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**Development of a droplet digital PCR
method for detection and monitoring of
Mycobacterium tuberculosis and multi-
drug resistant tuberculosis**

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Department of Medicine

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Directed by Professor Kyung-A Lee

The Doctoral Dissertation
submitted to the Department of Medicine,
the Graduate School of Yonsei University
in partial fulfillment of the requirements for the degree of
Doctor of Philosophy in Medical Science

Yu Jeong Choi
December 2022

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ACKNOWLEDGEMENTS

The completion of this study could not have been achieved if not for the support of my supervisor, Prof. Kyung-A Lee. I thank her deeply for the learning opportunity she has given me. I would also like to thank Prof. Yoonjung Kim, Prof. Dokyun Kim, Prof. Young Ah Kim, and Prof. Hye-Jung Park for taking the time to read my thesis and the constructive feedback they have given me. This thesis has improved greatly thanks to them.

I would like to express special thanks to Prof. Yoonjung Kim and Ms. Boram Choi for their guidance and expertise. None of this would have been possible without them.

To all my colleagues, friends and family for the support and encouragement they have shown me, I am forever grateful. Thank you.

Yu Jeong Choi

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ABSTRACT

Development of a droplet digital PCR method for detection and monitoring of *Mycobacterium tuberculosis* and multi-drug resistant tuberculosis

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(Directed by Professor Kyung-A Lee)

Background: The prevalence of MDR-TB among Korean tuberculosis patients is about 4.1%, which is higher than the OECD average of 2.6%. Inadequate drug use and poor patient compliance increase MDR-TB prevalence through selective pressure. Therefore, prompt detection of drug resistance in tuberculosis patients at the time of diagnosis as well as quantitative monitoring of these resistant strains during treatment is crucial.

Method: Multiplex ddPCR assay was developed and assessed using DNA material of nine *Mycobacterium tuberculosis* strains with known mutation statuses purchased from the Korean National Tuberculosis Association. We collected a total of 20 MDR-TB residual samples referred for PCR analysis. Total DNA and exosomal DNA were extracted and subjected to the quadruplex ddPCR assay. Their results were compared to the known resistance phenotypes.

Result: The LOB for *IS6110*, *katG*, *inhA*, *rpoB*, *embB*, *rrs*, *gyrA* and *rpsL* were 1, 0, 1.5, 0.5, 1.5, 0.5, 1.5, and 0 copies per reaction. No cross-reactivity was observed between mutant and wild type. The multiplex ddPCR results correlated well with phenotype but there were some discrepancies.

Conclusion: In conclusion, we have developed a sensitive and accurate multiplex ddPCR assay that can detect the presence of tuberculosis as well as resistance-conveying mutations concurrently. This tool could aid clinicians in the diagnosis and treatment of tuberculosis.

Key words : *Mycobacterium tuberculosis*, multidrug-resistant tuberculosis,
multiplex ddPCR

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I. INTRODUCTION

Pulmonary tuberculosis is a bacterial infection caused by *Mycobacterium tuberculosis*. It is highly contagious; about 30% of close contacts with tuberculosis patients get infected, and about 10% of these infected individuals develop pulmonary tuberculosis. According to the 2019 World Health Organization (WHO) Global Tuberculosis Report, over 10 million people fell ill with tuberculosis in 2018 and 1.5 million died of tuberculosis the same year worldwide[1]. Korea has the highest prevalence of tuberculosis among Organization for Economic Co-operation and Development (OECD) countries, with around 3 million newly diagnosed tuberculosis patients and 2 thousand deaths from tuberculosis every year as presented by the Korean Centers for Disease Control and Prevention (KCDC) on their annual report[2]. Moreover, the prevalence of multi-drug resistant tuberculosis (MDR-TB) among Korean tuberculosis patients is about 4.1%, which is higher than the OECD average of 2.6%[3]. In addition, inadequate drug use and/or poor patient compliance increases MDR-TB prevalence through selective pressure[4, 5]. Therefore, prompt detection of drug resistance in tuberculosis patients at the time of diagnosis as well as quantitative monitoring of these resistant strains during treatment is crucial.

The gold standard method to diagnose tuberculosis and detect its resistance is through bacterial culture[2]. However, since it takes 6 to 8 weeks to culture *Mycobacterium tuberculosis*, real-time RT-PCR methods are used to make quick diagnoses. The problem

is that the sensitivity of nucleic acid amplification methods is only half of that of the culture test[6, 7]. Specially in the case of smear-negative patients, who correspond to 20-50% of all tuberculosis patients, the sensitivity of real-time RT-PCR drops to 24.0% as opposed to 85.7% in smear positive patients[6]. A prior study reported that combining exosomal DNA with droplet digital PCR in such cases yielded a much-improved sensitivity of 64.0%[6]. This improvement can be contributed to the use of exosomal DNA and droplet digital PCR. Exosomes are small vesicles (30-100 nm) of endocytic origin that carry both host as well as pathogen-derived lipids, proteins, and nucleic acids[8]. Recent evidence has indicated that the exosomal nucleic acids are more stable than other forms of nucleic acids, probably by the protective effect of lipid bilayer coating[9]. They are abundantly found in various body fluids such as blood, sputum, urine, etc[10]. Changes in exosome composition and amount are currently being used as diagnostic markers and indicators of disease progression in cancer patients[11]. Cho S.M. et al. have shown that exosomes can be useful targets in tuberculosis patients, which is an intracellular pathogen[6].

Moreover, unlike the conventional way of detecting resistant strains, droplet digital PCR can measure the absolute nucleic acid count without using standard curves thanks to the production of droplets that allow PCR amplification of single template molecules. This procedure gives precise and reproducible data without the interference of PCR inhibitors that might affect conventional RT-PCR. Since 20,000 droplets are generated per sample, it has a very low limit of detection (0.005%)[12-14]. Based on such facts, we speculated that the combination of exosomal DNA with droplet digital PCR could be used to detect resistant strains and monitor therapeutic response with better sensitivity.

As for detecting resistance-conveying variants, Xpert MTB/RIF and Xpert MTB/XDR assays exhibit excellent sensitivity and specificity, even higher than the gold standard method of phenotypic drug susceptibility testing (pDST). Sensitivity and specificity of Xpert RIF at detecting rifampicin resistance was 92.7-95% and 98-99% respectively[15, 16]. Xpert XDR assay showed a sensitivity of 94-100% and a specificity of 100% for all drugs except ethionamide (specificity 97.3%) when compared to sequencing results[17].

Meanwhile, sensitivity of pDST ranged from 65.4% to 98.3% and specificity ranged from 95.0% to 99.7%[17]. These methods, however, are not fit for high-throughput analysis, which could be critical in laboratories dealing with large amounts of samples. Moreover, exact quantitation is not feasible, which could be important in the context of patient compliance or heteroresistance.

Our objective is to develop a new method that not only allows rapid diagnosis of tuberculosis with high sensitivity, but also enables detection of gene variants that confer drug resistance and monitor therapeutic response via quantitative measurement. To achieve this goal, we developed a droplet digital PCR-based panel that can detect the presence of tuberculosis and its drug resistance profile simultaneously. This was a proof-of-concept prototype, so we aimed to cover not all but the most prevalent resistance-conveying variants of each representative resistance gene.

As stated above, quick, and accurate diagnosis of tuberculosis, especially prompt recognition of drug resistance and compliance monitoring, are crucial in the proper management of tuberculosis. However, there is no method that can achieve all these goals. Real-time RT-PCR may be quick, but its sensitivity is only half of that of the culture test and lower in smear-negative patients[6, 7]. The culture test may be accurate, but it takes weeks, even months to yield a result. In addition, none of these methods are quantitative, which makes them unsuitable for follow-up and/or compliance monitoring. Since droplet digital PCR is a quantitative method and can detect drug resistance mutations of *Mycobacterium tuberculosis*, this combination could be the solution to the above-mentioned problems.

II. MATERIALS AND METHODS

1. Primers and probes

Primer-probe set for *IS6110* were as reported previously[6]. Regarding primers and probes targeting resistance genes (*rpoB*, *katG*, *inhA*, *embB*, *gyrA*, *rpsL*, and *rrs*), we did a thorough literature search to find the most common mutation responsible for the resistant phenotype for each gene (Supplementary table 1). Using those as our targets, primers and probes were designed with the Primer and Probes Design Tool offered by GenScript (Piscataway, New Jersey, USA). *InhA*, *rpoB*, *rpsL*, and *gyrA* probes were labeled with the fluorophore FAM, while *katG*, *rrs*, and *IS6110* probes were labeled with VIC. *EmbB* probes were labeled with HEX. Their sequences, concentrations, and attached dyes are summarized in Table 1.

Supplementary table 1 Summary of resistance mutations reported in prior studies

Study(yr)	RIF	INH		EMB	PZA	FQ	SM	KM	AMK
	rpoB	katG	inhA	embB	pncA	gyrA	rpsL	rrs	rrs
Jnawali <i>et al.</i> (2013) [19]	S531L(44.79)	S315T(30.2)	C(-15)T(21.4)	M306V(23.4)	L159R(8.3)	D94G(32.8)	K43R(12.5)	A1401G(7.3)	A1401G(7.3)
	D516V(8.3)			M306I(17.2)	T135P(3.6)	A90V(12.0)	K88R(4.7)		
	D516Y(13.54)			Q497R(7.8)	D12A(2.6)	S91P(10.0)			
	H526Y(9.4)			Q497K(3.1)	H51P(2.1)				
Ko <i>et al.</i> (2019) [18]	S450L(25.6)	S315T(12.8)	I21T(2.6)	M306V(7.7)	S18Ter(2.6)	A90V(5.1)	K43R(7.7)		
	H445Y(2.6)	S315N(2.6)	I25T(2.6)	M306I(5.1)	T47P(2.6)	D94G(2.6)	K88Q(2.6)		
	R552L(2.6)	L378R(2.6)	S94A(5.1)	M306L(2.6)	H82Pfs(2.6)	D94N(2.6)			
		Y597D(2.6)		Y319S(2.6)	L85R(2.6)				
Farhat <i>et al.</i> (2016)-J. Clin. Microbiol [22]				I419V(2.6)					
						A90V(10.8)			
						D94G(13.3)			
						D94Y(2.1)			
Park <i>et al.</i> (2018) [20]	S450L(63.3)	S315T(93.3)	Y113F(3.3)	M306V(16.7)	A46E(6.7)	A90V(3.3)	K43R(20.0)		
		Y113F(3.3)		M306I(20.0)		S91P(3.3)	K88R(6.6)		
				Y319D(6.7)					
Farhat <i>et al.</i> (2016) -Am J Respir Crit Care Med [21]	S450L(OR 70.0)	S315T(OR 169.0)	C(-15)T(OR 18.5)	M306V(OR 14.2)	H51R(OR inf)	D94G(OR 228.1)	K43R(OR 25.5)	A1401G(OR 127.4)	A1401G(OR 222.9)
	D435V(OR 28.0)			M306I(OR 6.0)		D94Y(OR inf)	K88R(OR inf)		A514C(OR 6.4)
				Q497R(OR 9.5)		A90V(OR 126.8)			
						D94A(OR inf)			

INH, isoniazid; RIF, rifampin; EMB, ethambutol; PZA, pyrazinamide; FQ, fluoroquinolone; SM, streptomycin; KM, kanamycin; CAP, capreomycin; AMK, amikacin; OR, odds ratio

Table 1 Description of the primers and probes used in this study

Panel	Primer/probe	Sequence (5'→3')	Concentration (nmol/L)	Dye
1	IS6110-F	GGCGTACTCGACCTGAAAGA	450	VIC
	IS6110-R	CTGAACCGGATCGATGTGTA	450	
	IS6110	ACCATACGGATAGGGGA	125	
	rpoB-F	AGGAGTTCTTCGGCACCAG	900	
	rpoB-R	AGCCGATCAGACCGATGTT	900	
	rpoB450	CCGACTGTTGGCGC	250	FAM
	rpoB445	TTGACCTACAAGCGCCGA	250	FAM
	rpoB435	AATTCATGGTCCAGAACA	250	FAM
	inhA-F	GCTCGTGGACATAACCGATTT	1800	FAM
	inhA-R	CTTCAGTGGCTGTGGCAGT	1800	
	inhA(-15)	GGCGAGATGATAGGT	500	
	katG-F	GGGCTGGAAGAGCTCGTAT	900	VIC
	katG-R	CCGTACAGGATCTCGAGGAA	900	
	katG315	ATCACCACCGGCATC	250	
2	embB-F	GTCGGACGACGGCTACATC	1800	HEX
	embB-R	GCGGAAATAGTTGGACATGTAG	1800	
	embB306(V)	CCTGGGCGTGGCCCGAGTC	250	
	embB306(I)	CCTGGGCATHGCCCGAGTCG	500	
	rpsL-F	GCAGCGTCGTGGTGTATG	450	FAM
	rpsL-R	CCTCGACCTGACTCGTCAAC	450	
	rpsL43	CTCCGAGGAAGCCG	125	
	rrs-F	GTAATCGCAGATCAGCAACG	1800	
	rrs-R	CTCCCTCCCGAGGGTTAG	1800	VIC
	rrs(1401)	CCCGTCGCGTCAG	500	
	gyrA-F	AGACCATGGGCAACTACCAC	450	
	gyrA-R	GCTTCGGTGTACCTCATCG	450	FAM
	gyrA94	TCTACGGCASCCTGG	125	

2. Specimens

We received DNA material of nine *Mycobacterium tuberculosis* strains with known mutation statuses from the Korean National Tuberculosis Association, which were used for assay development and evaluation (Table 2). These included strains with mutations in *rpoB*, *katG*, *inhA*, *embB*, *gyrA*, *rpsL*, and *rrs* genes as well as two wild type strains. We also received eight strains of live *Mycobacterium tuberculosis* with known drug sensitivity phenotype, which were used to evaluate the performance of the assay.

Residual sputum samples pre-treated accordingly with NALC-NaOH for tuberculosis PCR (Gangnam Severance Hospital) and Xpert MTB/RIF assay (Sinchon Severance Hospital) were collected between 2019-2022. Among these, samples positive for mutation in the rifampicin resistance determining region (RRDR) of the *rpoB* gene were subjected to drug susceptibility testing. Residual samples from Gangnam Severance Hospital were also subjected to Xpert MTB/RIF assay retrospectively if more than 1.5 ml was left. Samples of patients that were initially culture-positive and did follow-up tests afterwards were included as well. We also collected samples from patients who had had a history of tuberculosis in the past. These clinical samples were used to compare assay results using total DNA and exosomal DNA.

Research involving human specimens complied with all relevant national regulations, institutional policies, and the tenets of the Helsinki Declaration (as revised in 2013). The study was approved by the Institutional Review Board of Severance Hospital, Seoul, Korea (IRB no. 3-2020-0455). The requirement for informed consent was waived.

Table 2 Mutation statuses of DNA material of nine *Mycobacterium tuberculosis* strains with known mutation statuses purchased from the Korean National Tuberculosis Association

No.	Susceptibility phenotype	<i>katG</i>	<i>inhA</i>	<i>rpoB</i>	<i>embB</i>	<i>pncA</i>	<i>gyrA</i>	<i>rrs</i>	<i>rpsL</i>
16	pan-Susceptible	-	-	-	-	-	-	-	-
18	pan-Susceptible	-	-	-	-	-	-	-	-
9	Multidrug-Resistant	S315T R463L	-	D435V	M306V	D136N	D94G	-	-
46	Multidrug-Resistant	S315T R463L	-	S450L	Q497K	74 C → del	A90V	-	K43R
107	Multidrug-Resistant	S315T	-15 C → T	S450L	M306I G406D	L35P	-	-	-
2	Extensively drug-resistant	S315T R463L	-	D435V	-	C14W	-	1401 A → G	K43R
23	Extensively drug-resistant	R463L	-15 C → T	S450L	M306I	P62L	D94G	1401 A → G	-
32	Extensively drug-resistant	R463L	-15 C → T	S450L	M306L	G97S	A90V	1401 A → G	-
77	Extensively drug-resistant	S315T R463L	-	S450L	M306V	-	D94G	1401 A → G	-

3. DNA extraction

For total DNA analysis, 100 μ L aliquots of the clinical specimens were re-suspended in DNA extraction buffer. Total DNA was extracted using QIAamp DNA mini kit (QIAGEN, Hilden, Germany) as stated in the manufacturer's instructions. For exoDNA analysis, exosomal fractions were isolated from 1 mL of clinical specimens with ExoQuick™ Exosome Precipitation Solution (System Biosciences Inc., Mountain View, CA, USA) as recommended by the manufacturer. The specimens were centrifuged at 3000 x g for 15 min to remove cells and cell debris. After adding 1/4 volume of ExoQuick Solution, they were refrigerated at 4°C overnight. The mixture was centrifuged at 1500 x g for 30 min, and the supernatant was removed. Pellets were re-suspended in nuclease-free water. Subsequently, exosome-derived DNA was extracted using QIAamp DNA mini kits as described above.

4. ddPCR

Digital PCR reactions were performed with a QX200 Droplet Digital PCR System (Bio-Rad Laboratories, Hercules, CA, USA). The dPCR reaction mixture was composed of 10 μ L dPCR Probe Supermix (Bio-Rad, California, US), 1800/900/450 nM primers per target, 500/250/125 nM probe per target, and 1 μ L sample. The reaction mixture was topped up with ultrapure DNase- and RNase-free water to a final volume of 20 μ L. Then, micro-droplets were generated from the mixture via QX200 Droplet Generator (Bio-Rad). The PCR mix was composed of 10 μ L of Bio-Rad Super mix TaqMan, 0.9 μ L of each amplification primer and 0.5 μ L of each probe, and 0.5 μ L of sample DNA. Results were analysed with QuantaSoft Analysis Pro software (Bio-Rad Laboratories). This provided the number of positive and negative droplets, as well as quantification of IS6110 of MTB, and resistance-related genes expressed as copies/uL of ddPCR reaction. At least two positive droplets were required for a positive test result of the ddPCR assay. The procedure of multiplex ddPCR is summarized in Figure 1 and the actual 2D plots of our assay are shown in Figure 2. Amplitude multiplex ddPCR method was used to distinguish different targets/target sets via unique clusters they formed.

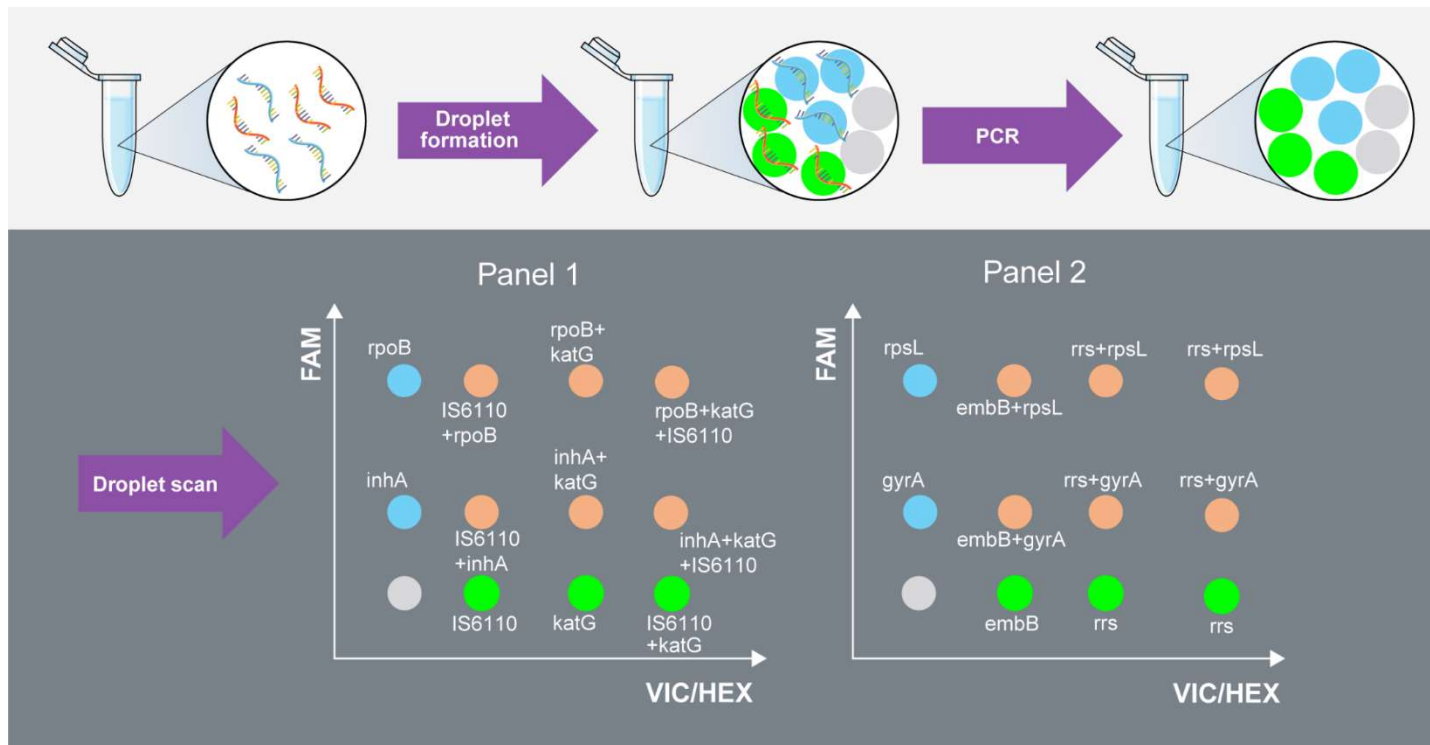
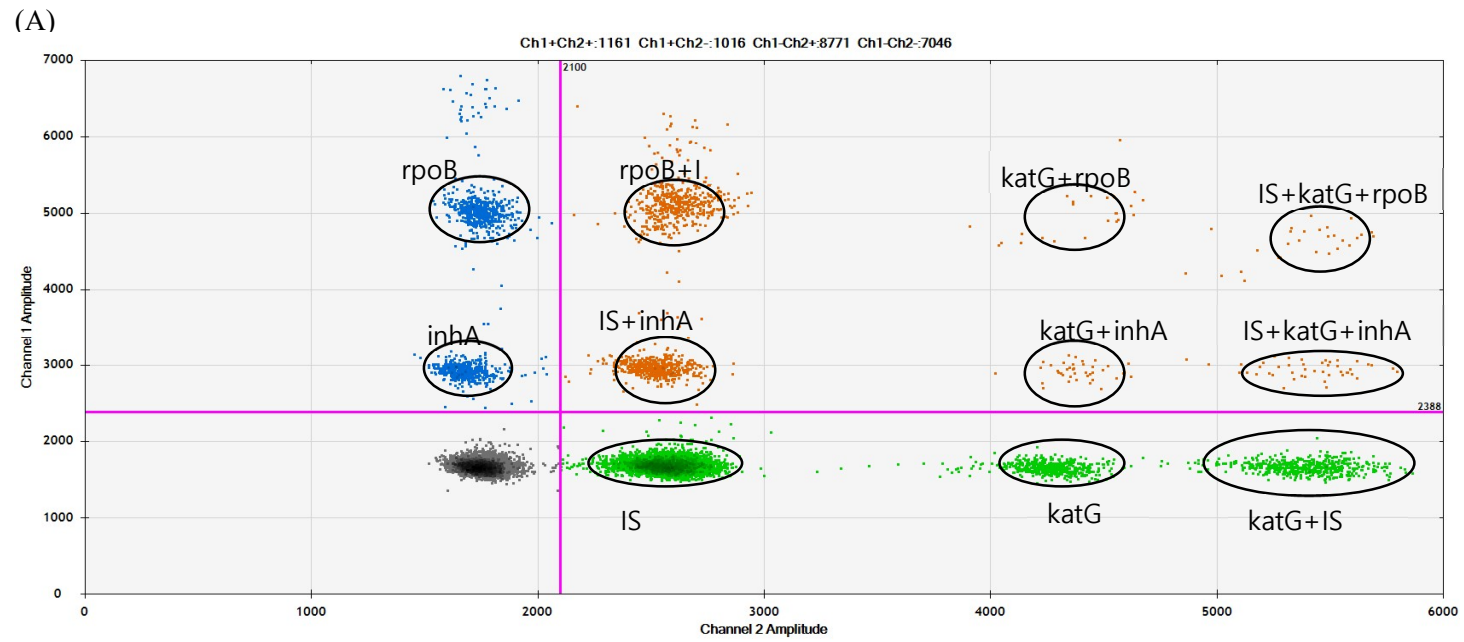


Figure 1 The sample mix undergoes droplet formation so that the DNA strands are compartmentalized into separate droplets. Then they are amplified via PCR and the amplified product reacts with fluorescence-labeled probe accordingly. Each droplet is scanned and plotted in its corresponding coordinate. The x-axis represents signal detected from Channel 2 (VIC/HEX) while the y-axis represents signal detected from Channel 1 (FAM).



(B)

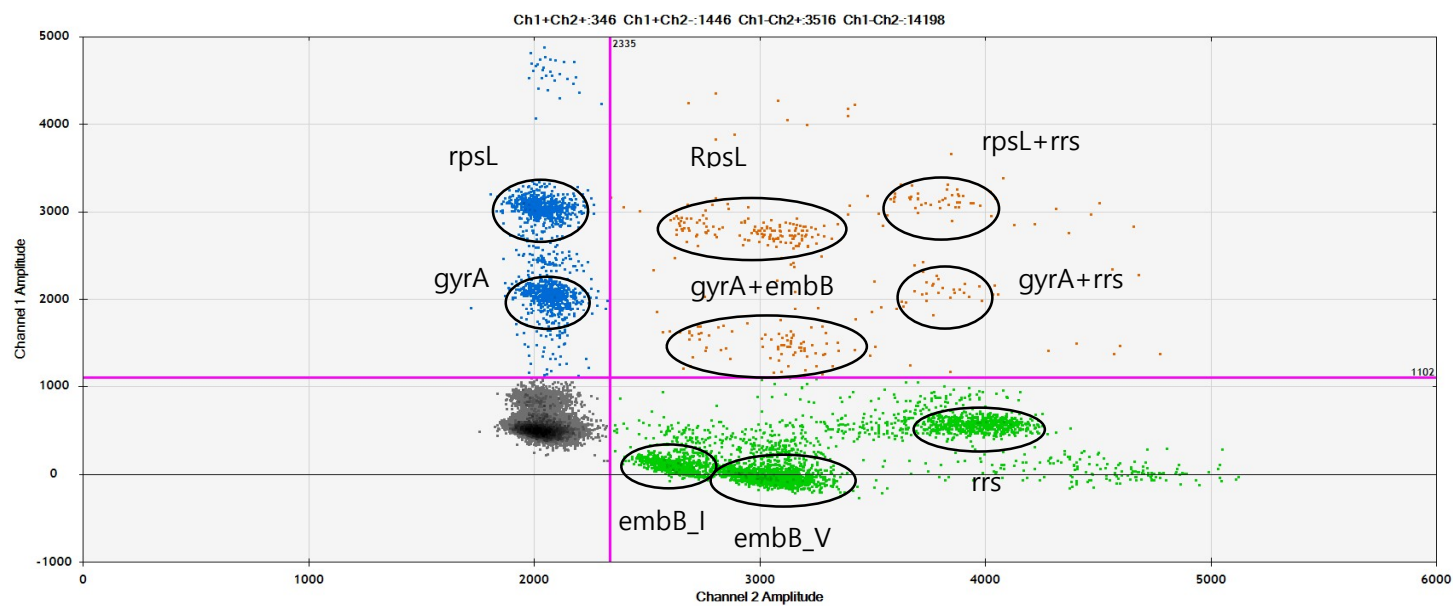


Figure 2 The 2D plots of panel 1 (A) and panel 2 (B) of our ddPCR assay is shown.

5. Performance evaluation of multiplex ddPCR

We designed two separate multiplex ddPCR assays to detect mutations on *rpoB*, *katG*, *inhA*, *embB*, *gyrA*, *rpsL*, and *rrs* as well as the *IS6110* gene specific to Mycobacterium tuberculosis complex (MTBC). *InhA*, *rpoB*, *katG*, and *IS6110* were put together as panel 1 while *embB*, *gyrA*, *rpsL*, and *rrs* were grouped as panel 2. To determine the limit of blank (LoB), twenty tuberculosis PCR negative sputum samples were tested. The assay's performance evaluation including sensitivity and specificity were validated as recommended[23]. Four DNA concentrations (50, 10, 5, 2 copies/ μ L) using g-block (IDT, Redwood City, CA, USA) were made for each corresponding probe to determine the limit of detection (LoD). The two higher concentrations (50, 10 copies/ μ L) were tested four times whereas eight replicates were tested in case of the lower two (5, 2 copies/ μ L).

6. Statistical analysis

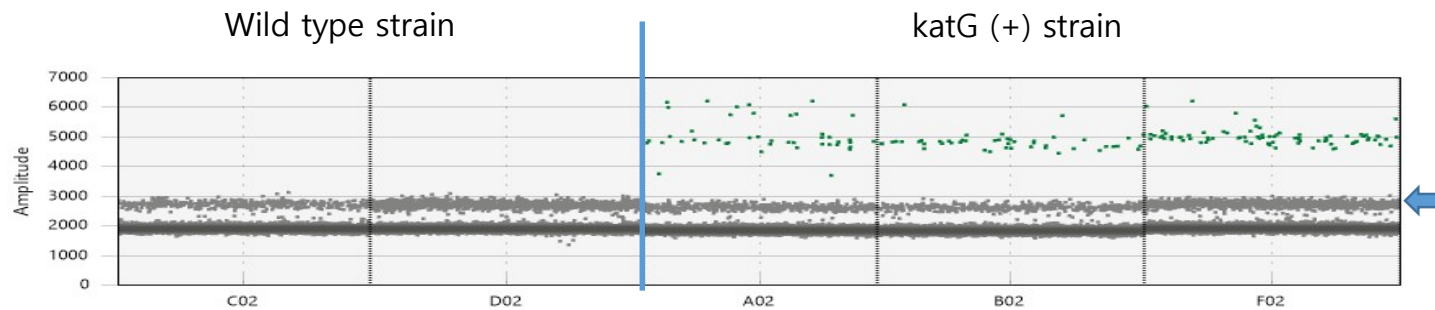
For statistical analysis, Microsoft Excel 2013 (Seattle, WA, USA), Analyse-it for Microsoft Excel Method Evaluation Edition version 5.40.2 (Analyse-it Software, Ltd., Leeds, UK), SPSS Statistics v.23 (SPSS, Inc., Chicago, IL, USA), and Prism 8.0 (GraphPad Software, Inc, La Jolla, CA, USA) were used.

III. RESULTS

1. Performance of the multiplex ddPCR assay

The LoB for *IS6110*, *katG*, *inhA*, *rpoB*, *embB*, *rrs*, *gyrA* and *rpsL* were 1, 0, 1.5, 0.5, 1.5, 0.5, 1.5, and 0 copies per reaction. Thus, detection cut-off was set at 2 positive droplets. No cross-reactivity was observed between mutant and wild type (Supplementary figure 1). The LoD calculated by probit analysis for each probe was 3.09 copies/ μ L for *rpoB*_435, 2.97 copies/ μ L for *rpoB*_445, 2.83 copies/ μ L for *rpoB*_450, 2.97 copies/ μ L for *inhA*, 2.97 copies/ μ L for *IS6110*, 2.97 copies/ μ L for *katG*, 3.23 copies/ μ L for *gyrA*,

3.11 copies/ μ L for rpsL, 2.66 copies/ μ L embB_I, 2.86 copies/ μ L for embB_V, and 2.67
copies/ μ L for rrs.



Supplementary Figure 1 Result of multiplex ddPCR using 2 wild type strains (left) versus 3 strains containing *katG* mutation (right). The arrow indicates *IS6110*.

2. Multiplex ddPCR results of live *Mycobacterium tuberculosis*

The drug resistance phenotypes and genotype results obtained from multiplex ddPCR assays of eight live *Mycobacterium tuberculosis* are summarized in Table 3. Some discrepancies between known resistance phenotype and obtained resistance genotype were noted. T-3 was resistant to isoniazid, but no mutation was detected in neither *katG* nor *inhA*. T-2 and 6 were resistant to ethambutol while T-3 was sensitive but mutation on *embB* was only detected in T-3. All strains were sensitive to fluoroquinolones (ofloxacin and moxifloxacin) but mutation on *gyrA* was detected in T-5 and 7. Lastly, T-2 was resistant to streptomycin, but no mutation was detected on neither *rpsL* nor *rrs*.

Table 3 Resistance phenotype and ddPCR results of 8 live *Mycobacterium tuberculosis* strains

No.	Drug sensitivity test									ddPCR							
	INH	RIF	EMB	PZA	FQ	SM	KM	CAP	AMK	IS6110	katG	inhA	rpoB	embB	rrs	gyrA	rpsL
T-1	R	R	S	S	S	S	S	S	S	+	+	-	+	-	-	-	-
T-2	R	R	R	S	S	R	S	S	S	+	+	-	+	-	-	-	-
T-3	R	R	S	R	S	S	S	S	S	+	-	-	+	+	-	-	-
T-4	R	R	S	S	S	S	S	S	S	+	-	+	+	-	-	-	-
T-5	R	R	S	S	S	R	S	S	S	+	+	-	+	-	-	+	+
T-6	R	R	R	S	S	S	S	S	S	+	+	-	+	-	-	-	-
T-7	R	R	R	S	S	R	S	S	S	+	+	-	+	+	-	+	+
T-8	R	R	S	R	S	S	S	S	S	+	+	-	+	-	-	-	-

INH, isoniazid; RIF, rifampin; EMB, ethambutol; PZA, pyrazinamide; FQ, fluoroquinolone; SM, streptomycin; KM, kanamycin; CAP, capreomycin; AMK, amikacin

3. Multiplex ddPCR results of patient samples

The demographic characteristics of 20 samples obtained from 18 patients are summarized in Table 4. The mean age of the patients was 64.7 years and there was a male predominance. Regarding sample type, 85% were sputum samples while the other 15% were bronchial washing samples. In case of resistance phenotype, 55.6% of the patients harbored strains that were resistant to isoniazid and 38.9% were infected with rifampicin-resistant strains. Unknown resistance phenotypes were cases where either the culture was negative or contaminated or positive, but drug sensitivity test could not be done because the bacteria's activity was too low. All samples had a culture test done on the same day that molecular testing was done except for one sample, which was classified as "unknown". Positive culture test was found on 70% of the samples while 25% were culture negative and one sample, contaminated. AFB smear was negative in 70% of the samples.

Table 4 Demographic characteristics of 20 MDR-TB samples obtained from 18 patients

Variables	Patients/samples (n=18/20)	
Age, years	Mean (SD)	64.7/65.6 (14.6/14.4)
Sex	Male (%)	11/12 (61.1/60)
	Female (%)	7/8 (38.9/40)
Sample type (per sample)	Sputum	17 (85)
	Bronchial washing	3 (15)
Resistance phenotype (per patient)	INH	10 (55.6)
	RIF	7 (38.9)
	EMB	3 (16.7)
	PZA	3 (16.7)
	FQ	0 (0.0)
	SM	1 (5)
	KM	0 (0.0)
	CAP	0 (0.0)
	AMK	0 (0.0)
	Unknown	8 (44.4)
Culture (per sample)	Positive	14 (70)
	Negative	5 (25)
	Contamination	1 (5)
AFB smear (per sample)	3+	1 (5)
	2+	4 (20)
	1+	1 (5)
	Negative	14 (70)

SD, standard deviation; AFB, Acid-fast bacillus

The multiplex ddPCR results of patient samples using total DNA as well as exosomal DNA are summarized in Supplementary tables 2 and 3. Similar discrepancies observed in those done with live strains were also seen with patient samples. Three culture negative samples tested positive for *IS6110* and 1 culture positive sample tested negative for *IS6110* using total DNA while 2 culture negative samples tested positive for *IS6110* and 1 culture positive sample tested negative for *IS6110* using exosomal DNA. No mutation was detected on 2 isoniazid-resistant samples on neither *katG* nor *inhA*, whereas mutation on *inhA* was found on one sensitive strain for both types of DNA. Similarly, no mutation on *rpoB* was observed in 3 and 4 rifampicin-resistant samples with total DNA and exosomal DNA respectively. For both types of DNA, 2 ethambutol-resistant strains tested negative for mutation. One fluoroquinolone-sensitive sample tested positive for *gyrA* mutation with exosomal DNA. Lastly, *rpsL* mutation was detected on 1 streptomycin-sensitive sample with total DNA while 1 streptomycin-resistant sample tested negative for mutation with both types of DNA. Results of the ddPCR assay using patient samples with known phenotypes as well as the eight strains received from the Korean National Tuberculosis Association and PCR negative samples were used to calculate the sensitivity and specificity when compared to pDST results (Table 5).

Supplementary table 2 Resistance phenotype of patient samples and their culture results

No.	Sample type	AFB smear	Sequencing		Tb Drug Susceptibility Test									Culture
			INH	RIF	INH	RIF	EMB	PZA	FQ	SM	KM	CAP	AMK	
G-1	Sputum	-	R	R	R	R	S	R	S	S	S	S	S	Positive
G-2	Sputum	-	R	R	R	R	R	R	S	S	S	S	S	Negative
G-3	Sputum	-	R	R	R	R	S	R	S	S	S	S	S	Positive
G-4	Sputum	-	R	S	R	S	S	S	S	S	S	S	S	Positive
G-5.1	Bronchial washing	2+	R	S	S	S	S	S	S	S	S	S	S	Positive
G-5.2	Sputum	2+	-	-	-	-	-	-	-	-	-	-	-	Positive
G-6.1	Sputum	-	R	S	S	S	S	S	S	S	S	S	S	Positive
G-6.2	Bronchial Washing	-	-	-	-	-	-	-	-	-	-	-	-	Negative
G-7	Sputum	-	R	S	S	S	S	S	S	S	S	S	S	Positive
S-1	Sputum	-	-	-	-	-	-	-	-	-	-	-	-	Positive
S-2	Sputum	3+	R	R	R	R	R	S	S	S	S	S	S	Positive
S-3	Sputum	1+	R	R	R	R	R	S	S	S	S	S	S	Positive
S-4	Sputum	2+	-	-	-	-	-	-	-	-	-	-	-	Positive
S-5	Sputum	-	-	-	-	-	-	-	-	-	-	-	-	Contamination
S-6	Bronchial washing	-	-	-	-	-	-	-	-	-	-	-	-	Negative
S-7	Sputum	-	-	-	-	-	-	-	-	-	-	-	-	Negative
S-8	Sputum	2+	-	-	-	-	-	-	-	-	-	-	-	Positive
S-9	Sputum	-	S	R	-	-	-	-	-	-	-	-	-	Positive
S-13	Sputum	-	-	-	-	-	-	-	-	-	-	-	-	Positive
S-14	Sputum	-	R	R	R	R	S	S	S	R	S	S	S	Negative

Supplementary table 3 Multiplex ddPCR results of patient samples

No.	IS6110		katG		inhA		rpoB		embB		rrs		gyrA		rpsL	
	Total	Exosome	Total	Exosome	Total	Exosome	Total	Exosome	Total	Exosome	Total	Exosome	Total	Exosome	Total	Exosome
G-1	+	-	-	-	+	+	-	-	-	-	-	-	-	-	-	-
G-2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
G-3	+	+	-	-	+	+	+	-	-	-	-	-	-	-	-	-
G-4	+	+	+	+	+	+	-	-	-	-	-	-	-	-	-	-
G-5.1	+	+	+	+	-	+	-	-	-	-	-	-	-	-	-	-
G-5.2	+	+	-	-	-	-	-	-	-	-	-	-	-	-	-	-
G-6.1	+	+	-	-	+	+	-	-	-	-	-	-	-	-	-	-
G-6.2	+	+	-	-	+	+	-	-	-	-	-	-	-	-	-	-
G-7	+	+	-	-	+	+	-	-	-	-	-	-	-	+	-	-
S-1	+	+	-	-	+	-	+	+	-	-	-	-	-	+	-	-
S-2	+	+	-	-	+	+	+	-	-	-	-	-	-	-	-	-
S-3	+	+	-	-	+	+	+	+	+	+	-	-	-	-	+	-
S-4	+	+	-	-	+	-	+	+	-	-	-	-	-	-	-	-
S-5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
S-6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
S-7	+	+	-	-	+	+	-	-	-	-	-	-	-	-	-	-
S-8	+	+	+	+	-	+	-	-	-	-	-	-	-	-	-	-
S-9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
S-13	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
S-14	+	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Table 5 Sensitivity and specificity of samples tested with ddPCR assay

Drug	TP	FP	FN	TN	Sensitivity (%)	95% CI	Specificity (%)	95% CI
INH	15	0	1	29	93.75	69.77-99.84	100.00	88.06-100.00
RIF	11	0	1	33	91.67	61.52-99.79	100.00	89.42-100.00
EMB	2	1	3	39	40.00	5.27-85.34	97.50	86.84-99.94
FQ	0	2	0	43	-	-	95.56	84.85-99.46
SM	2	1	1	41	66.67	9.43-99.16	97.62	87.43-99.94
KM, CAP, AMK	0	0	0	45	-	-	100.00	92.13-100.00

TP, true positive; FP, false positive; FN, false negative; TN, true negative

The multiplex ddPCR results of follow-up patient samples using total DNA as well as exosomal DNA are summarized in Table 6. Sample types were consistent throughout the follow-up period. The DNA concentration of *IS6110* for total as well as exosomal DNA decreased progressively in all four follow-up cases.

Table 6 Multiplex ddPCR results of follow-up patient samples

No.	Date	Sample type	AFB smear	Culture	<i>IS6110</i> (copies/well)	
					Total	Exosome
1-1	2019-08-28	Sputum	3+	Positive	1667.45	289.55
1-2	2019-11-03	Sputum	Negative	Negative	9.43	2.86
2-1	2019-09-23	Sputum	Negative	Positive	21.32	1.55
2-2	2019-12-30	Sputum	Negative	Negative	1.46	0.00
3-1	2021-07-28	Sputum	3+	Positive	3028.48	1165.69
3-2	2021-09-24	Sputum	Negative	Positive	5.49	2.88
3-3	2021-11-10	Sputum	Negative	Negative	0.00	0.00
4-1	2021-10-22	Bronchial washing	Negative	Positive	45.90	3.37
4-2	2022-04-18	Bronchial washing	Negative	Negative	0.00	0.05

From the cycle threshold (Ct) value of each probe used in Xpert MTB/RIF assay, we could locate where in the RRDR the *rpoB* mutation was located. The mutation included in our ddPCR assay was covered by probe E according to the manufacturer. The comparison data is summarized in Supplementary Table 3. Of the nine samples collected from Gangnam Severance Hospital, only six had enough residual sample left for Xpert MTB/RIF assay. Among those six samples, only one sample had a mutation on the RRDR, probe E location. Droplet digital PCR result of this sample coincided with the Xpert MTB/RIF assay result. Samples S-5, 6, 7, 8, and 14 had mutations at locations other than probe E, which was corroborated by the fact that their ddPCR results were negative for *rpoB* despite a positive *IS6110*. All samples with mutations located on probe E were positive for *rpoB* mutation on ddPCR assay if *IS6110* was detected.

Supplementary table 3 Xpert MTB/RIF assay results and ddPCR results

No.	Sample type	AFB smear	Xpert		Probe	ddPCR	
			MTB	RIF		<i>IS6110</i>	<i>rpoB</i>
G-1	Sputum	Negative	Negative	Negative	-	+	-
G-2	Sputum	Negative	Negative	Negative	-	-	-
G-3	Sputum	Negative	Positive	Positive	probe E	+	+
G-4	Sputum	Negative	Positive	Negative	-	+	-
G-5.1	Bronchial washing	2+	Positive	Negative	-	+	-
G-5.2	Sputum	2+	Negative	Negative	-	+	-
G-6.1	Sputum	Negative	-	-	-	+	-
G-6.2	Bronchial washing	Negative	-	-	-	+	-
G-7	Sputum	Negative	-	-	-	+	-
S-1	Sputum	Negative	Positive	Positive	probe E	+	+
S-2	Sputum	3+	Positive	Positive	probe E	+	+
S-3	Sputum	1+	Positive	Positive	probe E	+	+
S-4	Sputum	2+	Positive	Positive	probe E	+	+
S-5	Sputum	Negative	Positive	Positive	probe B	-	-
S-6	Bronchial washing	Negative	Positive	Positive	probe B	-	-
S-7	Sputum	Negative	Positive	Positive	probe A	+	-
S-8	Sputum	2+	Positive	Positive	probe B	+	-
S-9	Sputum	Negative	Positive	Positive	probe E	-	-
S-13	Sputum	Negative	Positive	Positive	probe E	-	-
S-14	Sputum	Negative	Positive	Positive	probe B	+	-

IV. DISCUSSION

Overall, genotypic results of multiplex ddPCR assays correlated fairly well with the resistance phenotype. However, some exceptions were noted that need explaining. Regarding discrepancies found on multiplex ddPCR results of live *Mycobacterium tuberculosis*, Sanger sequencing of the same region as the probes used in the assays was done to confirm that the ddPCR results were indeed accurate sequence-level-wise. It is possible that they harbored another mutation in the same gene that was not covered by Sanger sequencing. One case was phenotypically resistant to isoniazid, but no mutation was detected on *katG* nor *inhA* (T-3). According to prior research, the most frequent form of discrepancy was genotypic susceptibility and phenotypic resistance to INH[24]. Authors of this paper speculated that rare mutations such as those in *kasA*, *msbA*, or, more commonly, *ahpC* could be the reason for such disparity. Discrepant result for *embB* was found on three out of eight strains: two were phenotypically resistant but genetically susceptible (T-2, T-6) while one was phenotypically susceptible but genetically resistant (T-3). Discordance in both ways has been reported by Ahmad et al., where the authors found that the agreement between phenotypic resistance and genotypic resistance was lowest for ethambutol: it was only 76% compared to 96% and 97% for rifampicin and isoniazid respectively[25]. *GyrA* mutation was detected in two fluoroquinolone-sensitive strains (T-5, T-7), though at very low concentrations: 6.32 and 3.30 copies per well. Heteroresistance can be one mechanism to explain this situation[26]. As for the *rpsL* mutation that was not detected in a strain resistant to streptomycin (T-2), mutation at another location could be the reason of discrepancy.

Regarding discrepancies found in the multiplex ddPCR results of patient samples, Sanger sequencing could not be done due to lack of remnant samples. However, discrepancies on drug resistance and ddPCR results were very similar to those found in live strains of *M. tuberculosis* except for G-1, which was resistant to rifampicin, but no mutation was detected on ddPCR. The most likely explanation is that the mutation is at a loci other than codons 450, 445, and 435 since Xpert RIF result was also negative for this sample

(Supplementary Table 3). One unique feature of the patient sample results was that the culture results could be compared with whether *IS6110* was detected or not. The discrepancy between them went both ways: there were samples that were culture negative but *IS6110* was detected and samples that were culture positive but no *IS6110* was detected. The former is sort of intuitive since ddPCR can detect *M. tuberculosis* dead or alive while culture tests only detect live bacteria. The latter, where culture is positive but molecular methods fail to detect *M. tuberculosis* is less intuitive, yet they have been reported before[27]. According to Nguyen et al., abnormal chest X-ray and low bacterial load in the sputum specimen were associated with both types of discordance.

Another interesting finding was that exosomal DNA was not superior to total DNA as was the case according to Cho et al.[6]. We speculate that while exosomal DNA enriched viable bacteria and thus correlated better with the culture test compared to total DNA when diagnosing tuberculosis infection, total DNA could be more appropriate in detecting resistance mutations, especially when they are MDR TBs that have endured treatment.

Sensitivity of ddPCR assay relative to pDST for INH was 93.75% (95% CI: 69.77–99.84) and specificity 100% (95% CI: 88.06–100), which were comparable to the sensitivity and specificity of Xpert MTB/XDR assays of 98.3% (95% CI: 95.8–99.3) and 95.0% (95% CI: 73.1–99.7) respectively[17]. In case of RIF, we yielded a sensitivity and specificity of 91.67% (95% CI: 61.52–99.79) and 100% (95% CI: 89.42–100), also comparable to the reported sensitivity of 81.0% (95% CI: 74.9–86.2) and specificity of 98.7% (95% CI: 93.0–100) for RIF using Xpert[15]. In case of FQ, KM, CAP, and AMK, the sensitivity could be calculated since there were no true positive nor false negative samples.

The fact that eight out of 18 strains with suspected resistance had unknown phenotypes was also noteworthy. Of those eight strains, one was too heavily contaminated by other bacteria, nothing grew in the culture test in two, and the viability of *M. tuberculosis* was too weak to carry out a drug sensitivity test in other two strains with a positive culture test. Since molecular methods can overcome these obstacles, multiplex ddPCR could aid in predicting resistance patterns in such cases.

Our research had several limitations. First, the number of samples collected were too small to draw a statistically meaningful conclusion. This was somewhat anticipated since the prevalence of MDR TB is only about 4% of all TB patients in Korea[3]. To make things worse, our sample collection period overlapped with the COVID-19 pandemic, during which the number of tuberculosis patients dropped either because less were infected thanks to the wearing of masks and thorough washing of hands or access to TB diagnostic services decreased and less were diagnosed regardless of the actual prevalence[28]. Another limitation was that due to the limited number of detection channels, no internal control could be added to our assay. Further study using more drug-resistant tuberculosis samples with known resistance phenotype could aid in assessing the utility of multiplex ddPCR more accurately.

V. CONCLUSION

In conclusion, we have developed a multiplex ddPCR assay that can detect the presence of tuberculosis as well as resistance-conveying mutations concurrently. This tool could aid clinicians in the diagnosis and treatment of tuberculosis.

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ABSTRACT(IN KOREAN)

결핵균 및 다제내성결핵의 검출 및 모니터링을 위한 드롭렛 디지털 PCR 방법 개발

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최유정

배경: 우리나라 결핵환자의 다제내성결핵 유병률은 약 4.1%로 OECD 평균인 2.6%보다 높다. 부적절한 약물 사용과 낮은 환자 순응도는 선택적 압력을 통해 다제내성 결핵 유병률을 증가시킨다. 따라서 결핵 환자의 약물 내성을 진단 당시 신속하게 감지하고 치료 중 이러한 내성 균주를 정량적으로 모니터링하는 것이 중요하다.

방법: Multiplex ddPCR assay는 대한결핵협회에서 구입한 돌연변이 상태가 알려진 9개의 Mycobacterium tuberculosis 균주의 DNA 물질을 사용하여 개발 및 평가하였다. PCR 분석이 의뢰된 총 20개의 MDR-TB 잔류 검체를 수집하였다. 전체 DNA 및 엑소솜 DNA를 추출하고 multiplex ddPCR 분석을 수행하였다. 그들의 결과는 알려진 내성 표현형과 비교되었다.

결과: IS6110, katG, inhA, rpoB, embB, rrs, gyrA 및 rpsL에 대한 LOB는 반응 당 1, 0, 1.5, 0.5, 1.5, 0.5, 1.5 및 0 카피였다. 돌연변이체와 야생형 사이에는 교차 반응성이 관찰되지 않았다. Multiplex ddPCR 결과 상 표현형과 좋은 상관관계가 있었지만 일부분 불일치가 관찰되었다.

결론: 결론적으로, 우리는 결핵의 존재와 내성 전달 돌연변이를 동시에 검출할 수 있는 민감하고 정확한 multiplex ddPCR 분석법을 개발했다. 이 도구는 임상 의사 결핵 진단 및 치료에 도움이 될 수 있습니다.

핵심되는 말 : 결핵균, 다제내성 결핵, multiplex ddPCR