goblet cell abnormalities could be found in patients with defective *NOD2* genes.

We focused on patients with ileal CD who underwent ileocolic resection due to the availability of tissue sections from the surgery that facilitate quantification of goblet cells in well-oriented villi and crypts from the intestinal region of interest. We identified 9 patients with 0 *NOD2* risk alleles, 5 patients with 1 *NOD2* risk allele, and 8 patients with 2 *NOD2* risk alleles for whom formalin-fixed ileocecal resection specimens were available (Figure 1A). The patients in the single *NOD2* risk allele group included patients heterozygous for L1007fs. As goblet cell defects in *Nod2*-deficient mice occur in the absence of overt inflammation, we evaluated normal ileal tissue from the negative or minimally

Table 2. Demographic and Clinical Characteristics of a Single-center Cohort of Patients With CD by NOD2 Risk Allele Status

	WT <i>NOD2</i> (n = 61)	NOD2 risk allele carrier (n = 45)	P-value (univariate)
Age at genotyping, y	51.0 (24.5)	47.5 (25.5)	.902
Age at diagnosis, y	27.0 (18.0)	22.0 (11.0)	.048
Sex at birth - female	30 (49.2)	23 (51.1)	.556
Race White Black Asian	58 (95.1) 2 (3.3) 1 (1.6)	43 (95.6) 1 (2.2) 1 (2.2)	.309
BMI, kg/m <sup>2</sup>	24.0 (7.5)	23.0 (5.0)	.357
Smoking status Current Former Never	3 (4.9) 12 (16.7) 46 (75.4)	2 (4.4) 9 (20.0) 34 (75.6)	.496
IBD family history (FDR)	10 (16.4)	11 (24.4)	.109
Disease duration, y	18.0 (13.0)	24.0 (17.5)	.070
Montreal classification A1 A2 A3 L1	10 (16.4) 42 (68.9) 9 (14.8) 21 (34.4)	13 (28.9) 26 (57.8) 6 (13.3) 23 (51.1)	.302 .127
L2 L3 Perianal B1 B2 B3	10 (16.4) 30 (49.2) 14 (23.0) 21 (34.4) 30 (49.2) 10 (16.4)	3 (6.7) 19 (42.2) 15 (33.3) 11 (24.4) 13 (28.9) 21 (46.7)	.302 .003
Medication history Corticosteroids Immunomodulators Advanced therapy <sup>a</sup> More than 2 advanced therapies	52 (85.2) 29 (47.5) 32 (52.5) 5 (8.2)	37 (82.2) 26 (57.8) 33 (73.3) 7 (15.5)	.909 .215 .043 .129
Surgery - yes Ileocolic resection Small bowel resection Segmental colectomy Proctocolectomy Time since diagnosis, y	47 (75.4) 35 (57.4) 5 (8.2) 3 (6.6) 2 (3.3) 6.5 (10.5)	30 (66.7) 24 (53.3) 4 (8.9) 0 (0.0) 1 (2.2) 8.0 (9.0)	.187 .334 .353
Postoperative outcomes Postoperative recurrence <sup>b</sup> Need for advanced therapy after surgery Reoperation Hospitalization	26 (42.6) 18 (29.5) 14 (23.0) 18 (29.5)	25 (55.6) 19 (42.2) 18 (40.0) 19 (42.2)	.008 .026 .010 .041

Note: Data are presented as number (%) or median (interquartile range).

inflamed margins of the resections using PAS-Alcian blue staining to highlight the goblet cells (Figure 1*B*). The overall total goblet cell numbers per villus were not significantly different between groups.

However, there were fewer normal goblet cells, defined by staining intensity, in patients harboring NOD2 risk alleles, with 2 risk alleles having a greater effect than 1 (Figure 1B and E). Indeed, the ratio of abnormal goblet cells with decreased staining intensity to normal goblet cells increased proportionally compared with the number of NOD2 risk alleles (Figure 1F). In comparison, patients with no risk alleles and non-IBD controls who had undergone ileocecal

resection for colon cancer showed no difference in abnormal goblet cell ratios, although the number of normal goblet cells was lower in all patients with CD compared with controls (Figure 1C and F). To verify results obtained with PAS-Alcian blue, we stained the same sections for MUC2 by immunohistochemistry (IHC) (Figure 1C). Although there were no overall differences in total numbers of MUC2+ cells, the ratio of abnormal to normal goblet cells was significantly greater in patients carrying 2 NOD2 risk alleles (Figure 1G-I). These data suggest that mutations in NOD2 are associated with similar goblet cell defects in both humans and mice.

FDR, first-degree relative; IBD, inflammatory bowel disease; WT, wild-type.

<sup>&</sup>lt;sup>a</sup>Infliximab, adalimumab, certolizumab, vedolizumab, ustekinumab, rizankizumab, filgotinib, upadacitinib.

<sup>&</sup>lt;sup>b</sup>Postoperative recurrence was defined using endoscopy (Rutgeerts score ≥i2 for patients with ileocecal resection) or imaging studies (active inflammation in computed tomography or magnetic resonance, as defined in the Methodology section).

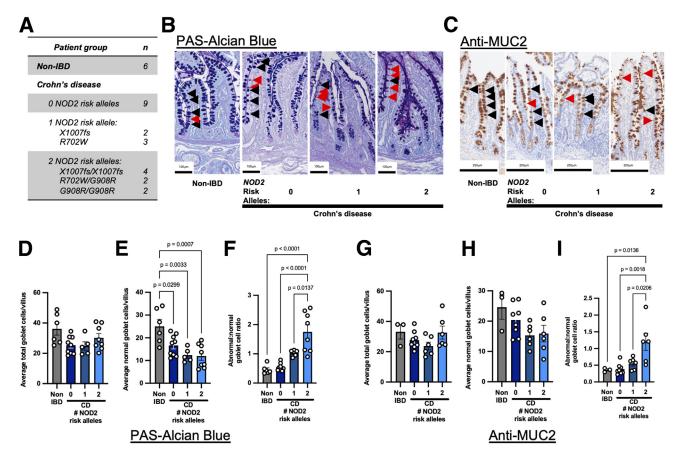


Figure 1. Patients with CD with NOD2 risk alleles display goblet cell abnormalities in the ileum. (A) Table of patient groups used for this study. Distribution of NOD2 risk alleles amongst patients with CD: X1007fs (rs5743293), R702W (rs2066844), and G908R (rs2066845). (B) Representative PAS-Alcian Blue staining of terminal ileum sections from non-IBD patients who underwent resection for right-sided colon cancer and non-diseased margins of ileocolic resections from patients with CD with 0, 1, or 2 NOD2 risk alleles. Normal goblet cells containing mucin stain dark blue (examples indicated by black arrowheads). Abnormal goblet cells (examples indicated by red arrowheads) were defined by reduced staining intensity by PAS-Alcian blue. Images were taken at 12× magnification. (C) Representative MUC2 staining of sections from the same patients by risk allele status. Normal goblet cells containing mucin stain homoegenously dark brown (examples indicated by black arrowheads). Abnormal goblet cells (examples indicated by red arrowheads) were defined by reduced staining intensity by anti-MUC2 antibody. Images were taken at 10× magnification. (D-F) Average total number of goblet cells counted per villus, and ratio of abnormal to normal goblet cells counted per villus, and ratio of abnormal to normal goblet cells counted per villus, and ratio of abnormal to normal goblet cells counted per villus, and ratio of abnormal to normal goblet cells by MUC2 staining. Each dot represents values from one patient. Nine to 75 villi (average, 36 villi) were quantified per patient. Ordinary 1-way ANOVA with testing for multiple comparisons (Tukey) was performed with P-values < .05 shown.

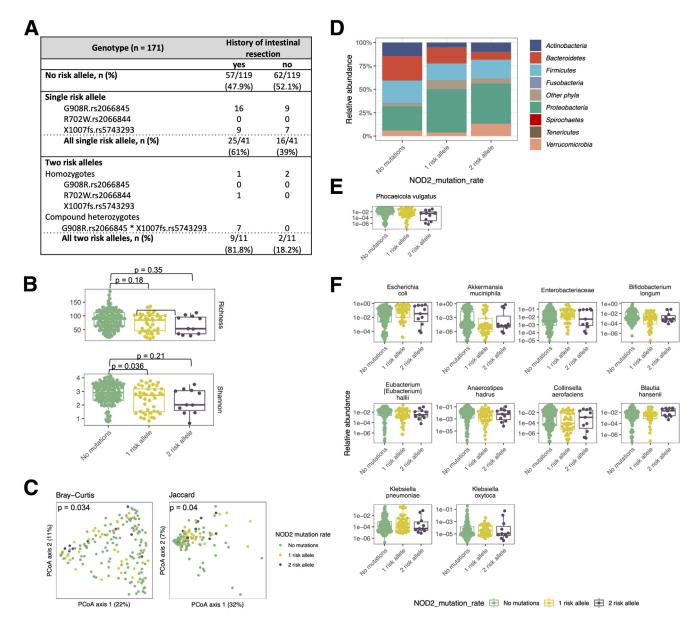
### P vulgatus in Patients With Crohn's Disease by NOD2 Risk Allele Status

Our previous work showed that small intestinal goblet cell defects in *Nod2*-deficient mice were dependent on overexpansion of *P vulgatus*. We asked whether *P vulgatus* is present in patients with Crohn's disease by *NOD2* risk allele status through analysis of data from the Study of a Prospective Adult Research Cohort with Inflammatory Bowel Disease (SPARC IBD) cohort. SPARC IBD is a prospective observational study of patients with IBD throughout the United States, wherein patients provide blood, stool, and intestinal biopsies. Thus, there were available clinical data including surgical history, genetic data, and microbiome data. We evaluated a subcohort of

patients who had ileal involvement by excluding those with colonic disease and assessed NOD2 risk allele status (Figure 2A). There were a total of 171 patients, of whom 119 patients had 0 risk alleles, 51 patients had 1 risk allele, and 11 patients had 2 risk alleles. There were no patients carrying rs2066844. Figure 2A displays patients by NOD2 risk allele status and intestinal resection status. Similar to the ISMMS cohort, 2 risk allele status appeared to be higher risk for surgery than 0 and 1 risk alleles combined (P = .017).

When we assessed fecal microbiome composition, we found a significant difference in alpha diversity by Shannon diversity index for 1 risk allele (Richness P = .18; Shannon P = .036) but not 2 risk alleles (Richness P = .18; Shannon

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**Figure 2. SPARC-IBD cohort association between microbiota and** *NOD2* **risk allele status in patients with CD with ileal involvement.** (*A*) Table of patients with ileal or ileocolonic CD from the SPARC-IBD cohort by NOD2 risk allele status and surgical status. (*B*) Species richness and Shannon diversity index by risk allele status. (*C*) Bray-Curtis and Jaccard plots of samples by risk allele status. (*D*) Relative abundances of fecal microbiota by phyla and according to *NOD2* risk allele status. (*E*) *P vulgatus* abundance by *NOD2* risk allele status. (*F*) Species with increasing abundance by *NOD2* risk allele status. All taxa shown, including *P vulgatus*, are those with >1% mean abundance across samples. Taxa abundances that had zero values were replaced with one-half the minimum value out of all samples for a given taxa.

P=.21). Bray Curtis and Jaccard beta diversity were significantly different among groups by risk allele status (P=.034 and P=.04, respectively) (Figure 2B and C). There was an increase in phyla Proteobacteria and Verrucomicrobia ( $Akkermanisa\ muciniphila$ ) with a decrease in Bacteroidetes, which is a distribution that is similar to that of  $Nod2^{-f}$  mice after nonsteroidal anti-inflammatory drug (NSAID)-induced inflammation (Figure 2D). In mice, P vulgatus abundance is increased at baseline in Nod2-

deficiency but decreases after onset of small intestinal inflammation. In the patient fecal microbiome, P vulgatus was identified as one of 21 taxa with a mean relative abundance of >1% across samples (Figure 2E). However, it was not significantly associated with risk allele status; species with increasing abundance by NOD2 risk allele status are shown in Figure 2F. Thus, we confirm the presence of P vulgatus in the fecal microbiome of patients with ileal CD with and without NOD2 risk alleles.

## Phosphorylated ERK Is Upregulated in Small Intestinal Epithelium of Nod2<sup>-/-</sup> Mice and Patients With NOD2 Risk Alleles

MyD88 is an intracellular signaling adaptor to TLRs, the family of pattern-recognition receptors that sense microbialderived products.<sup>37</sup> Our previous analysis of *Nod2*<sup>-/-</sup>*MyD88*<sup>-/-</sup> mice showed that induction of goblet cell defects by P vulgatus is dependent on MyD88.33 To further link this result to the microbiota, we performed IHC of small intestinal sections from germ-free (GF) Nod2<sup>-/-</sup> mice for pERK as a marker of activation of signaling downstream of MyD88.38-40 Conventional Nod2<sup>-/-</sup> mice displayed an increase in pERKpositive cells within the intestinal epithelium compared with wild-type (WT) mice, especially in the villi where we previously noted the goblet cell defects. In contrast, GF WT and GF Nod2<sup>-/-</sup> mice displayed low or background levels of pERK staining similar to conventional WT mice (Figure 3A and B). To assess whether pERK is activated in patients with CD, we stained ileal sections from the ISMMS patient cohort for pERK. Cells that stained darkly positive for pERK were overall sparse (Figure 3C). Therefore, we assessed the proportion of villi containing any pERK-positive cells and found significantly increased proportions by risk allele status, specifically associated with 2 risk allele carriers (Figure 3D). In contrast to non-IBD and 0 risk allele controls, villi in patients with NOD2 risk alleles frequently displayed multiple pERKpositive cells per villus (Figure 3C). These results indicate that NOD2 mutation is associated with pERK and raise the possibility that induction of MyD88 pathway signaling occurs in the epithelial compartment in response to the microbiota.

### MyD88 in Intestinal Epithelial Cells is Required for Intestinal Abnormalities in Nod2<sup>-/-</sup> Mice

MyD88 signaling in either lymphoid cells or IECs can regulate IFN- $\gamma$  expression and goblet cell function. 41-43 Given the epithelial localization of the pERK staining, we hypothesized that MyD88 functions in the IEC compartment of Nod2<sup>-/-</sup> mice in response to overexpansion of *P vulgatus*. To address this, we generated specific deletion of MyD88 in IECs in Nod2<sup>-/-</sup> mice, specifically Nod2<sup>-/-</sup>; MyD88<sup>f/f</sup>; Villin-cre (Nod2<sup>-/--</sup> MyD88 $^{\Delta IEC}$ ). As we have previously reported, *P vulgatus* expansion leads to diminished goblet cells in small intestinal epithelium by both MUC2 and PAS-Alcian blue staining of  $Nod2^{-/-}$  mice compared with WT mice (Figure 4A and B).<sup>33</sup> However, Nod2<sup>-/-</sup>MyD88<sup>ΔIEC</sup> mice displayed lower levels of IFN-γ producing CD8+ IELs and no goblet cell abnormalities compared with Nod2<sup>-/-</sup>;MyD88<sup>f/f</sup>;Cre-negative (Nod2<sup>-/-</sup>MyD88<sup>f/f</sup>) controls (Figure 4C). We performed pERK staining in these mice. The  $Nod2^{-1}MyD88^{\Delta IEC}$  mice had fewer pERK cells in small intestinal epithelium similar to WT mice (Figure 4D). Thus, Myd88 signaling is required in the IEC compartment to induce intestinal abnormalities observed in *Nod2*<sup>-/-</sup> mice.

## TIr4 Is Required for P Vulgatus-induced Intestinal Abnormalities in Nod2<sup>-/-</sup> Mice

Members of the TLR family, for which MyD88 is the canonical intracellular adaptor, recognize an array of conserved microbial structures; intracellular NOD2 recognizes

peptidoglycan from bacterial cell walls. Negative crosstalk between NOD2 and TLR2/4 in mice and peripheral blood mononuclear cells have been demonstrated in patients with CD.<sup>44–46</sup> NOD2 and TLRs may also functionally interact within the intestinal epithelium because peptidoglycan can be found along the intestinal epithelial cell lining, and TLRs are variably expressed along the intestinal epithelium.<sup>46,47</sup>

As a Gram-negative bacterium, P vulgatus is a source of lipopolysaccharide (LPS) that can activate TLR4. Therefore, we generated Nod2<sup>-/-</sup>Tlr4<sup>-/-</sup> mice. These mice displayed more normal goblet cells compared with Nod2<sup>-/-</sup> mice, and the proportion of IFN-γ producing CD8+ IELs was also restored to WT levels in  $Nod2^{-/-}Tlr4^{-/-}$  mice (Figure 5A and B). Given the findings from the *Nod2*<sup>-/-</sup>*MyD88*<sup> $\Delta$ IEC</sup> mice implicating signaling in IECs, we attempted to generate Nod2<sup>-/-</sup>;Tlr4<sup>f/f</sup>;Villin-cre (Nod2-/Tlr4<sup>ΔIEC</sup>) mice. However, offspring from dihybrid crosses with and without Villin-Cre (Nod2<sup>+/-</sup>Tlr4<sup>f/+</sup>VillinCre- x *Nod2*<sup>+/-</sup>*Tlr4*<sup>f/+</sup>*VillinCre*+) did not follow Mendelian ratios after at least 4 test crosses with multiple normal litter sizes (6-12 pups) yielded 1 Nod2<sup>-/-</sup>Tlr4 $^{\Delta IEC}$  mouse. We also attempted Nod2<sup>+/-</sup>Tlr4<sup>f/f</sup>VillinCre- x Nod2<sup>+/-</sup>Tlr4<sup>f/+</sup>VillinCre+  $Nod2^{+/}Tlr4^{f/f}VillinCre+ \times Nod2^{-/}Tlr4^{f/f}VillinCre-$ , the latter of which yielded 1  $Nod2^{-/}Tlr4^{AIEC}$  mouse. Thus, we were unable to produce sufficient numbers of Nod2-/-Tlr4^\(\text{DIEC}\) mice for breeding or experiments.

As an alternate approach, we generated BM chimeras to separate the contribution of TLR4 in hematopoietic cells from radio-resistant cells, which include IECs. NOD2 is required in the hematopoietic compartment to prevent the expansion of P vulgatus.<sup>33</sup> Thus, we reconstituted irradiated WT and Tlr4<sup>-/-</sup> mice with BM from Nod2<sup>-/-</sup> mice. Because the mice that we used as recipients of the BM were not colonized with P vulgatus at the outset of the experiment, we introduced the bacterium by oral gavage into the chimeric mice. WT and Tlr4<sup>-/-</sup> recipient mice displayed similar stable *P vulgatus* colonization (Figure 5C). Analysis of small intestinal tissue showed reduction of both IFN-y production and goblet cell abnormalities in Tlr4<sup>-/-</sup> mice reconstituted with Nod2<sup>-/-</sup> BM, suggesting that TLR4 signaling in non-hematopoietic radioresistant cells is necessary for P vulgatus to induce small intestinal defects in  $Nod2^{-/-}$  mice (Figure 5A and B).

TLR4 levels are very low in the mouse small intestinal epithelium compared with the colonic epithelium, yet the effects of the gut microbiota we find in  $Nod2^{-/-}$  mice occur in the small intestine. To assess the expression of Tlr4 in IECs of  $Nod2^{-/-}$  mice, we harvested Epcam+CD45- IECs from the small intestine and colon of these mice. Colonic tissue of WT and  $Nod2^{-/-}$  mice showed the highest expression of Tlr4 by qPCR compared with lower levels in the distal small intestine and little to no expression in the proximal and mid small intestine (Figure 5D). These data confirm that TLR4 is present in small quantity in the affected distal small intestine and more abundant in the colon of  $Nod2^{-/-}$  mice.

### TIr4 and MyD88 Mediate Susceptibility to Small Intestinal Inflammation in Nod2<sup>-/-</sup> Mice

In the presence of *P vulgatus, Nod2*- $^{-/-}$  mice are susceptible to small intestinal injury by the NSAID piroxicam.<sup>33</sup>

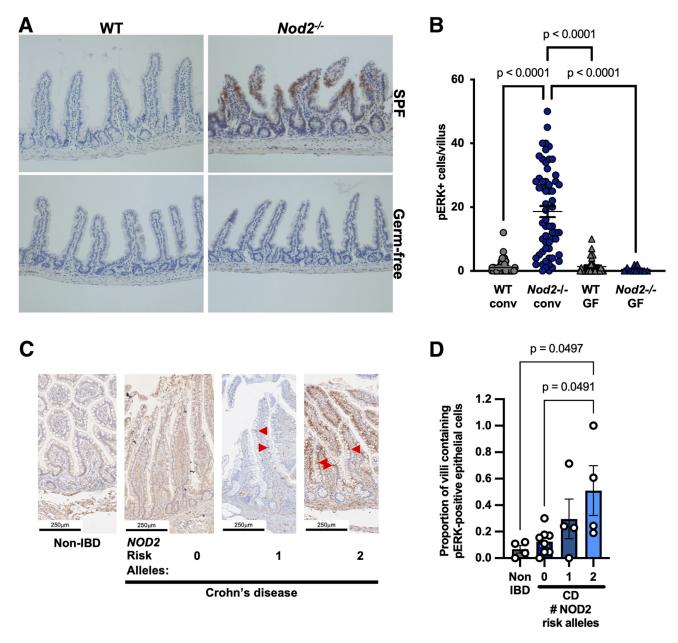


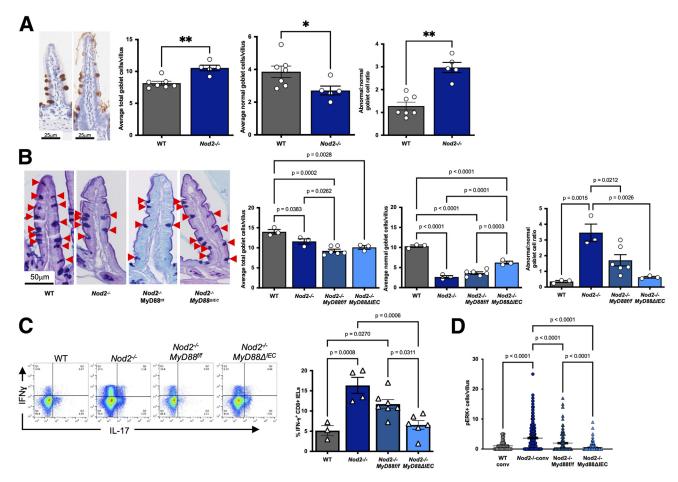
Figure 3. NOD2 mutation is associated with increases in epithelial pERK in the ileum. (A) Representative ileal sections stained with antibodies against pERK from conventional WT and  $Nod2^{-/-}$  mice and GF WT and  $Nod2^{-/-}$  mice. (B) Quantification of the number of pERK-positive epithelial cells per villus. Ten to 76 villi were quantified per condition. (C) Representative ileal sections stained with antibodies against pERK from non-IBD patients who underwent resection for right-sided colon cancer and non-diseased margins of ileocolic resections from patients with CD with 0, 1, or 2 NOD2 risk alleles. (D) Quantification of the proportion of villi containing any pERK-positive cells in patients. N = 331, 758, 478, and 367 villi were examined in non-IBD patients and patients with CD with 0, 1, or 2 NOD2 risks alleles, respectively. Ordinary 1-way ANOVA with testing for multiple comparisons (Tukey) was performed with P-values < .05 shown. Conv = conventional.

Ileal sections from piroxicam-treated *Nod2*-/-*MyD88*<sup>f/f</sup> and *Nod2*-/- mice showed transmural inflammation. In contrast, ileal sections from *Nod2*-/-*MyD88*<sup>ΔIEC</sup> mice and *Nod2*-/-*Tlr4*-/- mice had significantly lower pathology scores and no sections with transmural inflammation, demonstrating that piroxicam-induced intestinal injury is abrogated by loss of TLR4 and loss of MyD88 (Figure 5E and F). This suggests that the increased susceptibility to small intestinal inflammation observed in *Nod2*-/- mice is dependent on epithelial

Tlr4/MyD88 signaling induced by the expansion of *P vulgatus*.

## P vulgatus Is Necessary But Not Sufficient to Induce Small Intestinal Abnormalities in Nod2<sup>-/-</sup> Mice

Nod2<sup>-/-</sup> mice purchased from a vendor do not harbor *P vulgatus*. <sup>1,34,48</sup> We bred and maintained these mice



( $Nod2^{-/-}$ Jax) in a separate room to avoid contact with P *vulgatus*-colonized mice. After confirming absence of colonization (Figure 6E), we found that they displayed similar numbers of morphologically normal goblet cells per villus and IFN- $\gamma$  production by CD8+ IELs as WT mice (Figure 6A-D). Following oral gavage with P *vulgatus*, we found that  $Nod2^{-/-}$  Jax mice became readily colonized and displayed abnormalities similar to  $Nod2^{-/-}$  mice previously raised in our institutional vivarium (Figure 6A-E). Thus, P *vulgatus* is necessary for small intestinal abnormalities to occur in  $Nod2^{-/-}$  mice.

Next, we investigated whether *P vulgatus* colonization is sufficient to induce abnormalities in *Nod2*-deficient hosts. At

baseline, small intestinal tissue from GF  $Nod2^{-/-}$  mice appeared similar to GF WT controls when comparing morphology, goblet cells, and IFN- $\gamma$  production by CD8+ IELs. Upon oral gavage with P vulgatus, GF  $Nod2^{-/-}$  mice remained stably mono-colonized; yet, we did not detect small intestinal abnormalities in either GF  $Nod2^{-/-}$  or GF WT mice, including goblet cell defects and IFN- $\gamma$  production by CD8+ IELs (Figure 6F-J). Thus, P vulgatus is necessary but not sufficient for these defects to occur in  $Nod2^{-/-}$  mice.

To test whether other commensals are required for induction of defects via *P vulgatus*, we introduced both *P vulgatus* and stool from conventional *Nod2*<sup>-/-</sup> mice into GF *Nod2*<sup>-/-</sup> mice. This combination was able to induce increased

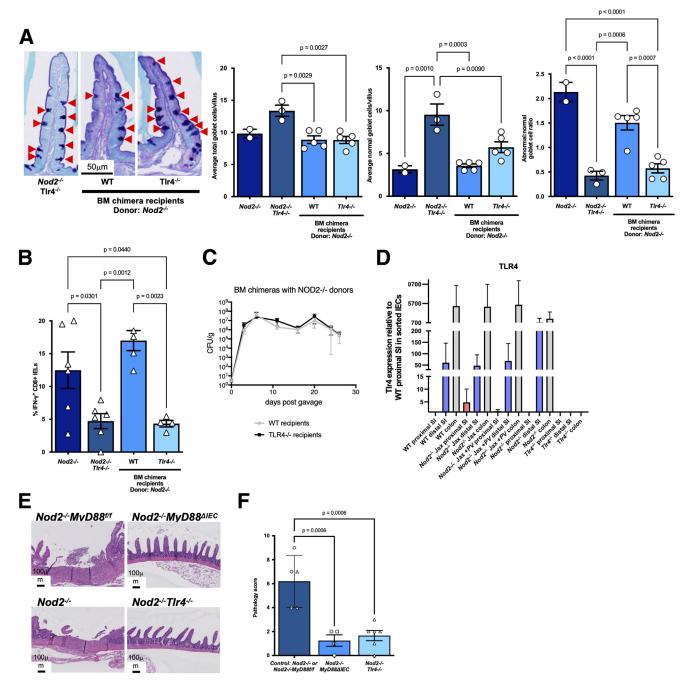


Figure 5. TLR4/MyD88 signaling in the intestinal epithelium is required for inflammatory defects in *Nod2*<sup>-/-</sup> mice. (A) Representative PAS-Alcian blue staining of ileal sections from *Nod2*<sup>-/-</sup> mice and BM chimeras (donors: *Nod2*<sup>-/-</sup> mice, recipients: WT and *Tlr4*<sup>-/-</sup>). *Red arrowheads* denote goblet cells. Quantification of the average total number of goblet cells counted per villus, the number of normal goblet cells per villus, and the ratio of abnormal to normal goblet cells in *Nod2*<sup>-/-</sup>, *Nod2*<sup>-/-</sup> mice and in BM chimeras (donors: *Nod2*<sup>-/-</sup> mice, recipients: WT and *Tlr4*<sup>-/-</sup>). (*B*) Quantification of the percentage of CD8+ IELs expressing IFNy in *Nod2*<sup>-/-</sup> and *Nod2*<sup>-/-</sup> mice and in BM chimeras (donors: *Nod2*<sup>-/-</sup> mice, recipients: WT and *Tlr4*<sup>-/-</sup>) after stimulation with PMA and ionomycin. (C) CFUs of *P vulgatus* per milligram (mg) of stool collected over time in stool of WT and *Tlr4*<sup>-/-</sup> mice gavaged with *P vulgatus*. (D) Relative expression of *Tlr4* by qPCR in IECs sorted from proximal and distal small intestine and colon in WT, *Nod2*<sup>-/-</sup> Jax, *Nod2*<sup>-/-</sup> Jax gavaged with *P vulgatus* (PV), and *Tlr4*<sup>-/-</sup> mice are shown. Expression is shown relative to WT proximal small intestine (WT SI P). (E) Representative H&E-stained ileal sections from *Nod2*<sup>-/-</sup> *MyD88*<sup>dIEC</sup>, *Nod2*<sup>-/-</sup>, and *Nod2*-/- rand *Nod2*-/- treated with piroxicam. Sections from *Nod2*-/- mice ach showed several foci of loss of epithelium in a skipping fashion with mixed acute and chronic inflammation through the intestinal wall and thickening of the muscularis layer. Sections from *Nod2*-/- MyD88<sup>dIEC</sup> and *Nod2*-/- Tlr4-/- mice showed no overt inflammation throughout. (F) Quantification of small intestinal pathology in mice treated with piroxicam; controls are marked as follows *Nod2*-/- (clear circles) and *Nod2*-/- MyD88<sup>fIf</sup> (clear triangles). Representative data from at least 2 independent experiments with n = 3–7 mice/group are shown. At least 25 villi per mouse were quantified for *A*. Ordinary 1-way A

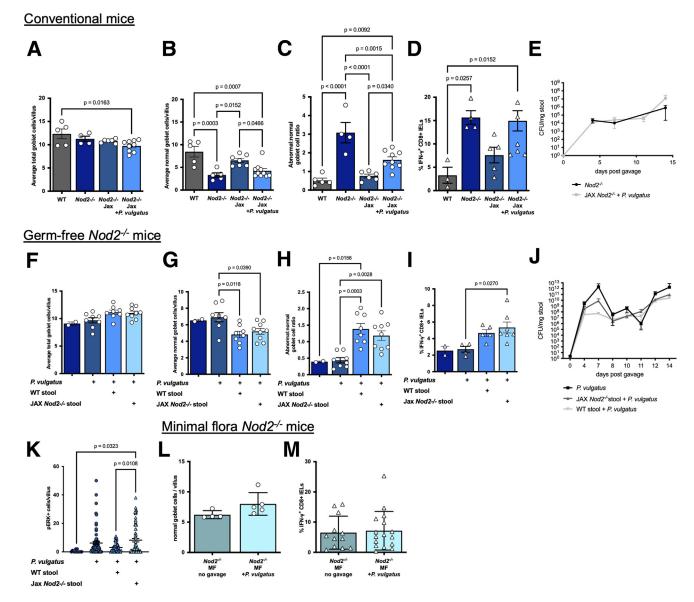


Figure 6. *P vulgatus* is necessary but not sufficient to exert changes in small intestine. (A–C) Quantification of the number of total goblet cells per villus, normal goblet cells per villus, and ratio of abnormal to normal goblet cells in WT,  $Nod2^{-/-}$ ,  $Nod2^{-/-}$  Jax, and  $Nod2^{-/-}$  Jax gavaged with *P vulgatus* mice housed under conventional SPF conditions. (D) Quantification of the percentage of CD8+ IELs expressing IFN $\gamma$  in WT,  $Nod2^{-/-}$ ,  $Nod2^{-/-}$  Jax, and  $Nod2^{-/-}$  Jax gavaged with *P vulgatus* mice. (E) CFUs of *P vulgatus* per mg of stool collected over time in stool of  $Nod2^{-/-}$  and  $Nod2^{-/-}$  Jax gavaged with *P vulgatus*. (E–E) Quantification of the number of total goblet cells per villus, normal goblet cells per villus, and ratio of abnormal to normal goblet cells in GF  $Nod2^{-/-}$  mice gavaged with PBS, *P vulgatus*, WT stool and *P vulgatus*, and  $Nod2^{-/-}$  Jax stool and *P vulgatus*. (E) Quantification of the percentage of CD8+ IELs expressing IFN $\gamma$  in germ-free  $Nod2^{-/-}$  mice gavaged with PBS, *P vulgatus*, WT stool and *P vulgatus* per mg of stool collected over time in stool of GF  $Nod2^{-/-}$  mice gavaged with *P vulgatus*, WT stool and *P vulgatus*, and  $Nod2^{-/-}$  Jax stool and *P vulgatus*. (E) Quantification of the number of percentage of CD8+ IELs expressing IFN $\gamma$  in E0 in E1 in E1 in E2 in E3 in E3 in E4 in E5 in E5 in E5 in E6 in E7. MF gavaged with PBS and E7 in E8 in E9 in E9

IFN- $\gamma$  production by CD8+ IELs and goblet cell defects (Figure 6*F*-*f*). Stool from conventional WT mice resulted in similar abnormalities, indicating that the required microorganisms can also be found in the conventional setting of WT stool (Figure 6*F*-*f*). Additionally, we found an increase

in pERK staining in the small intestinal epithelium of the GF  $Nod2^{-/-}$  mice reconstituted with stool and P vulgatus similar to that of the conventional  $Nod2^{-/-}$  mice (Figure 6K). To further define the requirement of the microbiota, we examined whether intestinal defects can be restored in the

presence of the Oligo-MM12 + FA3 minimal flora, a simplified synthetic microbiota that consists of 15 bacteria species designed to represent dominant phyla in mice. GF WT and GF  $Nod2^{-/-}$  mice that were colonized with Oligo-MM12 +FA3<sup>51</sup> did not display any intestinal abnormalities when gavaged with P vulgatus (Figure 6L and M). Thus, additional members of the microbiota are required for P vulgatus mediated defects in  $Nod2^{-/-}$  mice.

#### **Discussion**

Previous studies identified goblet cell defects as a disease feature that distinguishes UC from CD, but these observations focused on colonic goblet cells.  $^{12,13}$  Our findings link NOD2 mutations to goblet cell defects in noninflamed ileal tissue that may be a risk factor for disease recurrence and reoperation in patients with CD requiring ileocolic resection. In mouse studies, we show a requirement for complex microbiota in promoting P vulgatus-associated effects downstream of signaling through intestinal epithelial MyD88 and TLR4.

Analysis of our cohort revealed that patients harboring NOD2 risk alleles were diagnosed at a younger age, as others have reported. Although we did not find a relationship with disease location  $per\ se$ , in this small sample size, the trend was in the expected direction. In addition, these patients tended towards having penetrating disease, for which there are conflicting data in the literature. No differences were observed regarding perianal disease, which is in line with prior evidence.  $^{55,56}$ 

Patients with NOD2 mutations had higher proportions of adverse postoperative disease outcomes. On multivariate analysis, we found a higher risk of subsequent reoperation after initial intestinal resection. This finding echoes data from previous studies, which showed that NOD2 variants are associated with not only the risk of small bowel resections but also a higher risk of postoperative recurrence of disease after resection, shorter disease-free survival after surgery, higher risk of a second surgery, and shorter interval to next operation. 19,52,57-62 Similarly, a correlation between NOD2 risk allele carriage and history of intestinal resection was found in the SPARC IBD cohort, providing further validation. Thus, although our study was not powered to dissect contribution of individual alleles as previously reported. 55,63,64 our results are in line with other work showing contribution of NOD2 risk alleles to disease outcome risks in patients with CD.

*P vulgatus* and its byproducts are associated with IBD in humans and animal models. <sup>33,34,65-76</sup> However, *P vulgatus* is not always enriched in patient specimens, and there is no consensus on its role in IBD pathogenesis. Although we confirmed the presence of *P vulgatus* in patients with CD who have ileal disease, it was not increased in individuals with *NOD2* risk alleles. Although this may appear contradictory to our findings in mice, there are several limitations that may explain this finding. Our previous work showed that *P vulgatus* abundance is increased in mice in the absence of inflammation, and, in fact, it decreases after the onset of small intestinal inflammation. <sup>33</sup> In the SPARC IBD cohort, the distribution of abundances by phyla suggest

similarity to mice after inflammation. Expansion of proteo-bacteria may contribute to the decreased abundance of other important taxa. In addition, the number of individuals with 2 *NOD2* risk alleles was small, and we were not able to control for small intestinal inflammation status at the time when patients submitted their fecal samples. Thus, some patients may have had small intestinal inflammation and others not, possibly supported by the apparent bimodal distribution of abundance of *P vulgatus* (Figure 2*E*).

Another possibility is that the *P vulgatus* association with *NOD2* is not exclusive. In this context, it is notable that *P vulgatus* required the presence of additional commensal bacteria to exert adverse effects in the *Nod2* knockout mouse model. Certainly, cooperation between species has previously been shown to exert consequences.<sup>77</sup> If multiple species are required, then the presence or absence of one species such as *P vulgatus* may not distinguish patients with IBD from controls in a given study.

Precisely how *P vulgatus* interacts with other commensals to induce TLR-MyD88 signaling, particularly via TLR4, is unknown in our model. *P vulgatus* is one of numerous Gram-negative species. One hypothesis is that cooperation between commensals allows for *P vulgatus* or other Gramnegative species to reach TLRs in the intestinal epithelium. This could occur through degradation of the mucin layer, effectively removing the barrier, or by altering the mucosal microbial niche. Indeed, we previously showed that the mucus-consuming *Akkermansia* is increased in Nod2<sup>-/-</sup> mice colonized with *P vulgatus* and could contribute to mucus degradation in the setting of *P vulgatus* expansion.<sup>33</sup>

Moreover, TLR-Myd88 signaling has been shown to promote antimicrobial gene expression. In our previous work, we showed that REG3 and other antimicrobial proteins are upregulated in Nod2-deficient mice in the setting of *P vulgatus*-induced dysbiosis.<sup>33</sup> Although REG3 family members are required for barrier protection, we have also previously shown that overproduction can lead to dysbiosis, with reduction of protective species and subsequent propensity towards inflammation.<sup>20</sup> Thus, *P vulgatus* and other commensals signaling through TLR-Myd88 might generate a feedback loop through antimicrobial gene expression that allow other species to expand or to breach the barrier and thereby promote IEL responses.

Our findings shed light on the complex interplay between 2 innate immune molecules important to intestinal homeostasis, NOD2 and TLR4. Previous work suggests dual roles for TLR4 in both protection from infection and maintenance of homeostasis in the gut. NOD2 inhibits TLR4 signaling in the intestinal epithelium in a mouse model of necrotizing enterocolitis. 78 NOD2 and TLR2/4 also have an antagonistic role in hematopoietic lineage cells, and TLR2/4 stimulation in the absence of NOD2 leads to increased Peyer's patch permeability. 45,46,79,80 Our BM chimera experiments indicate that TLR4 in non-hematopoietic cells mediates the pathologic consequences of NOD2 in hematopoietic cells, providing evidence for crosstalk between these 2 innate immune molecules in different cell types. Our data also corroborate the literature that suggests that unmitigated TLR4 signaling in the intestinal epithelium is dangerous.<sup>81,82</sup> However, the role of TLR4 in intestinal homeostasis is complex, as complete deficiency has been shown to worsen colitis.<sup>37</sup> These observations may not be at odds with each other. TLR4 could protect from small intestinal disease in the presence of specific microbiota communities when NOD2 signaling is deficient. In addition, although we did not address this possibility in our present study, signaling through other TLRs may be at play, consistent with partial rescue of TLR2-deficient mice by oral administration of the TLR4 ligand LPS.<sup>83</sup> A role for other innate immune sensors could explain how abundant pERK was found throughout the small intestinal epithelium, whereas *Tlr4* expression remained low in *Nod2*<sup>-/-</sup> mice.

TLR4 is expressed more highly in the colonic epithelium compared with the small intestinal epithelium, raising the question of how it might induce small intestinal changes. TLR4 in the small intestine may serve as a rheostat to control tolerance to gut microbes, which, with its low expression, could be highly sensitive to changes. For example, if NOD2 signaling is intact, TLR4 signaling in the small intestine remains low and/or deactivated. However, colonic TLR4 signaling may contribute to susceptibility to inflammation in  $Nod2^{-1/2}$  mice colonized with P vulgatus, which more densely colonizes the colon. Also, microbes from one region have been shown to affect inflammation in the colon, as in the case with certain oral microbes and colitis.  $^{84}$ 

Finally, NSAID exposure has previously been described to associate more with CD than UC, and patients are advised to avoid NSAIDs where possible to limit risks of inflammation because they cause direct mucosal injury. We previously reported localized attachment of intestinal tissue to other organs in *Nod2*-deficient mice after piroxicam treatment, which, along with histology showing transmural inflammation, may be a sign of penetrating disease. Thus, it would be important to determine in a future study whether *NOD2* patients are at specific risk for NSAID-induced disease flares.

This work provides insights into the interactions between host genetics, microbiota, and intestinal epithelial interactions. Although we show presence of P vulgatus, goblet cell defects, and increase in small intestine epithelial pERK staining in patients with NOD2 risk alleles, we do not directly prove that these mechanisms confer risk of post-operative recurrence. Future clinical studies using a prospective design approach could directly address whether goblet cell abnormalities induced by IFN $\gamma$ -producing IELs are associated with increased risk of disease recurrence in patients after ileocolic resection. Such studies could help inform management of patients in the postoperative period, such as risk-stratifying patients based on NOD2 or goblet cell status to determine who would most benefit from immediate postoperative prophylaxis.

#### **Methods**

Human Subjects

*ISMMS cohort.* We performed a retrospective casecontrol study to assess the impact of *NOD2* variations in

the clinical course of patients with CD who had undergone analysis for NOD2 polymorphisms. We included patients carrying any of the 3 major CD-associated NOD2 risk alleles (G908R.rs2066845. R702W.rs2066844, X1007fs.rs5743293) and controls carrying no NOD2 risk alleles. The inclusion criteria were: (1) CD diagnosis according to clinical practice guidelines at the time of genotyping; (2) availability of clinical information in our institution's medical record system at baseline; and (3) availability of follow-up data for at least 3 years after genotyping. Data on age at genotyping, age at diagnosis, sex at birth, race, body mass index, smoking status, family history of IBD, disease duration, Montreal classification, medications, surgical history, and postoperative outcomes was retrospectively collected. This study was approved by the Institutional Review Board at the Icahn School of Medicine at Mount Sinai (Protocol 14-00174).

*SPARC IBD cohort.* The design and implementation of the SPARC IBD cohort has been previously described. 35,36 Briefly, SPARC IBD is a component of the Crohn's and Colitis Foundation's IBD Plexus data exchange platform, wherein patients with IBD are enrolled from sites throughout the United States. Clinical data is collected from electronic health records and case report forms. Patients provide blood and stool samples at enrollment and at the time of a colonoscopy performed as part of usual care. For this study, we included patients with CD who had ileal involvement and either underwent prior ileal resection or no prior bowel resection before the first collected stool sample. Fecal DNA extraction and metagenomics sequencing for this cohort were previously described.<sup>35</sup> The SPARC IBD study is approved by the Institutional Review Board of the University of Pennsylvania.

#### Human NOD2 Genotyping

Genomic DNA was prepared from frozen blood samples using Qiagen kit. Single nucleotide polymorphisms (SNPs) for NOD2 Leu1007fsInsC (rs2066847, SNP13), R702W (rs2066844, SNP8) and G908R (rs2066845, SNP12) were determined by Taqman Genotyping Master Mix (Life Technologies) protocol using the following primers and probes: (1) NOD2 SNP8: TTCCTGGCAGGGCTGTTGTC and AGTG-GAAGTGCTTGCGGAGG (primers), FCCTGCTCCGGCGCCAGGC and CCTGCTCTGGCGCCAGGCC (probes); (2) NOD2-SNP12: ACTCACTGACACTGTCTGTTGACTCT and AGCCACCTCAAGC TCTGGTG (primers), TTTTCAGATTCTGGGGCAACAGAGTG GGT and TTCAGATTCTGGCGCAACAGAGTGGGT (probes), and (3) NOD2-SNP13: GTCCAATAACTGCATCACCTAC and CTTACCAGACTTCCAGGATGGTGT (primers); CCTCCT GCAGGCCCCTTGAAA and CCCTCCTGCAGGCCCTTGAAAT (probes). 92,93

#### Mice

For all experiments, age- and sex-matched 6- to 12-week-old mice on the C57BL/6J (B6) background were used. For non- GF mouse experiments, animals were produced in a specific pathogen-free (SPF) facility at the New York University (NYU) Grossman School of Medicine. GF B6

and Nod2<sup>-/-</sup> mice were bred and maintained in flexible-film isolators at the NYU Grossman School of Medicine Gnotobiotics Animal Facility as previously described.<sup>51</sup> Nod2<sup>-/-</sup> mice harboring P vulgatus were previously described.<sup>33</sup> Heterozygote breeders were used to generate homozygous knockouts and littermate WT controls for experiments.  $Nod2^{-/-}$  mice without *P vulgatus*, referred to as  $Nod2^{-/-}$  Jax mice were obtained from The Jackson Laboratory and bred onsite in a manner similar to Nod2<sup>-/-</sup> mice colonized with P vulgatus in a separate room to prevent crosscontamination. MyD88<sup>7</sup> and Tlr4<sup>-7</sup> B6 mice were purchased from The Jackson Laboratory and crossed to Nod2<sup>-/-</sup> mice to generate double mutants. Villin-cre mice were previously described. 94 Nod2-/-MyD88<sup>fl/fl</sup>;Villin-cre (Nod2-/-- $MyD88^{\Delta IEC}$ ) mice and  $Nod2^{-/-}MyD88^{fl/fl}$  littermate controls generated by crossing Cre-positive and Cre-negative mice. Nod2<sup>-/-</sup> mice and Tlr4<sup>-/-</sup> mice were crossed to generate double mutant mice.

Gnotobiotic mice colonized with the Oligo-MM12 + FA3 synthetic microbiota were generated as previously described. 49,51 Briefly, the following bacteria were cultured and gavaged into GF mice with stable colonization over several generations.<sup>51</sup> Akkermansia muciniphila YL44 was a gift from Dr K. McCoy (University of Calgary), and it was grown in 0.1% mucin (Sigma-Aldrich), anaerobic, 37 °C. Bacteroides caecimuris I48 was from DSMZ and it was grown in brain heart infusion (BHI) (Anaerobe Systems), anaerobic, 37 °C. Muribaculum intestinale YL27 (DSMZ) was grown in chopped meat media (Anaerobe Systems), anaerobic, 37 °C. Turicimonas muris was a gift from Dr K. McCoy, and it was grown in BHI, anaerobic, 37 °C. Escherichia coli Mt1B1 (DSMZ) was grown in LB (Sigma-Aldrich), aerobic, 37 °C. Bifidobacterium longum subsp. animalis YL2 (DSMZ) was grown in BHI, anaerobic, 37 °C. Staphylococcus xylosus 33ERD13C (DSMZ) was grown in tryptic soy broth (TSB)yeast (Sigma Aldrich), aerobic, 37 °C. Streptococcus danieliae ERD01G (DSMZ) was grown in TSB-yeast, microaerophilic, 37 °C. Enterococcus faecalis KB1 (DMSZ) was grown in TSB-yeast, aerobic, 30 °C. Acutalibacter muris KB18 (DSMZ) was grown in BHI, anaerobic, 37 °C. Clostridium clostridioforme YL32 (DSMZ) was grown in peptone yeast extract glucose (PYG) (Anaerobe Systems), anaerobic, 37 °C. Flavinofractor plautii YL31 (DSMZ) was grown in PYG, anaerobic, 37 °C. Blautia coccoides YL58 (DSMZ) was grown in chopped meat media, anaerobic, 37 °C. Lactobacillus reuteri I49 (DMSZ) was grown in de Man-Rogosa-Sharpe agar (MRS), microaerophilic, 37 °C. Clostridium innocuum I46 (DSMZ) was grown in chopped meat media or PYG, anaerobic, 37 °C.

All animal studies were approved by the Institutional Animal Care and Use Committee of the NYU Grossman School of Medicine.

#### Bone Marrow Chimeras

BM chimeras were generated by lethally irradiating 8-week-old female recipient mice (1100 CGy in 2 divided doses) followed by intravenous injection of  $5\times10^6$  T-cell-depleted BM cells from donor female mice.  $^{34,48,94}$ 

### Phocaeicola vulgatus Culture, Gavage, and Quantification

For inoculation into mice, as previously described, P vulgatus was streaked from a frozen stock onto selective Bacteroides bile esculin (BBE) agar (Anaerobe systems). Single colonies were used to inoculate PYG broth and grown at  $37\,^{\circ}\mathrm{C}$  for 48 hours in an anaerobic chamber. Mice were gavaged with  $1\times10^8$  colony forming units (CFU) bacteria. Fecal P vulgatus was quantified by dilution plating on selective BBE agar (BD) in an anaerobic chamber (AS-580, Anaerobe Systems) at  $37\,^{\circ}\mathrm{C}$ . Colonies of a single color and morphology grew from  $Nod2^{-/-}$  samples within 24 to 36 hours but not WT samples.  $^{1,34}$ 

#### Fecal Gavage

Fresh fecal pellets were collected from B6,  $Nod2^{-/-}$ , and  $Nod2^{-/-}$ Jax. Fecal suspensions were prepared with sterile phosphate buffered saline (PBS) and passed through 40- $\mu$ m strainers. Mice were orally gavaged with 150  $\mu$ L of fresh fecal suspensions. <sup>48</sup>

#### Intestinal Epithelial Lymphocyte Preparation

Small intestine (Peyer's patches removed) was cut longitudinally and rinsed in Hanks' Balanced Salt Solution (HBSS). The tissue was washed in HBSS containing HEPES, sodium pyruvate, 5 mM ethylenediaminetetraacetic acid (EDTA), and 1 mM dithiothreitol (DTT) for 15 min to obtain the IEL fraction. The intestines were further washed in HBSS containing HEPES, sodium pyruvate, and EDTA and digested for 20 minutes using Collagenase VIII (Sigma) to obtain the LP fraction. IEL fractions were filtered and fractionated on a Percoll gradient (40% and 80%). The cells at the interphase of the gradient were collected and washed twice with complete RPMI.

#### IEL Stim and Flow Cytometry

Lymphocytes were stimulated for 4 hours with a cell stimulation cocktail of phorbol 12-myristate 13-acetate (PMA), ionomycin, brefeldin A, and monensin from eBioscience. Stimulated cells were stained with anti-CD3 $\epsilon$  PerCP, anti-TCR $\beta$  PE-Cy5 or BV510, anti-CD8 $\alpha$  PE-Cy7, anti CD4 APC-Cy7, anti-IFN $\gamma$  APC, anti-IL17 PE, anti-IFN- $\gamma$  AF 488, anti-IL4 APC, and their respective isotype controls from Biolegend. Fixation and permeabilization buffers from Biolegend were used for intracellular cytokine staining, and a fixable live/dead stain from Biolegend was used to exclude dead cells. Flow cytometric analysis was performed on an LSR II (BD Biosciences) and analyzed using FlowJo (TreeStar).

#### Piroxicam Mouse Experiments

WT and  $Nod2^{-/-}$  mice were treated with 60 mg/kg piroxicam and 80 mg/kg piroxicam in powdered mouse chow for 7 days each. Mice were euthanized on day 14, and intestinal sections were obtained for histology as below.

#### Histology

For all studies, quantification of microscopy data was performed in a blinded fashion. For retrospective histological analysis of ileal tissue from patients with CD with ileal involvement and non-IBD controls who had undergone ileal or ileocecal resections at our center, specimens where pathology showed small intestinal margins negative for inflammation by pathologist's read were included. The formalin-fixed paraffin-embedded (FFPE) tissues were obtained. Tissue specimens were fixed in 10% formalin and embedded in paraffin, and 3- $\mu$ m sections were used for IHC. Sectioning and PAS-Alcian blue staining were performed by the NYU Histopathology Core. Sections were imaged on Nikon Eclipse Ci microscope and scanned. Goblet cells were quantified by counting the total number of abnormal and normal-appearing cells per villus and graphed as individual values.

For MUC2 and pERK staining, IHC was performed using Ventana Discovery Ultra from Roche. This system allows for automated baking, deparaffinization, and cell conditioning. Single staining was performed using the primary antibody (MUC2 antibody [C3] GTX100664 [1:50], GeneTex, and Phospho-p44/42 MAPK (Erk1/2)(Thr202/Tyr204) (D13.14.4E) XP® Rabbit mAb #4370 [1:2000], Cell Signaling Technology). As secondary antibody, Discovery OMNIMap anti-host-HRP from Roche was used, and the signal was obtained using Discovery ChromoMap DAB RUO from Roche (760-2513) (brown signal). Tissues were counterstained with hematoxylin to visualize the nuclei (blue signal). Whole tissue sections on the slide were converted into highresolution digital data using a NanoZoomer S210 Digital slide scanner (Hamamatsu).

For mouse experiments, intestinal sections were prepared as previously described and summarized as follows: 33,95,96 Murine small intestinal tissue (2 cm of terminal ileum) was cut open lengthwise, pinned on black wax, and fixed in 10% formalin. Tissues were embedded in 3% low melting point agar (Promega). Formalin fixation and paraffin embedding, sectioning, PAS-Alcian blue, hematoxylin and eosin (H&E), or pERK staining, and microscopy was performed by the NYU Histopathology Core. Sections were imaged on a Nikon Eclipse Ci microscope and scanned. Goblet cells were quantified by counting the total number of abnormal and normal-appearing cells per villus and graphed as individual values.

H&E-stained small-intestinal sections of mice treated with piroxicam were used for histopathologic scoring in a blinded fashion by Y.D., as previously described. Each mouse was given an individual cumulative score based on the following criteria: number of focal ulcers (0 = none; 1 = 1; 2 = 2; etc.), number of abscesses (0 = none; 1 = 1; 2 = 2; etc.), the extent of epithelial hyperplasia  $(0 = \text{none}; 1 = \text{elongated villi and crypts}; 2 = \text{severe hyperplasia where the crypt villus axis is 2 times higher than the crypt villus axis in untreated mice), the presence of immune infiltrates <math>(0 = \text{none}; 1 = \text{pericryptal infiltrates}; 2 = \text{submucosal infiltrates})$ , and villus blunting (0 = none; 1-2 = moderate blunting; 3-4 = severe blunting). The presence of

macroscopic abnormalities such intestinal bleeding, and/or intestinal perforation in each mouse was also noted.

#### Statistical Analysis

Concerning human subjects, the endpoints that were compared among exposed individuals (NOD2 variant) and controls were the need to start advanced therapy (infliximab, adalimumab, certolizumab, vedolizumab, ustekinumab, rizankizumab [biologics]; filgotinib, upadacitinib [small molecules]) during follow-up and the postoperative outcomes (recurrence, need to start advanced therapy following, reoperation, and IBD-related hospitalization). Postoperative recurrence was defined using endoscopy (Rutgeerts score >i2 for patients with ileocecal resection) or imaging studies (computed tomography or magnetic resonance showing obvious wall thickening, increased mural or peri-mural signal intensity, mural contrast enhancement or signal intensity, stenosis with pre-stenotic dilatation, fistula, abscess, or conglomerate of bowel loops). Reoperation was defined as need for another resection after the first IBD-related surgery.

Continuous variables, non-normally distributed, were summarized as median and IQR, and the Kruskal-Wallis test was applied. Categorical variables were summarized as percentages, and inferential analysis was performed using the  $\chi^2$  test. Then, the OR for achieving the outcomes of interest was computed using univariate and multivariate logistic regression, the latter adjusted for age at diagnosis and disease duration. A *P*-value below .05 was considered significant for all analysis. Data was analyzed using IBM SPSS Statistics, version 29.0.

For microbiome analyses, within-sample similarity was assessed by abundance-adjusted counts per taxa (Shannon diversity). Between-sample similarity was assessed by Bray-Curtis and Jaccard distances, and community-level differences between groups were assessed using the permutational multivariate analysis of variance (ANOVA) test. The abundance of genes and taxa were analyzed at a community level using pairwise distance between samples and visualized with principal coordinates analysis. Linear mixedeffects models were used to detect differences in log2transformed taxon abundance between sample groups. P values from multiple testing procedures were corrected to control for a specified false discovery rate (FDR) with Benjamini-Hochberg method. FDR <.1 was considered statistically significant. To assess surgical status as a confounder of the associations between NOD2 risk allele status and the microbiome composition, we used a mixed effects linear model with NOD2 risk allele status, surgical status, and microbiome composition to assess change in the beta coefficient for the association of NOD2 risk allele status with the measure of the microbiome.

For mouse experiments, analysis was performed using GraphPad Prism v.9. An unpaired 2-tailed *t*-test was used to evaluate differences between two groups. An ANOVA with the Holm-Sidak multiple comparisons test was used to evaluate experiments involving multiple groups. For experiments requiring nonparametric analyses, the Wilcoxon-

Mann-Whitney test or Kruskal-Wallis with Dunn's multiple comparisons test were applied.

#### Supplementary Material

Note: To access the supplementary material accompanying this article, visit the full text version at https://doi.org/ 10.1016/j.jcmgh.2025.101533.

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James D. Lewis (Methodology: Equal; Resources: Equal; Supervision: Equal; Writing – review & editing: Supporting)

Deepshika Ramanan (Conceptualization: Equal; Formal analysis: Equal; Investigation: Equal; Methodology: Equal; Writing – review & editing: Equal)

Ken Cadwell (Conceptualization: Equal; Funding acquisition: Lead; Methodology: Lead; Project administration: Lead; Resources: Lead; Supervision: Lead; Writing – original draft: Equal; Writing – review & editing: Equal)

#### Conflicts of interest

These authors disclose the following: Serre-Yu Wong reports research contract with Takeda/Trinetx; and advisory board for BMS. Ken Caldwell has consulted for or received honoraria from Puretech Health, Genentech, and Abbvie; and is an inventor on United States patent 10,722,600 and provisional patent 62/935,035 and 63/157,225. The remaining authors disclose no conflicts.

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#### **Data Availability**

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.