

## Modulation of Amyloid and Tau Aggregation to Alleviate Cognitive Impairment in a Transgenic Mouse Model of Alzheimer's Disease

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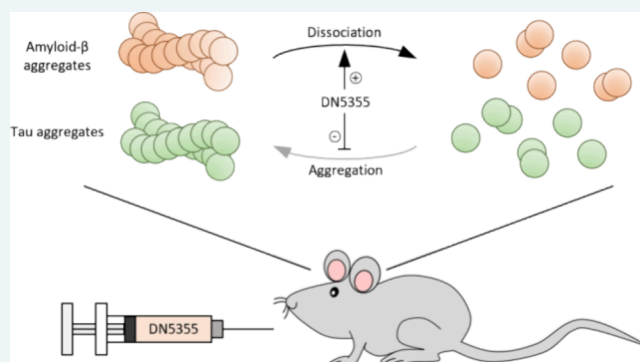
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**ABSTRACT:** Aggregation of misfolded amyloid- $\beta$  ( $A\beta$ ) and hyperphosphorylated tau proteins to plaques and tangles, respectively, is the major drug target of Alzheimer's disease (AD), as the former is an onset biomarker and the latter is associated with neurodegeneration. Thus, we report a small molecule drug candidate, DN5355, with a dual-targeting function toward aggregates of both  $A\beta$  and tau. DN5355 was selected through a series of four screenings assessing 52 chemicals for their functions to inhibit and reverse the aggregation of  $A\beta$  and tau by utilizing thioflavin T. When orally administered to AD transgenic mouse model SXFAD, DN5355 significantly reduced cerebral  $A\beta$  plaques and hyperphosphorylated tau tangles. In Y-maze spontaneous alteration and contextual fear conditioning tests, SXFAD mice showed amelioration of cognitive deficits upon the oral administration of DN5355.

**KEYWORDS:** Alzheimer's disease, amyloid- $\beta$ , tau, cognitive recovery, neurodegeneration



Alzheimer's disease (AD) is the most common type of dementia with typical deposition of plaques and tangles in the brain upon the misfolding of amyloid- $\beta$  ( $A\beta$ ) and hyperphosphorylated tau, respectively.<sup>1</sup> Given that  $A\beta$  and tau abnormalities directly contribute to neuronal loss, synaptic dysfunction, neuroinflammation, atrophy, and cognitive impairments of AD, both proteins, separately, have been the major therapeutic targets of the disease-modifying drug discovery.<sup>2–6</sup> During the past three decades, AD drug discovery mostly focused on the prevention of production, phosphorylation, and aggregation of  $A\beta$  and tau by inhibiting the early pathological mechanisms of AD such as amyloid precursor protein processing, kinase-mediated tau phosphorylation, and protein misfolding.<sup>7–9</sup> Failures of these approaches in clinical trials have provided lessons that clearance of preformed protein aggregates in the patient brain would have more therapeutic potentials as inhibition of the aforementioned pathology is better fit for preventive treatments.<sup>10–12</sup> Intravenous injection of antibodies targeting oligomers, protofibrils, and plaques of  $A\beta$  successfully reduced amyloid burden in brains of AD patients and partially slowed the progression of cognitive decline.<sup>13,14</sup> The disappointing cognitive benefit of anti- $A\beta$  antibodies is still in debate, probably due to the weaker association of  $A\beta$  plaques with neurodegeneration in comparison to that of tau abnormalities.<sup>15</sup> Anti-tau antibody drug candidates have not shown

significant tangle reduction and cognitive benefits yet, as intraneuronal tau is more difficult to access than extracellular  $A\beta$ .<sup>16</sup> Although antibodies possess outstanding therapeutic potentials in clearance of misfolded proteins, issues of low blood–brain barrier (BBB) penetration and the non-oral administration route remain to be solved.<sup>17,18</sup>

Our aim in this study was to design a new chemical entity directly targeting and dissociating aggregates of both  $A\beta$  and tau and to assess its therapeutic functions in *in vitro* and *in vivo* models of AD. Here, we synthesized 52 novel chemical derivatives (DN series) of necrostatin-1 (Nec-1), which we previously repurposed for an  $A\beta$  and tau dual targeting molecule from necroptosis inhibitor. Then, we conducted screening assays to identify chemicals with high efficacies in inhibiting  $A\beta$  aggregation and dissociating preformed  $A\beta$  fibrils *in vitro*. We investigated the efficacy of the selected chemicals in inhibiting tau aggregation and disrupting pre-existing tau aggregates. Thioflavin T (ThT), a fluorescent dye known for

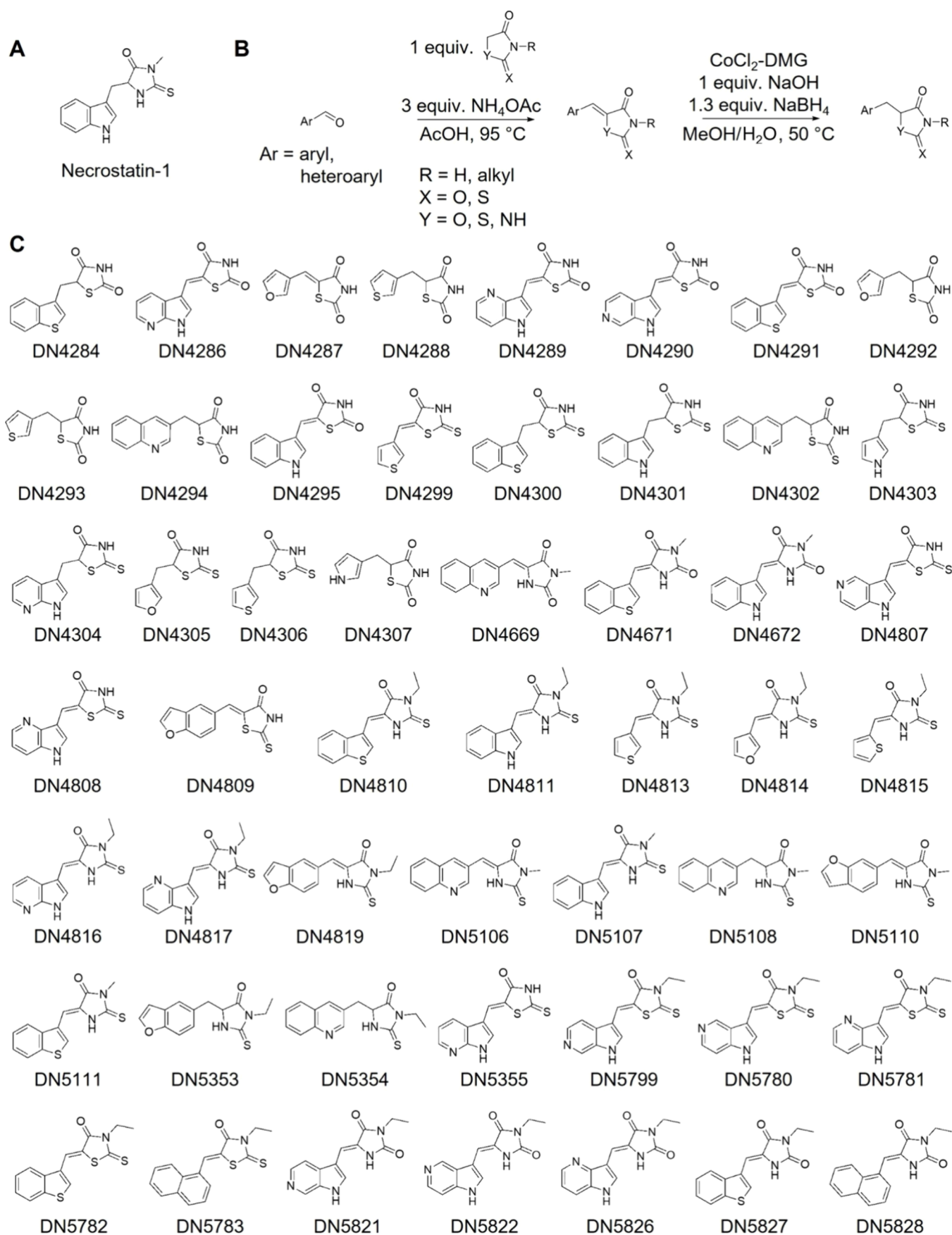
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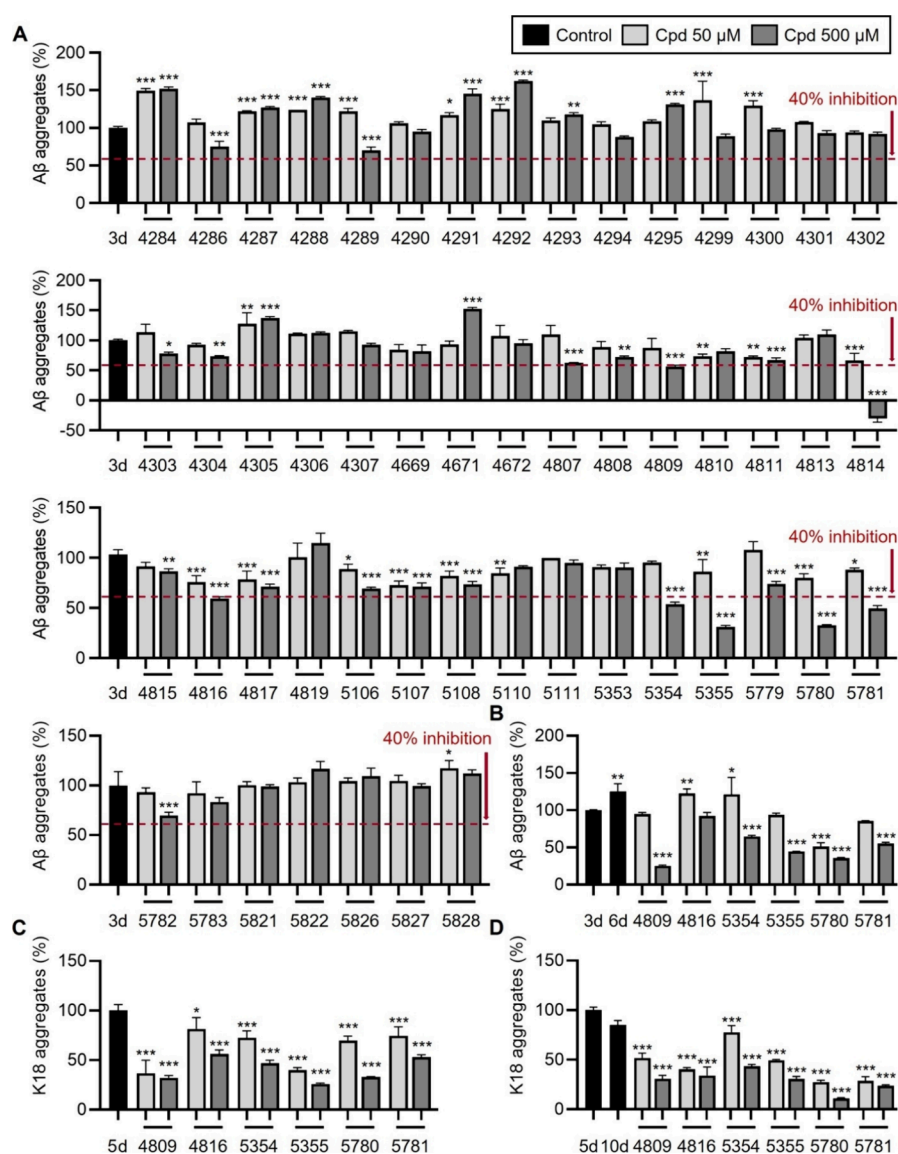
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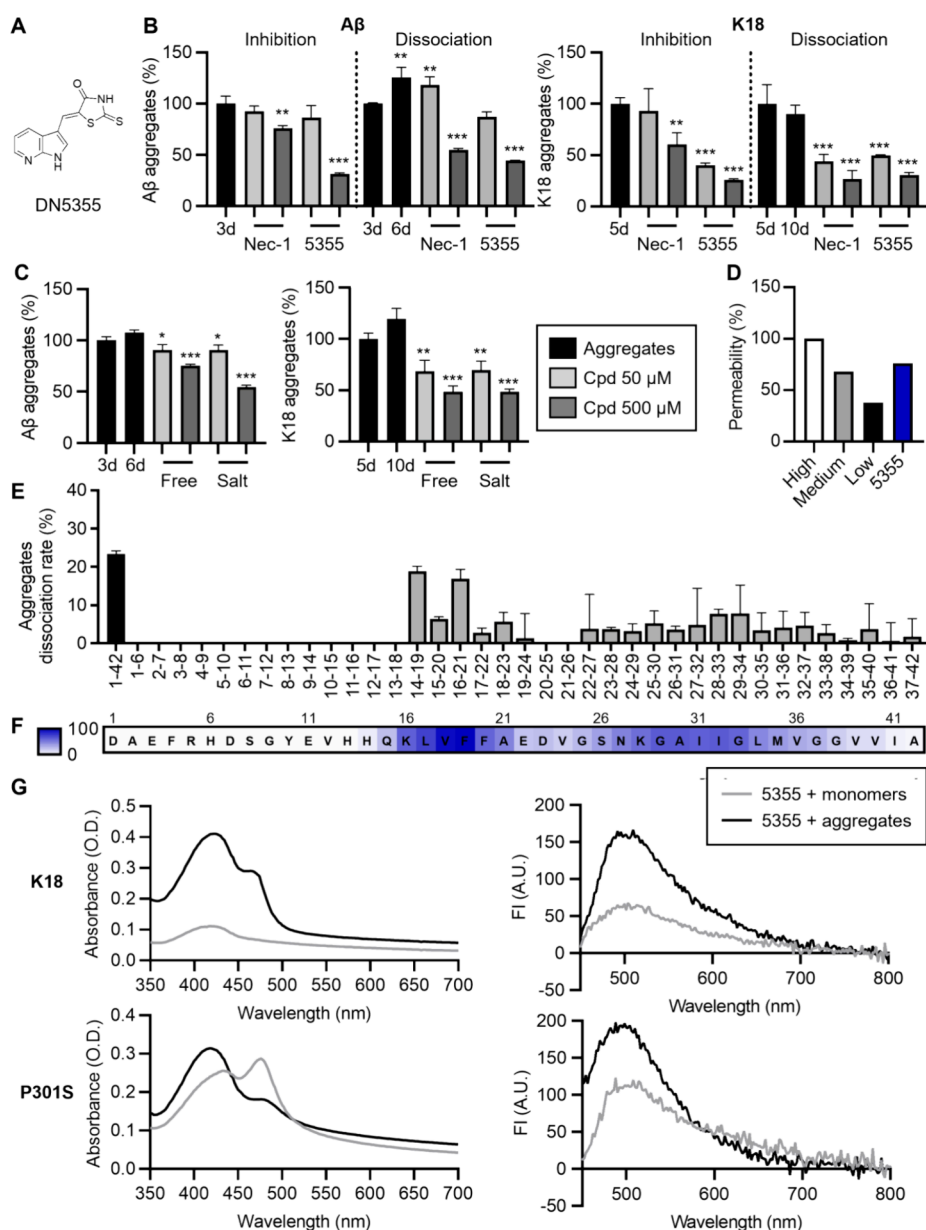
**Figure 1.** Synthesis of DN chemical library. (A) Structure of Nec-1. (B) General synthesis scheme. (C) Structures of the synthesized DN compounds. equiv., equivalent



**Figure 2.** ThT fluorescence-based protein quantification for A $\beta$  and tau aggregates. (A) A $\beta$  aggregation inhibition assay. 50 and 500  $\mu$ M of DN compounds were individually incubated with A $\beta$  (25  $\mu$ M) for 3 days at 37  $^{\circ}$ C. Data are normalized to 3-day incubated A $\beta$  aggregates (3d, 100%). Red dotted line indicates the 40% inhibition of A $\beta$  aggregation. (B) A $\beta$  fibril dissociation assay with 6 selected DN compounds. Following a 3-day incubation for A $\beta$  fibril formation, A $\beta$  (25  $\mu$ M) was individually incubated with the selected DN compounds (50 and 500  $\mu$ M) for an additional 3 days at 37  $^{\circ}$ C. Data are normalized to the 3-day incubated A $\beta$  control (3d, 100%). (C) Tau aggregation inhibition assay with 6 selected DN compounds. Each DN compound (50 and 500  $\mu$ M) was incubated with K18 (35  $\mu$ M) for 5 days at 37  $^{\circ}$ C. Data are normalized to 5-day incubated K18 aggregates (5d, 100%). (D) Tau fibril dissociation assay with 6 selected DN compounds. After 5 days of incubation to generate tau fibrils, K18 (35  $\mu$ M) was further individually incubated with the selected DN compounds (50 and 500  $\mu$ M) for an additional 5 days at 37  $^{\circ}$ C. Data are normalized to the 5-day incubated K18 control (5d, 100%). To measure the amount of aggregated proteins, ThT (5  $\mu$ M) was added, and the fluorescence intensities were measured ( $\lambda_{\text{ex}}/\lambda_{\text{em}}$  = 450 nm/485 nm). All data are presented as means  $\pm$  standard deviation (SD). \* $p$  < 0.05, \*\* $p$  < 0.01, and \*\*\* $p$  < 0.001 vs 3d control for A $\beta$  and 5d control for K18; other comparisons were not significant (one-way analysis of variance (ANOVA) followed by Bonferroni's *post-hoc* comparisons tests); 3d, 3-day incubated A $\beta$ ; 6d, 6-day incubated A $\beta$ ; 5d, 5-day incubated K18; 10d, 10-day incubated K18; Cpd, compound.

its binding to and quantification of  $\beta$ -sheet structures, was utilized to assess aggregate levels in both A $\beta$  and tau screening assays.<sup>19</sup> We selected DN5355 as a promising dual-targeting drug candidate capable of modulating aggregation of both A $\beta$  and tau as well as dissociating preformed aggregates. A comparative analysis between DN5355 and Nec-1 was conducted to evaluate the efficacy of A $\beta$  and tau aggregation using the ThT assay. Prior to *in vivo* therapeutic evaluations, we examined the ability of DN5355 to cross the BBB using a parallel artificial membrane permeability assay (PAMPA) and

conducted metabolic profile analyses. Additionally, the interaction of DN5355 with A $\beta$  was studied using a mapping A $\beta$  plate (MAP) assay, and a fluorometric method was employed to explore the interaction of DN5355 with monomeric and aggregated tau.<sup>20,21</sup> Subsequently, the therapeutic efficacy of DN5355 was assessed in the 5XFAD (B6SJL-Tg(APP<sup>sw</sup>F1L<sup>on</sup>,PSEN1\*146L\*L286V)-6799Vas/Mmjax) transgenic mouse model of AD through behavioral and pathophysiological analyses. Following the administration of DN5355, learning and memory performance



**Figure 3.** *In vitro* evaluation of DN5355 and interaction of DN5355 with A $\beta$  or tau. (A) Chemical structure of DN5355. (B) Comparison of A $\beta$  and tau aggregation inhibition and aggregate dissociation assays with Nec-1 and DN5355. Data are normalized to 3-day incubated A $\beta$  control (3d, 100%) or 5-day incubated K18 control (5d, 100%). (C) A $\beta$  and tau disaggregation assays with free and salt forms of DN5355. Data are normalized to 3-day incubated A $\beta$  (3d, 100%) or 5-day incubated K18 controls (5d, 100%). (D) BBB-PAMPA test of DN5355. Data are normalized to the high-permeability control (100%). (E) MAP assay to discover the A $\beta$ -interacting site of DN5355 that leads to A $\beta$  fibril dissociation. (F) Heatmap of the dissociation rate by MAP assay (white to blue, 0 to 100%). (G) DN5355-tau binding assay utilizing K18 or P301S. Each tau was treated with 100  $\mu$ M DN5355 as monomers (non-incubated tau) or as aggregates (5-day incubated K18 or P301S at 35  $\mu$ M). The absorbance of DN5355 was scanned from 350 to 700 nm and used for a subsequent fluorescence spectrum scan at an excitation of 420 nm. All data are presented as mean  $\pm$  SD; \* $p$  < 0.05, \*\* $p$  < 0.01, and \*\*\* $p$  < 0.001 vs 3d control for A $\beta$  and 5d control for K18; other comparisons were not significant (one-way ANOVA followed by Bonferroni *post-hoc* comparison); 5355, DN5355; 3d, 3-day incubated A $\beta$ ; 6d, 6-day incubated A $\beta$ ; 5d, 5-day incubated K18; 10d, 10-day incubated K18; Cpd, compound; P301S, tau with P301S mutation; FI, fluorescence intensity.

were assessed using the Y-maze spontaneous alteration test and contextual fear conditioning (CFC) test. Immunohistochemistry was utilized to evaluate A $\beta$  plaques, while immunoblotting was employed to analyze total tau and phosphorylated tau proteins.<sup>22,23</sup>

## RESULTS AND DISCUSSION

**Synthesis of DN Chemical Library.** The molecular structure of Nec-1 is composed of two moieties: an indole

and a thiohydantoin (Figure 1A). Given its capability to modulate both A $\beta$  and tau proteins, we prepared a chemical library of Nec-1 derivatives possessing a structure incorporating a heteroaryl group and a hydantoin-based group. The library includes 52 DN chemicals synthesized through Knoevenagel condensation of aldehydes and diones aimed at assessing their therapeutic potential in targeting A $\beta$  and tau (Figure 1B,C). Additionally, the chemical diversity was extended by the synthesis of single-bond Knoevenagel adducts



using a  $\text{CoCl}_2$ -dimethylglyoxime (DMG)-mediated reduction reaction.

**Screening of Chemicals against A $\beta$  and Tau Aggregation.** Our primary goal of our study has been to identify chemicals that target both A $\beta$  and tau proteins. Since the accumulation of A $\beta$  is an early event in AD, we initially performed the fluorescence-based protein assay focused on A $\beta$  aggregation inhibition and narrowed down the drug candidate. Subsequently, we conducted an assay to target A $\beta$  aggregate dissociation with selected compound followed by the tau protein aggregation inhibition assay and aggregate dissociation assay. To measure the levels of A $\beta$  and tau aggregates in assays, we utilized ThT that binds to and quantifies  $\beta$ -sheet structures.<sup>19</sup> For the primary screening, monomeric A $\beta$  (25  $\mu\text{M}$ ) was incubated with each of 52 DN compounds (50 and 500  $\mu\text{M}$ ) for 3 days at 37  $^\circ\text{C}$ , followed by the addition of ThT solution to quantify A $\beta$  fibrils. We found six hit compounds (DN4809, DN4816, DN5354, DN5355, DN5780, and DN5781) which significantly inhibited A $\beta$  aggregation by more than 40% at 500  $\mu\text{M}$  in comparison to the 3 day incubated A $\beta$  control without compounds (Figure 2A). DN5355 and DN5780, in particular, reduced the level of A $\beta$  aggregation by up to 70% of the control. DN4814 was excluded due to its high background fluorescence signal, which could interfere with the accurate measurement of A $\beta$  fibrils and potentially confound the results.

Since A $\beta$  aggregates can act as seeds for generating toxic A $\beta$  aggregates further, therapeutic approaches that remove pre-existing A $\beta$  plaques and halt ongoing aggregation will be highly effective in impeding disease progression.<sup>24,25</sup> Therefore, we evaluated the efficacy of the hit compounds in dissociating preformed A $\beta$  aggregates. The monomeric A $\beta$  was incubated at 37  $^\circ\text{C}$  for 3 days to obtain fibrils, which were then incubated with each DN compound for an additional 3 days at 37  $^\circ\text{C}$  to induce dissociation of A $\beta$  fibrils. All hit compounds significantly dissociated preformed A $\beta$  fibrils when treated at 500  $\mu\text{M}$  (Figure 2B).

We additionally conducted a ThT assay to evaluate the efficacy of six hit compounds in inhibiting the aggregation of tau and dissociating tau fibrils. To investigate tau–tau interaction and aggregation, we employed tau K18 (K18), a recombinant human tau fragment containing four repeat domains of human tau.<sup>26</sup> For the tau aggregation inhibition assay, we incubated freshly prepared K18 monomers with 50 or 500  $\mu\text{M}$  of the six hit compounds at 37  $^\circ\text{C}$  for 5 days. Co-incubation of tau monomers with each compound at both 50 and 500  $\mu\text{M}$  distinctly prevented tau fibril formation in comparison to the non-treated 5-day incubated tau control (Figure 2C). Furthermore, all hit compounds significantly dissociated tau fibrils that were prepared by pre-incubation at 37  $^\circ\text{C}$  for 5 days, compared to the 5-day incubated tau control (Figure 2D).

Through the inhibition and dissociation assays, we discovered that DN4809, DN4816, DN5354, DN5355, DN5780, and DN5781 not only effectively inhibit both A $\beta$  and tau aggregation but also dissociate pre-existing fibrils of both proteins. Notably, DN5355 and DN5780 exhibited remarkable inhibitory effects on A $\beta$  aggregation, reducing total aggregates by 68.68% and 67.20%, respectively, at 500  $\mu\text{M}$ , while DN4809 significantly dissociated preformed A $\beta$  fibrils by 74.68%. Among the tested compounds, DN5355 demonstrated the strongest inhibitory effect on tau aggregation, reducing it by 74.12%, while DN5780 showed greater

efficacy in dissociating pre-existing tau aggregates, at 89.16%. Based on its efficacy and patentability, we selected DN5355 as the compound with the highest novelty and effectiveness in inhibiting and reversing both A $\beta$  and tau aggregations, making it a suitable candidate for further investigation.

#### Evaluation of DN5355 to Assess Drug Compatibility.

Prior to further experiments, additional assays were conducted to compare the efficacy of DN5355 and Nec-1 modulating A $\beta$  and tau. DN5355 exhibited superior effectiveness compared to Nec-1 in inhibiting and reversing A $\beta$  aggregation as well as inhibiting tau fibril formation (Figure 3B). Subsequently, we investigated whether the salt form of DN5355, employed for improved aqueous solubility, maintained therapeutic efficacy comparable to the free form. Both forms showed similar effects on tau aggregate dissociation with the salt form demonstrating enhanced efficacy in dissociating A $\beta$  aggregates (Figure 3C). Next, the BBB permeability of DN5355 was assessed, a critical consideration for drugs targeting brain proteins.<sup>27</sup> PAMPA results revealed that the permeability level of DN5355 is slightly higher compared to that of the medium permeability controls, implying sufficient BBB penetration of DN5355 (Figure 3D).

**Interactions of DN5355 with A $\beta$  and Tau.** To investigate the interaction between DN5355 and A $\beta$ , we utilized a MAP assay to identify the A $\beta$ -binding site of DN5355.<sup>20</sup> We immobilized full-length A $\beta$  or 6-amino-acid long A $\beta$  fragments in a maleimide plate and added Flamma 552-tagged A $\beta$  peptides (Fl-A $\beta$ ), which allowed the immobilized A $\beta$ s to aggregate for 6 h at 37  $^\circ\text{C}$ . To dissociate the interaction between immobilized A $\beta$  fragments and Fl-A $\beta$ , DN5355 was treated at a concentration of 500  $\mu\text{M}$  in each well. The mixture was left to incubate at room temperature for 1 day. Fluorescence intensities of Fl-A $\beta$  were measured both before and after treatment with DN5355, with post-treatment data normalized to pre-treatment levels using full-length A $\beta$  as a control. The fluorescence intensities of the A $\beta$ (16–21) fragment closely resembled those of monomeric A $\beta$ , suggesting that binding of DN5355 to the KLVFFA domain induces dissociation of A $\beta$  aggregates (Figure 3E,F). The KLVFFA domain, an amyloidogenic segment of A $\beta$  characterized by its high hydrophobicity, has demonstrated potential in disrupting A $\beta$  fibril formation through peptide mimetics. This underscores the critical role of DN5355 binding to this region in promoting the dissociation of A $\beta$  fibrils.<sup>28–31</sup>

Furthermore, we delved into the interaction of DN5355 with tau proteins. In addition to K18, we utilized the P301S mutant tau variant (P301S), a widely studied tau isoform associated with early onset frontotemporal dementia and other tauopathies.<sup>32–34</sup> Monomeric and aggregated forms of each tau were prepared, with aggregated forms generated by incubating K18 or P301S for 5 days at 37  $^\circ\text{C}$ . Both monomeric and aggregated K18 or P301S were individually treated with 100  $\mu\text{M}$  of DN5355, after which absorbance and fluorescence spectra were measured. We observed a noticeable enhancement in the fluorescence signal of DN5355-treated tau aggregates in comparison to that of the DN5355-treated monomeric tau, demonstrating the structural specificity of DN5355 in interacting with the aggregated forms of both tau proteins (Figure 3G). In addition, the observed changes in absorbance following DN5355 treatment, characterized by a notable increase in K18 aggregates and a shift in the primary absorbance peak of P301S monomers from 480 to 420 nm,

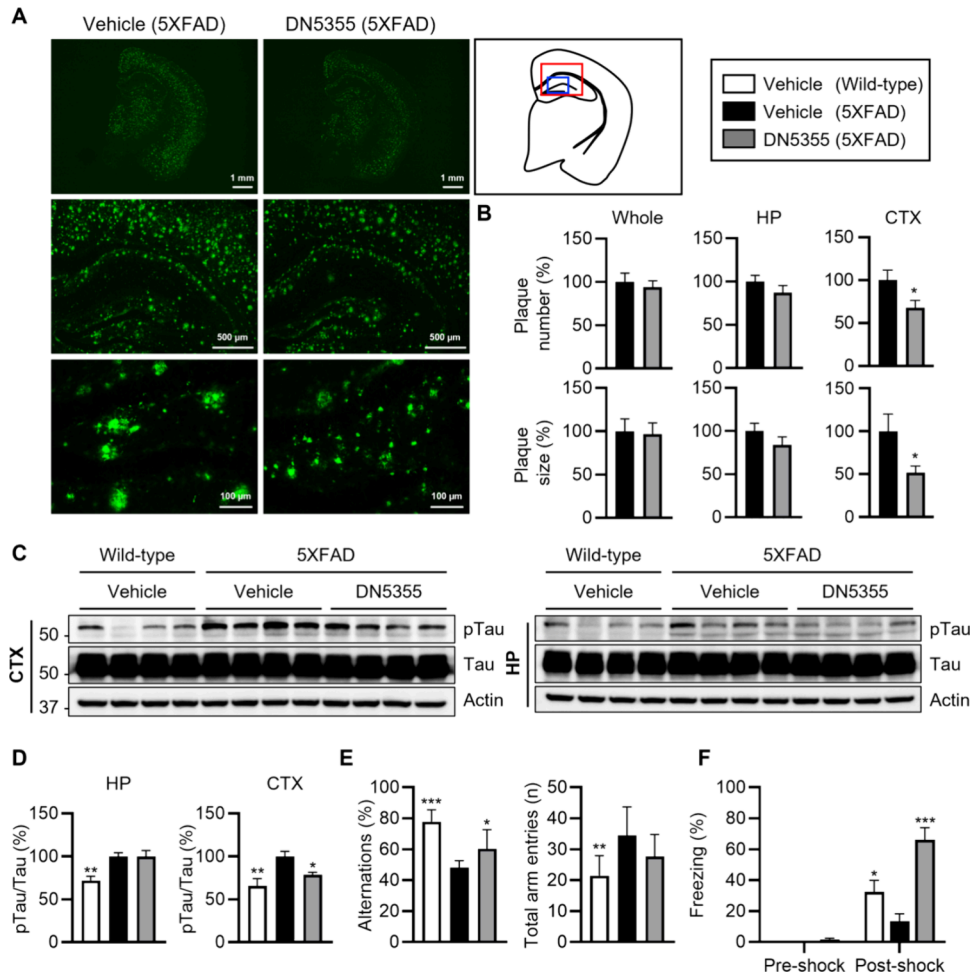
Table 1. Pharmacokinetic Profiling of DN5355<sup>a</sup>

Compound	Metabolic Stability (%)			Plasma Stability (%)			
	Human	Rat	Mouse	Human		Rat	
				30 min	120 min	30 min	120 min
DN5355	21.0	45.7	9.5	>100	>100	96.4	>100
Control	13.7			>100	94.7	25.0	<1

Compound	CYP Inhibition (%)				
	CYP1A2	CYP2C9	CYP2C19	CYP2D6	CYP3A4
DN5355	57.5	84.2	85.6	89.6	94.5
Control	>100	97.4	>100	99.7	30.3

<sup>a</sup>Control: verapamil for metabolic stability, enalapril for plasma stability, and ketoconazole for CYP450 inhibition assay.

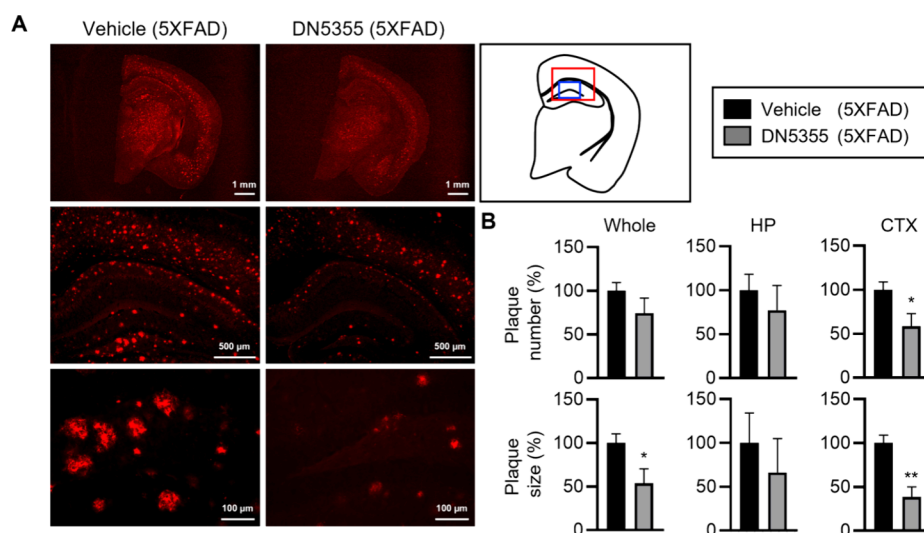


**Figure 4.** Therapeutic effects of 10-week DN5355 treatment in 8-month-old 5XFAD. (A) Representative immunohistochemical images display Aβ plaques stained with anti-Aβ antibody (DS4D2) in vehicle or DN5355 treatment. The brain illustration with red and blue boxes on the right indicates the magnified brain regions imaged. Scale bars = 1 mm, 500 μm, and 100 μm. (B) % Number and size of Aβ plaques in the whole hemisphere, hippocampus, and cortex (*n* = 8 each). Data are normalized to vehicle-treated 5XFAD (100%) and presented as mean ± SD, \**p* < 0.05 vs vehicle-treated 5XFAD control; other comparisons were not significant (student's *t* test). (C) Immunoblot and (D) densitometry analysis of phosphorylated tau and total tau expression levels in hippocampus and cortex (*n* = 8 each). (E) % Alternations and total arm entries in the Y-maze spontaneous alternation test and (F) % freezing before (pre-shock) and after (post-shock) foot shocks at 0.3 mA in the CFC test executed on the ninth and 10th weeks of administration, respectively. Vehicle-treated wild-type (*n* = 13), vehicle-treated 5XFAD (*n* = 8), and DN5355-treated 5XFAD (*n* = 8) mice were used. Data are presented as mean ± SD, \**p* < 0.05, \*\**p* < 0.01, and \*\*\**p* < 0.001 vs vehicle-treated 5XFAD controls; other comparisons were not significant (one-way ANOVA followed by Bonferroni *post-hoc* comparisons). HP, hippocampus; CTX, cortex; Actin, β-actin; pTau, phosphorylated tau.

indicate a direct interaction between DN5355 and the tau proteins.

We evaluated the pharmacokinetic properties of DN5355, specifically focusing on metabolic and plasma stabilities as well

as cytochrome P450 (CYP) inhibition rates (Table 1). In metabolic stability assays using liver microsomes, DN5355 exhibited rapid liver metabolism, with a half-life of less than 30 min, employing verapamil as a control. On the other hand,



**Figure 5.** Therapeutic effect of DN5355 on the A $\beta$  plaque burden in 6-month-old 5XFAD transgenic mice. (A) Representative images of 6E10-positive A $\beta$  plaques in vehicle- or DN5355-treated 6-month-old male 5XFAD mice after 6 weeks of treatment. The brain scheme on the right with red and blue boxes indicates the magnified brain regions imaged. Scale bars = 1 mm, 500  $\mu$ m, and 100  $\mu$ m. (B) Plaque number and size in the whole hemisphere, hippocampus, and cortex ( $n = 5$  each). Quantified data are normalized to vehicle-treated 5XFAD mice (100%) and are presented as mean  $\pm$  standard error of the mean. \* $p < 0.05$  and \*\* $p < 0.01$  vs vehicle-treated 5XFAD controls; other comparisons were not significant (student's  $t$  test). HP, hippocampus; CTX, cortex.

plasma stability tests in human and rat plasma indicated that DN5355 maintained stability for up to 2 h, with enalapril serving as the control. Additionally, the assessment of CYP450 inhibition rates showed minimal inhibition across most CYP enzymes, except for CYP1A2, which displayed a significant inhibition rate of approximately 40% in comparison to the control, ketoconazole.

**Long-Term Administration of DN5355 to 5XFAD Mice.** With the established inhibitory effects of DN5355 on A $\beta$  and tau aggregations and its proven capacity to reverse A $\beta$  and tau aggregates *in vitro*, we sought to investigate the potential of DN5355 to ameliorate cognitive impairments associated with A $\beta$  plaques and neurofibrillary tangles. Utilizing the 5XFAD mouse model, which exhibits rapid A $\beta$  plaque accumulation starting at two months, peaking at six months, and a corresponding increase in phosphorylated tau levels by six months, reflecting key aspects of AD pathology, we administered DN5355 to 8-month-old male 5XFAD mice ( $n = 8$ ) via drinking water at a dosage of 50 mg/kg/day for a duration of 10 weeks.<sup>23,35,36</sup> In this study, vehicle-treated wild-type mice ( $n = 13$ ) and 5XFAD mice ( $n = 8$ ) were included as control groups for comparative analysis. To assess short-term spatial memory, a Y-maze spontaneous alternation test was conducted in the ninth week of administration, calculating the percentage of alternations. DN5355-treated mice exhibited improved spatial memory deficits compared to those of vehicle-treated 5XFAD mice (Figure 4E). The effect of DN5355 on associative learning was evaluated during the last week of administration using a CFC test. DN5355 treatment significantly enhanced the performance of 5XFAD mice in the CFC test, suggesting improved associative learning abilities (Figure 4F). Following the behavioral tests, brain samples were collected for immunohistochemical analysis and immunoblotting assays to evaluate changes in the pathological protein levels. Notably, visualization through immunohistochemistry showed that DN5355 administration led to a reduction in both the number and size of A $\beta$  plaques specifically in the cortex (Figure 4A,B). Given the established

association between the burden of tau aggregates in the brain and cognitive decline in AD patients from previous reports, we conducted immunoblotting analysis of tau phosphorylation in the hippocampal and cortical lysates obtained from vehicle- and DN5355-treated mice.<sup>37–39</sup> Remarkably, reduced levels of phosphorylated tau were observed in the cortex of DN5355-treated 5XFAD mice in comparison with the vehicle-treated 5XFAD controls (Figure 4C,D). These findings highlight the potential of DN5355 in modulating both A $\beta$  and tau pathologies *in vivo*, thereby leading to the amelioration of cognitive impairment in aged 5XFAD mice. Furthermore, the enhancement in cognitive deficits observed in DN5355-treated mice indicates that the dissociation of A $\beta$  plaques facilitated by DN5355 did not lead to the formation of harmful toxic A $\beta$  oligomers.

**Short-Term Administration of DN5355 to 5XFAD Mice.** To evaluate if comparable therapeutic effects to those observed in 8-month-old 5XFAD mice could be achieved with a lower concentration and shorter treatment duration, DN5355 was administered to 6-month-old 5XFAD mice via drinking water at a dosage of 30 mg/kg/day for 6 weeks ( $n = 5$ ). A control group consisting of vehicle-treated 5XFAD mice was employed for comparison ( $n = 5$ ). After 6 weeks of administration, brain tissues were collected for histochemical analysis. In mice treated with DN5355, a notable reduction in A $\beta$  plaques was observed within the brain, particularly in the cortical region, where a significant decrease was noted compared to the vehicle-treated group (Figure 5A,B). These findings collectively demonstrate that the administration of DN5355 at a dosage of 30 mg/kg/day for 6 weeks resulted in the effective removal of A $\beta$  plaques in the brains of 5XFAD mice.

Interestingly, the DN5355 treatment resulted in significant reductions in both the number and size of A $\beta$  plaques in 6-month-old 5XFAD mice (approximately 25% and 46% reductions, respectively) compared to their 8-month-old counterparts (approximately 11% and 17%, respectively), despite the lower dosage and shorter treatment period. This



difference may be due to the varying levels of A $\beta$  plaque compactness in the brain between the two age groups. To achieve a comparable level of plaque clearance as in 6-month-old 5XFAD mice, a higher dose of DN5355 may have been required for more densely aggregated A $\beta$  plaques in 8-month-old 5XFAD mice.

The reductions of A $\beta$  plaque and tau phosphorylation varied between the cortex and hippocampus, with the cortex appearing to be more affected by DN5355 administration. DN5355 may have a discrete distribution pattern across the cortical and hippocampal regions, which could explain the discrepancies observed. To better understand this, it is necessary to investigate the drug's distribution in specific brain regions.<sup>40</sup> Future investigations should focus on elucidating why the hippocampal region, which is closely linked to long-term memory and AD-related cognitive symptoms, demonstrated less pronounced effects of DN5355 treatment.<sup>41,42</sup>

The approval by the Food and Drug Administration of monoclonal antibodies that target A $\beta$  highlights the significance of A $\beta$  in the development of AD.<sup>43</sup> However, their ability to reverse cognitive decline remains uncertain, despite their ability to reduce A $\beta$  plaques and slow disease progression.<sup>14,44</sup> Given the strong association between cognitive decline and tau accumulation, targeting tau in addition to A $\beta$  remains imperative for a complete resolution of AD.<sup>45</sup> Small molecule drugs provide a competitive advantage due to their ability to cross the BBB and target intracellular aggregates through modification of chemical properties such as lipophilicity, charge, and size.<sup>46–48</sup> Based on our findings, we expect that DN5355 can serve as a structural motif for the development of small molecule drugs targeting both A $\beta$  and tau, simultaneously.

The promising efficacy of DN5355 in ameliorating cognitive decline through oral administration highlights its potential for developing safe oral drug formulations, which can improve patient compliance and provide cost-effective treatment options to a broader spectrum of individuals. Further research is needed to understand DN5355's distribution in the brain, identify optimal dosages and treatment durations, and unravel the structural mechanisms affecting A $\beta$  and tau aggregates. These insights are crucial for enhancing structural strategies in the discovery of small molecules for AD, paving the way for more targeted therapeutic interventions with potential disease-modifying effects.

## CONCLUSION

Through screening 52 Nec-1 derivatives, we identified DN5355 as a potent chemical capable of inhibiting and reversing A $\beta$  and tau aggregation *in vitro*. DN5355 can cross the BBB and directly interact with A $\beta$  and tau aggregates, resulting in therapeutic benefits in 8-month-old 5XFAD mice by reducing cortical A $\beta$  plaques, tau phosphorylation, and cognitive deficits, as well as significantly reducing endogenous A $\beta$  plaques in 6-month-old 5XFAD mice. DN5355 represents a promising small molecule therapeutic targeting A $\beta$  and tau proteins, providing advancements in neurodegenerative disease research.

## METHODS

**Animal.** 5XFAD mice were obtained from the Jackson Laboratory and were maintained by mating with (C57BL/6 x

SJL)F1 mice. The genotype of the mice was confirmed by polymerase chain reaction (PCR) analysis of tail DNA prior to administration using the standard PCR conditions from Jackson Laboratory. All mice were housed in a laboratory animal breeding room at Yonsei University and maintained under controlled temperature and humidity with an alternating 12-h light-dark cycle and access to food and water *ad libitum*.

All animal experiments were performed in accordance with the National Institutes of Health guidelines for the care and use of laboratory animals. The animal experiment protocols were approved by the Institutional Animal Care and Use Committee of Yonsei University (IACUC-202107-1300-01).

**Chemical Syntheses of Nec-1 Derivatives.** For the synthesis of Nec-1 derivatives, aldehyde (3 mmol) and dione (1.0 equiv) were added to 3 mL of acetic acid. The mixture was stirred at room temperature, and ammonium acetate (3.0 equiv) was added dropwise to the reaction solution. The temperature was gradually increased to 95 °C, and the solution was stirred for 2 h. The resulting product was filtered to obtain crystals and purified by recrystallization in hexane and ethyl acetate. The completion of the reaction was confirmed through thin-layer chromatography. For the CoCl<sub>2</sub>-DMG mediated reduction of synthesized compound, 0.5 mmol of compound was dissolved in a mixed solvent (1 mL) of methanol and water (1:1.5, v/v). Sodium hydroxide (1.0 equiv) was added dropwise at room temperature, and the solution was stirred for 15 min. 42 mg of cobalt chloride and 250 mg of DMG were prepared in 5 mL of dimethylformamide, and 30  $\mu$ L of the mixture was added to the reaction solution, followed by stirring at room temperature for an additional 15 min. Then, sodium boron hydroxide (1.3 equiv) was added and stirred at 50 °C for 24 h. After the completion of the reaction, the reaction solution was cooled to room temperature. The reaction was terminated with water, and ethyl acetate was further added to extract the product. The organic layer was washed with brine and dehydrated with sodium sulfite. The residue was obtained by distilling the solvent under reduced pressure and was purified by high-performance liquid chromatography.

**ThT Fluorescence Assays.** For ThT assays with A $\beta$ , A $\beta$  peptides were synthesized using solid-phase peptide synthesis as previously reported.<sup>49</sup> Synthetic A $\beta$  and Nec-1 derivatives were dissolved in dimethyl sulfoxide (DMSO) (Sigma-Aldrich, no. D8418) as 10 mM stock. For the evaluation of A $\beta$  aggregation inhibition by Nec-1 derivatives, A $\beta$  (25  $\mu$ M) was incubated with the compounds (50 and 500  $\mu$ M) at 37 °C for 3 days. In the A $\beta$  fibril disaggregation assays, we generated A $\beta$  fibrils by incubating A $\beta$  (250  $\mu$ M) for 3 days at 37 °C. Nec-1 derivatives were then treated and further incubated for 3 days at 37 °C, at final concentrations of 25  $\mu$ M for A $\beta$  and 50 and 500  $\mu$ M for the derivatives. For ThT assays with tau, recombinant human tau K18 fragments were dissolved in phosphate-buffered saline as a 1 mg/mL stock. K18 fragments (0.5 mg/mL) were coincubated with Nec-1 derivatives (50, 500  $\mu$ M) at 37 °C for 5 days for tau aggregation inhibition assays. To induce tau fibrilization, heparin at 1 mg/mL (Sigma-Aldrich, #H3393) and dithiothreitol (DTT) at 100  $\mu$ M (Tokyo Chemical Industry, #D1071) were used.<sup>50</sup> To assess the ability of DN5355 to reverse tau fibril formation, K18 fragments were incubated for 5 days at 37 °C to generate fibrils, then treated with 50 or 500  $\mu$ M Nec-1 derivatives, and incubated for 5 days at 37 °C. After the incubation, 25  $\mu$ L of incubated samples was transferred to a 96-well half-area black microplate (Corning, #3694), and 75  $\mu$ L of 5  $\mu$ M ThT



solution (Sigma-Aldrich, #T3516) in 50 mM glycine buffer (pH 8.5) was added for the measurement of  $\beta$ -sheet-containing A $\beta$  aggregates and tau fibrils. The fluorescence intensity of ThT binding on A $\beta$  fibril or tau fibril was measured at 450 nm/485 nm (excitation/emission) using a multimode plate reader (Infinite M200 PRO, Tecan Life Sciences). To eliminate possible intervention of the fluorescence properties of DN compounds in the fluorescence readings, we deducted the fluorescence intensity of DN compounds without A $\beta$  or K18 treatment from that of samples treated with A $\beta$  or K18. Fluorescence intensity was normalized to that of incubated A $\beta$  or K18 aggregates without Nec-1 derivatives (100%) as a control.

**PAMPA Assay.** Membrane permeability of DN5355 was tested using a PAMPA assay kit (BioAssay Systems, #PAMPA-096) according to the manufacturer's protocol. DN5355 and permeability controls were used at 50  $\mu$ M in 10% DMSO.

**Microsomal Stability Assay.** Liver microsomes specific for human, rat, and mouse (Corning) were prepared in 0.1 M phosphate buffer (pH 7.4). DN5355 was added to be 1  $\mu$ M in 0.5 mg/mL of microsome solution and pre-incubated for 5 min at 37 °C. Reaction was initiated by the addition of nicotinamide adenine dinucleotide phosphate (NADPH), and the mixture was incubated for 30 min at 37 °C. Acetonitrile (Sigma-Aldrich) containing 2  $\mu$ M of chlorpropamide was added to terminate the reaction, and sample was spun down at 15,000 rpm, 4 °C for 5 min. The supernatant was loaded onto a liquid chromatography (LC)-mass spectrometry (MS)/MS system (TSQ Vantage, Thermo Fisher Scientific). Pre-incubated sample without NADPH was used as a control, and the microsomal stability of test compound was calculated as percent of control activity.

**Plasma Stability Assay.** Test compound was spiked into human or rat plasma (Biochemed) to be 10 mM and incubated at 37 °C for 0, 30, or 120 min with shaking. After incubation, 100  $\mu$ L of each sample was transferred into a new 1.5 mL tube containing 200  $\mu$ L of acetonitrile with 1 mM chlorpropamide as an internal standard. After vortexing the mixture, centrifugation at 15,000 rpm, 4 °C for 10 min was conducted to remove proteins and debris. The amount of tested compound in the sample was determined as an area ratio (area of analyte/area of internal standard) measured using the LC-MS/MS system. Stability data was presented as % remaining compared to control (0 min).

**CYP Inhibition Assay.** CYP inhibition was assessed by measuring the metabolites of CYP isoform specific substrates. A mixture of CYP specific probes (Sigma-Aldrich) containing 50  $\mu$ M phenacetin, 10  $\mu$ M diclofenac, 100  $\mu$ M S-mephenytoin, 5  $\mu$ M dextromethorphan, and 2.5  $\mu$ M midazolam was prepared in 0.1 M phosphate buffer (pH 7.4) with 0.25 mg/mL human liver microsomes. In the mixture of microsome and probes, the test compound was added to be 10  $\mu$ M and the sample was incubated for 5 min. The reaction was initiated by the addition of NADPH (Promega), and the mixture was incubated for 15 min at 37 °C. Acetonitrile containing 0.25  $\mu$ M terfenadine as internal standard (Sigma-Aldrich) was added to terminate the reaction. After centrifugation of the reaction mixture at 15,000 rpm for 5 min at 4 °C, the supernatant was injected into the LC-MS/MS system. The reaction sample without the test compound was presented as control, and CYP enzyme activity was calculated as percent of control activity. All experiments were performed in duplicates.

**MAP Assay.** MAP assay was executed to identify the A $\beta$ -binding site of DN5355 which is essential for the dissociation of A $\beta$  aggregates.<sup>20</sup> MAP was prepared by immobilizing six-amino-acid-long A $\beta$  fragments (5  $\mu$ g), from A $\beta$ 1-6 to A $\beta$ 37-42, per well. An equal amount of full-length A $\beta$  was immobilized as a control. In each well, 200  $\mu$ L of cysteine (10  $\mu$ g/mL) was treated for blocking non-specific binding, and each well was washed 3 times with wash buffer (0.1 M sodium phosphate, 0.15 M sodium chloride, 0.05% Tween 20, pH 7.2). Flamma 552-conjugated A $\beta$  was treated in each well and incubated for 6 h at 37 °C to induce aggregation with fragmented A $\beta$ . Wells were washed again with wash buffer, and DN5355 at 500  $\mu$ M was added to each well, followed by a 24 h incubation at room temperature. Fluorescence intensities were measured at 550 nm/564 nm (excitation/emission) using a plate reader (SpectraMax M2e, Molecular Devices) after each washing step, and the aggregate dissociation rate (%) was calculated as [(Fluorescence intensity after incubation with DN5355 – Fluorescence intensity after incubation with Flamma 552-tagged A $\beta$ )/(Fluorescence intensity before incubation with Flamma 552-tagged A $\beta$  – Fluorescence intensity after incubation with Flamma 552-tagged A $\beta$ )]  $\times$  100.

**Tau Binding Fluorometric Assay.** To evaluate the binding ability of DN5355 with different fragments of tau in monomeric and aggregated states, we utilized the K18 fragment and tau with P301S mutation. K18 or P301S peptides were incubated for 5 days at 37 °C with heparin (0.1 mg/mL) and DTT (100  $\mu$ M) to generate aggregates. 100  $\mu$ M of DN5355 was treated with incubated or non-incubated tau fragments, and the absorbance was measured at 2 nm increments from 350 to 700 nm in a 96-well clear round-bottom plate (Corning, #CLS3896). The solutions were then transferred to a 96-well black round-bottom plate (Greiner Bio-One, #650209), and the fluorescence was scanned from an excitation wavelength of 420 nm, as the excitation peak of DN5355 was detected at 420 nm. Fluorometric readings were performed using a multimode plate reader (Infinite M200 PRO, Tecan Life Sciences).

**DN5355 Administration.** To investigate the therapeutic potential of DN5355 *in vivo*, we treated 8-month-old male 5XFAD mice with vehicle (2% DMSO, 2% polyethylene glycol (PEG) 400, 0.4% NaOH;  $n$  = 8) or DN5355 ( $n$  = 8) at 50 mg/kg/day via *ad libitum* drinking water. Age-matched wild-type mice ( $n$  = 13) were administered with vehicle as controls. For the evaluation of DN5355 at a lower dosage and shorter treatment period, 6-month-old male 5XFAD mice were treated with vehicle (2% DMSO, 2% PEG400, 0.4% NaOH;  $n$  = 5) or DN5355 ( $n$  = 5) via *ad libitum* drinking water at 30 mg/kg/day for 6 weeks. For the administration of DN5355 at an exact dosage, daily water consumption of each cage was recorded and used for the calculation of the required amount of DN5355. Vehicle and DN5355 in vehicle were replaced every day.

**Y-maze Test.** Short-term spatial memory was evaluated by recording the spontaneous alternation behavior. The maze (Jeung Do Bio & Plant) was made of black plastic with three equally spaced arms (40 cm length, 10 cm width, 12 cm height). Visual cues were blocked by surrounding the maze with a black floor-to-ceiling curtain. Facing the end of the arm, each mouse was placed at the end of an arm and allowed to explore the maze freely for 8 min. An arm entry was defined as the mouse reaching the end of the arm, and all entries were manually recorded. To remove scent cues, the interior of the

maze was cleaned with 70% ethanol and deionized water before testing each mouse. An alternation was defined as an entry to an arm not visited in the last two entries, and spontaneous alternation behavior was calculated as % alternation = [(number of alternations)/(total number of arm entries - 2)] × 100.

**CFC Test.** Following the Y-maze test, mice were allowed to rest for 2 days and handled for 1 to 2 min per day for 2 days before day 1 of CFC test. On the first day, the mice were individually placed in the chamber (Panlab, Harvard Apparatus) for 5 min for habituation. On day 2, each mouse was placed in the chamber and exposed to 0.3 mA foot shock for 2 s, twice at 178 and 238 s during the 5 min training phase. On the probe day, the mice were returned to the same chamber for 5 min without any foot shock. Time spent without any movement excluding respiratory movements in the chamber was automatically measured, and % freezing was automatically calculated with PACKWIN software (Panlab, Harvard Apparatus).

**Immunostaining.** All mice were sacrificed, and the brains were perfused with 0.9% NaCl before extraction. Collected brains were divided into hemispheres and used for immunoblotting or immunostaining. For immunostaining, the hemisphere was fixed in 4% paraformaldehyde (Biosesang, #P2031) and dehydrated in 30% sucrose for cryoprotection. 35- $\mu$ m thick coronal sections were collected and stained with 6E10 (BioLegend, #SIG-39320) or D54D2 monoclonal antibodies (Cell Signaling Technology, #8243). Alexa Fluor 488- or 555-conjugated secondary antibodies (Invitrogen, #A28175, and #A27039) were utilized for fluorescence detection. Images were obtained using a Leica DM2500 microscope, and the number and sizes of plaques were measured with Image J.

**Immunoblotting.** Using the hemisphere of each mouse treated with vehicle or DN5355, we isolated the hippocampus and cortex. Isolated tissues were homogenized in radio-immunoprecipitation assay buffer (Sigma-Aldrich, #R0278) with protease (Sigma-Aldrich, #11836170001) and phosphatase inhibitors (Sigma-Aldrich, #04906845001) and then incubated on ice for 30 min, followed by centrifugation at 17,000 rpm at 4 °C for 30 min. The supernatant of each mouse was loaded on sodium dodecyl sulfate-polyacrylamide gel electrophoresis gels (25  $\mu$ g/well) and transferred to nitrocellulose membranes (Bio-Rad, #1620112). The membranes were blocked with 5% milk (Difco, #232100) or bovine serum albumin (Sigma-Aldrich, #A3059) for 1 h at room temperature and then incubated with anti-tau (Abcam, #AB64193) or phosphorylated tau antibodies (Invitrogen, #MN1020) overnight at 4 °C. As a loading control,  $\beta$ -actin (Millipore Corporation, #MAB1501R) was used. The membrane was finally treated with horseradish peroxidase-conjugated secondary antibodies (anti-mouse: Bethyl Laboratories, #A90-116P; anti-rabbit: Jackson ImmunoResearch, #111-035-144dd). For detection, the membrane was developed with ECL solution (Thermo Fisher Scientific, #34580) following the manufacturer's instructions. The blot intensities were quantified with Image J.

**Statistical Analysis.** All data were organized into graphical data with Prism 9, and statistical analyses were conducted using one-way ANOVA followed by Bonferroni's *post-hoc* comparisons or student's *t* test (\**p* < 0.05, \*\**p* < 0.01, and \*\*\**p* < 0.001).

## ■ ASSOCIATED CONTENT

### ■ Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acspsci.4c00006>.

<sup>1</sup>H NMR data of synthesized compounds (PDF)

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### Author Contributions

○S.P., J.S., K.K., and D.K. contributed equally. S.P. performed A $\beta$  and tau ThT assays with the salt and free forms of DN5355, PAMPA, tau binding fluorometric assays, DN5355 administration, and behavior tests. J.S. performed *in vitro* A $\beta$  and tau screening ThT assays of 52 Nec-1 derivatives and immunostaining assays of 6-month-old male 5XFAD mice treated with DN5355 at 30 mg/kg/day for 6 weeks. S.P. performed immunostaining and immunoblotting assays of 8-month-old male 5XFAD mice treated with DN5355 at 50 mg/kg/day for 10 weeks. I.C. performed MAP assay. K.K., I.W.P., and S.Y. synthesized A $\beta$ ; J.S. and S.L. prepared mice. Y.K. designed and supervised the study; S.P., J.S., and Y.K. analyzed the results. S.P., K.K., H.Y.K., K.B.H., J.H.L., and Y.K. wrote the manuscript.

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### Notes

The authors declare the following competing financial interest(s): Y.K. is an employee of Amyloid Solution and receives equity or equity options.

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### ABBREVIATIONS

AD, Alzheimer's disease; A $\beta$ , amyloid- $\beta$ ; BBB, blood–brain barrier; CFC, contextual fear conditioning; CYP, cytochrome P450; K18, tau K18; MAP, mapping A $\beta$  plate; Nec-1, necrostatin-1; P301S, tau with P301S mutation; PAMPA, parallel artificial membrane permeability assay; ThT, thioflavin T

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