

# Three-Year Sustained Clinical Efficacy of Drug-Coated Balloon Angioplasty in a Real-World Femoropopliteal Cohort

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#### **Abstract**

Purpose: To report the 36-month outcomes from the prospective, multicenter, single-arm IN.PACT Global Study (ClinicalTrials.gov identifier NCT01609296) evaluating the performance of the IN.PACT Admiral drug-coated balloon (DCB) in real-world patients with femoropopliteal occlusive disease. Materials and Methods: The IN.PACT Global Study was conducted at 64 international sites and enrolled 1535 patients with complex lesions, which included bilateral disease, multiple lesions, de novo in-stent restenosis, long lesions, and chronic total occlusions. The predefined full clinical cohort included 1406 patients (mean age 68.6 years; 67.8% men) with claudication or rest pain treated with the study DCB. Mean lesion length was 12.09 ± 9.54 cm; 18.0% had in-stent restenosis, 35.5% were totally occluded, and 68.7% were calcified. Freedom from clinically-driven target lesion revascularization (CD-TLR) was evaluated through 36 months. The safety composite endpoint was freedom from device- and procedure-related death through 30 days and freedom from major target limb amputation and clinically-driven target vessel revascularization within 36 months. All safety and revascularization events were reviewed by an independent clinical events committee. Results: The Kaplan-Meier estimate of freedom from CD-TLR through 36 months was 76.9%. The composite safety endpoint was achieved in 75.6% of patients. The 36-month all-cause mortality rate was 11.6%, and the major target limb amputation rate was 1.0%. The Kaplan-Meier estimate of freedom from CD-TLR through 36 months was significantly lower in patients with chronic limb-threatening ischemia (CLTI) compared with claudicants (67.6% vs 78.0%; p=0.003). Lesions affecting both the superficial femoral artery (SFA) and popliteal artery had lower Kaplan-Meier freedom from CD-TLR through 36 months (69.2%) than either isolated SFA (79.7%) or popliteal artery lesions (76.5%; logrank p<0.001). Predictors of CD-TLR through 36 months included increased lesion length, reference vessel diameter ≤4.5 mm, in-stent restenosis, bilateral disease, CLTI, and hyperlipidemia. Conclusion: DCB angioplasty with the IN.PACT Admiral DCB for femoropopliteal disease in a diverse and complex real-world population is associated with sustained clinical efficacy and low rates of reinterventions at 3 years after the initial procedure.

### **Keywords**

angioplasty, drug-coated balloon, femoropopliteal segment, mortality, peripheral artery disease, popliteal artery, superficial femoral artery, target lesion revascularization

## Introduction

In recent years, endovascular treatments for patients with peripheral artery disease (PAD) of the lower extremities have gained growing acceptance among all vascular specialties and have become the primary mode of revascularization in both claudicants and patients with chronic limb-threatening ischemia (CLTI).<sup>1,2</sup> The continuous development of endovascular technologies has offered a variety of different modalities and

devices for the treatment of peripheral atherosclerosis.<sup>3–11</sup> In the femoropopliteal segment, the introduction of paclitaxel drug-coated balloons (DCBs) has led to a paradigm shift from primary scaffolding with permanent metallic implants to a "leave nothing behind" or "leave less behind" approach.

The antiproliferative agent paclitaxel reduces the risk of restenosis by interrupting the cell cycle, activating apoptosis, and consequently inhibiting the proliferation and migration of smooth muscle cells.<sup>12</sup> Numerous randomized controlled trials (RCTs) have demonstrated a clear benefit of DCBs over uncoated balloon angioplasty in terms of improved patency and reduced reintervention and bailout stent rates.<sup>3,4,11,13–18</sup> Additionally, paclitaxel-based modalities seem to provide important economic benefits compared to their non-antiproliferative counterparts.<sup>19,20</sup> Thus, DCBs have become the treatment of choice for both de novo and restenotic TransAtlantic Inter-Society Consensus II (TASC) A and B femoropopliteal lesions and represent a viable alternative to scaffolds for complex disease.<sup>21,22</sup>

Despite the promising outcomes of DCBs in the framework of many RCTs, the lesions included in these studies were predominantly single, short, and not severely calcified.<sup>13</sup> Single-arm prospective studies and registries of realworld patients have shown a clinical benefit of DCBs in complex lesions.<sup>1,23–25</sup> However, there are only limited long-term data beyond 2 years on the use of DCBs for the treatment of complex lesions. Moreover, risk factors in this population that affect the durability of DCB treatment have not been adequately evaluated.

The IN.PACT Global Study is a large prospective study evaluating the performance of the IN.PACT Admiral drug (paclitaxel)-coated balloon (Medtronic, Dublin, Ireland) in the treatment of real-world patients with atherosclerotic disease of the superficial femoral artery (SFA) and/or the entire popliteal artery. The 12- and 24-month results have already shown a sustained clinical benefit of this particular DCB. <sup>1,23</sup> This article reports the 36-month outcomes of the full clinical cohort of the IN.PACT Global Study.

### **Materials and Methods**

### Study Design

The IN.PACT Global Study is a prospective, multicenter, international, single-arm clinical study assessing the safety and effectiveness of the IN.PACT Admiral DCB for the treatment of real-world patients with femoropopliteal

atherosclerotic disease. Details of the study design have been described previously.  $^{1,23}$  Briefly, patients eligible for enrollment presented with intermittent claudication and/or ischemic rest pain [Rutherford category (RC) 2 to 4] and angiographic evidence of severe stenosis or occlusion [length  $\geq$ 2 cm, de novo or restenosis (in-stent or native artery)] of the femoropopliteal vessels.

All major adverse events (MAEs), clinically-driven target lesion revascularizations (CD-TLRs), and clinically-driven target vessel revascularizations (CD-TVRs) through 36 months after the index procedures were independently adjudicated by an independent Clinical Events Committee (CEC; Syntactx, New York, NY, USA). Statistical methods were designed by the study sponsor; the raw data were transferred to the Baim Institute for Clinical Research (formerly HCRI; Boston, MA, USA) and analyzed independently.

The institutional review board or ethics committee at each study site approved the study protocol. Informed consent was obtained from all patients prior to enrollment. The study was conducted in accordance with the Declaration of Helsinki, good clinical practice guidelines, and applicable laws as specified by all relevant governmental bodies. The trial was registered on the National Institutes of Health website (*ClinicalTrials.gov* identifier: NCT01609296).

## **Endpoints and Definitions**

The primary safety and effectiveness study endpoints have been previously reported. The effectiveness endpoint of this study, freedom from CD-TLR within 36 months, was defined as any reintervention within the target lesion(s) because of symptoms or drop of ankle-brachial index (ABI) of  $\geq\!20\%$  or  $>\!0.15$  when compared with post-index procedure baseline ABI. The safety endpoint was a composite of freedom from device- and procedure-related mortality through 30 days and freedom from major target limb amputation and CD-TVR within 36 months after the index procedure. CD-TVR was defined as any reintervention within the target vessel due to symptoms or drop of ABI  $\geq\!20\%$  or

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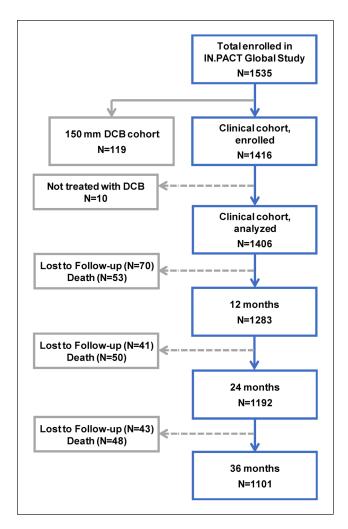
>0.15 when compared with the post procedure baseline ABI. All repeat interventions on the target limbs including TLR, TVR, and the clinically-driven status were reviewed and adjudicated by the CEC.

Secondary endpoints included primary sustained clinical improvement, secondary sustained clinical improvement, CD-TLR, CD-TVR, any TLR, any TVR, and the incidence of MAEs (all-cause mortality, CD-TVR, major target limb amputation, and thrombosis at the target lesion site) evaluated at 36 months. Primary sustained clinical improvement was defined as a sustained upward shift of at least 1 RC compared to baseline without the need for repeated TLR or surgical revascularization in amputation-free surviving patients. Secondary sustained clinical improvement was defined as a sustained upward shift of at least 1 RC compared with baseline, including the need for repeated TLR or surgical revascularization in amputation-free surviving patients. The CEC adjudicated all MAEs. Functional assessments included evaluation of walking capacity using the Walking Impairment Questionnaire and quality of life using the EuroQol health status measurement tool in 5 dimensions (EuroQol-5D) index.

# Patient Population

A total of 1535 patients were enrolled across 64 sites in 25 countries from Europe, the Middle East, Asia, Australia, Canada, and Latin America from 2012 to 2014. The outcomes reported here are from the 1416 consecutively enrolled full clinical cohort that included patients from the prespecified imaging cohorts as well as those patients without imaging (Figure 1). Patients from the 150-mm DCB cohort were enrolled nonconsecutively and were used for regulatory purposes. Within the clinical cohort, 1406 patients (mean age 68.6 years; 67.8% men) with 1773 lesions treated with the study DCB were analyzed on an intent-to-treat (ITT) basis. Due to protocol violations, this group included an RC 1 and 36 RC 5 patients.

Overall, 88.8% of the ITT patients (1246/1403) presented with lifestyle-limiting claudication (RC 2/3) and 11.1% (156/1403) with CLTI (RC 4/5). (Note that CLI was used during the data collection; however, in the present report, this is referred to as CLTI to reflect the updated vascular guidelines.) Baseline demographics and lesion characteristics of the full clinical cohort are reported in Tables 1 and 2. Within the full cohort, 39.9% of patients had diabetes mellitus, 40.5% had coronary artery disease, 11.2% had chronic kidney disease, and 8.4% had bilateral disease in the femoropopliteal arteries. Mean lesion length was 12.09±9.54 cm. Among all lesions, 74.3% were de novo, 7.7% were restenotic (native artery), and 18.0% were instent restenoses (ISR). The majority (68.7%) of lesions were calcified, and 10.2% were severely calcified



**Figure 1.** Patient flowchart in the IN.PACT Global Study though 36 months ( $\pm 60$  days). In the full clinical cohort, 1406 patients were treated with the IN.PACT Admiral DCB and will be followed for 5 years.

(circumference  $\geq 180^{\circ}$  on both sides of the vessel at the same location and lengths greater than or equal to half of the total lesion length).

The flow of clinical follow-up through 36 months is shown in Figure 1. A total of 154 patients were lost to follow-up or withdrew consent and 151 died within the 36-month follow-up window. Of the remaining 1101 patients eligible for the 36-month evaluations, 856 (77.7%) completed the 36-month follow-up visit within the window. Endpoints were assessed based on patients with evaluable data within 36 months.

### Statistical Analysis

All analyses were based on the intent-to-treat principle. All summaries were based on nonmissing assessments. Unless otherwise specified, all baseline demographics and clinical

**Table 1.** Baseline Demographics and Clinical Characteristics of 1406 Intent-to-Treat Patients in the Clinical Cohort. a.b.

Age, y (n=1396)	68.6±10.1	
Men	67.8 (953/1406)	
BMI, kg/m <sup>2</sup> (n=1391)	26.7±4.5	
Obesity (BMI ≥30)	20.5 (285/1391)	
Diabetes	39.9 (560/1402)	
Insulin dependent	17.8 (249/1402)	
Hypertension	83.4 (1169/1401)	
Dyslipidemia	70.5 (960/1362)	
Current smoker	31.8 (447/1406)	
Coronary heart disease	40.5 (540/1332)	
Carotid artery disease	20.2 (241/1196)	
Chronic kidney disease <sup>c</sup>	11.2 (136/1217)	
Previous peripheral revascularization	52.4 (737/1406)	
Below-the-knee disease of target leg	45.3 (594/1310)	
Rutherford category		
0	0	
I	0.1 (1/1403) <sup>d</sup>	
2	31.1 (436/1403)	
3	57.7 (810/1403)	
4	8.6 (120/1403)	
5	2.6 (36/1403) <sup>d</sup>	
6	0	
ABI per target limb <sup>e</sup> (n=1395)	$0.68 \pm 0.22$	
Bilateral disease	8.4 (118/1406)	

Abbreviations: ABI, ankle-brachial index; BMI, body mass index.

characteristics were summarized on a patient basis; lesion characteristics were summarized on a lesion basis. For baseline characteristics, continuous variables are described as mean  $\pm$  standard deviation; dichotomous and categorical variables are described as counts and proportions. The outcome analysis was performed at a patient level.

The Kaplan-Meier method was used to evaluate time-to-event data for freedom from CD-TLR and freedom from mortality over the 36-month follow-up period. The difference in the survival curves across subgroups was assessed using the log-rank test. Estimates are presented with the 95% confidence interval (CI) where applicable. For other outcomes, proportion rates were reported. The Fisher exact test was used to compare binary outcomes between subgroups; the Wilcoxon rank-sum test or Student *t* test was used for continuous outcomes. For event rates that were expressed as a proportion, the number of patients with events within 36 months was the numerator, and the total number of patients

**Table 2.** Characteristics of 1773 Target Lesions in the 1406 Patients.<sup>a,b</sup>

Preprocedure	
Lesion type <sup>c</sup>	
De novo	74.3 (1317/1773)
Restenotic (native artery)	7.7 (136/1773)
In-stent restenosis	18.0 (320/1773)
Vessel <sup>d</sup>	
Superficial femoral artery	87.6 (1553/1773)
Popliteal artery	27.3 (484/1773)
Lesion length,d cm (n=1773)	12.09±9.54 (range
	0.5-54.0; median 9.7)
Occluded <sup>d</sup>	35.5 (629/1773)
Calcification <sup>d</sup>	68.7 (1217/1771)
Severe <sup>d,e</sup>	10.2 (181/1771)
RVD, <sup>d</sup> mm (n=1773)	5.19±0.68
Diameter stenosis, <sup>d</sup> % (n=1773)	$88.8 \pm 12.3$
Procedure	
DCBs per lesion <sup>c</sup> (n=1766)	1.7±1.0
Predilation <sup>c</sup>	78.0 (1097/1406)
Postdilation <sup>c</sup>	35.1 (491/1397)
Provisional stenting <sup>c</sup>	21.2 (373/1761)
Postprocedure	
Device success <sup>f</sup>	99.4 (2984/3002)
Procedural successg	99.4 (1749/1760)
Clinical success <sup>h</sup>	98.8 (1379/1396)
Dissections <sup>d</sup>	
0	56.8 (1006/1772)
A-C	35.4 (627/1772)
D-F	7.8 (139/1772)

Abbreviations: DCBs, drug-coated balloons; RVD, reference vessel diameter.

Device success was defined as successful delivery, inflation, deflation, and retrieval of the intact study balloon device without burst below the rated burst pressure. This analysis is device (balloon) based. Procedural success was defined as residual stenosis  $\leq$ 50% for non-stented patients or  $\leq$ 30% for stented patients by core laboratory assessment (site-reported estimate was used if not available). This analysis is lesion based.

<sup>h</sup>Clinical success was defined as procedural success without complications (death, major target limb amputation, thrombosis of the target lesion, or target vessel revascularization) prior to discharge. This analysis is patient based.

with events or at least 34 months of clinical follow-up was the denominator.

For assessment of clinical characteristics at 36 months, patients were required to have data at baseline and 36 months. For the multivariable analysis, a Cox proportional

 $<sup>^{</sup>a}$ Continuous data are presented as the mean  $\pm$  standard deviation with the sample size; categorical data are given as the percentage (number/sample)

<sup>&</sup>lt;sup>b</sup>Summaries are based on non-missing assessments.

<sup>&</sup>lt;sup>c</sup>Baseline creatinine  $\geq$  1.5 mg/dL.

<sup>&</sup>lt;sup>d</sup>Due to protocol violations, I patient classified as Rutherford category I and 36 patients classified as category 5 were enrolled and included in the analysis.

<sup>&</sup>lt;sup>e</sup>For patients with bilateral disease, ABI is included for each target limb.

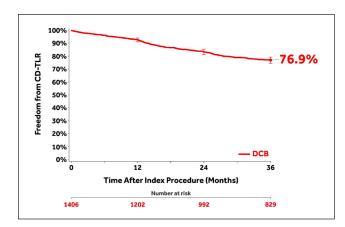
 $<sup>^{</sup>a}$ Continuous data are presented as the mean  $\pm$  standard deviation with the sample size; categorical data are given as the percentage (number/ sample)

<sup>&</sup>lt;sup>b</sup>Summaries are based on nonmissing assessments.

<sup>&</sup>lt;sup>c</sup>Data reported by the investigational sites.

<sup>&</sup>lt;sup>d</sup>Data reported by independent core imaging laboratories.

<sup>°</sup>Severe calcification was defined as calcification with circumference  $\geq$  180° (both sides of the vessel at the same location) and a length greater than or equal to half of the total lesion length.



**Figure 2.** Kaplan-Meier curve of freedom from clinically-driven target lesion revascularization (CD-TLR) in the clinical cohort through 36 months. Bars represent the 95% confidence intervals. All target lesion revascularization events were adjudicated by the independent Clinical Events Committee.

hazards model with potential baseline predictors was performed for CD-TLR through 36 months; a stepwise selection process with an entry criterion of 0.20 and a stay criterion of 0.10 was used. Statistical analyses were performed using SAS (version 9.4; SAS Institute, Cary, NC, USA).

## Results

## **Efficacy Outcomes**

The Kaplan-Meier estimate of freedom from CD-TLR through 36 months was 76.9% (95% CI 74.5% to 79.2%; Figure 2). The rate of CD-TLR at 36 months was 22.9% (289/1262; Table 3). The mean time to first CD-TLR was  $15.8\pm9.6$  months. Primary and secondary sustained clinical improvement rates were 59.3% (601/1014) and 81.1% (762/940), respectively, at 36 months (Table 3).

The post hoc analyses of subgroups stratified by baseline clinical or lesion characteristics (Figure 3) found that CLTI patients had significantly lower 36-month freedom from CD-TLR compared to claudicant patients (Figure 3A). Freedom from CD-TLR was not different between diabetic and nondiabetic patients (Figure 3B). Lesions affecting both the SFA and popliteal arteries showed lower freedom from CD-TLR than isolated SFA or popliteal lesions (Figure 3C). Freedom from CD-TLR was significantly higher in not-occluded lesions compared with occluded lesions (Figure 3D).

# Safety Outcomes

The safety composite endpoint at 36 months was achieved in 75.6% of patients (954/1262; Table 3). The major adverse event rate was 34.8% (439/1262). Thrombosis occurred in

**Table 3.** CEC-Adjudicated 36-Month Outcomes in 1406 Patients.<sup>a</sup>

Outcomes	Summaries	
Primary sustained clinical improvement <sup>b</sup>	59.3 (601/1014)	
Secondary sustained clinical improvement <sup>c</sup>	81.1 (762/940)	
Safety composite endpoint <sup>d</sup> freedom from	75.6 (954/1262)	
30-d device- and procedure-related death	0.2 (3/1402)	
36-mo major target limb amputation	1.0 (12/1262)	
36-mo CD-TVR <sup>e</sup>	23.7 (299/1262)	
Cumulative 36-mo complications		
MAE composite <sup>f</sup>	34.8 (439/1262)	
Death (all-cause)	11.6 (147/1262)	
CD-TVR <sup>e</sup>	23.7 (299/1262)	
Major target limb amputation	1.0 (12/1262)	
Thrombosis	5.6 (71/1262)	
CD-TLR <sup>g</sup>	22.9 (289/1262)	
Any TVR	24.2 (306/1262)	
Any TLR	23.4 (295/1262)	
Other 36-mo secondary outcomes		
Time to first CD-TLR, mo	15.8±9.6 (n=289)	
Change in QoL by EQ-5D <sup>h</sup>	0.13±0.33 (n=823)	
WIQ,h %	74.4±30.6 (n=831)	

Abbreviations: CD, clinically driven; CEC, Clinical Events Committee; EQ-5D, EuroQol in 5 dimensions; MAE, major adverse events; QoL, quality of life; RC, Rutherford category; TLR, target limb revascularization; TVR, target vessel revascularization; WIQ, Walking Impairment Questionnaire.

 $^{\mathrm{a}}$ Continuous data are presented as the mean  $\pm$  standard deviation with the sample size; categorical data are given as the percentage (number/sample). All target lesion revascularizations and adverse events were adjudicated by the independent Clinical Events Committee.

<sup>b</sup>Primary sustained clinical improvement was defined as a sustained upward shift of at least I RC compared to baseline without the need for repeated TLR or surgical revascularization in amputation-free surviving patients.

Secondary sustained clinical improvement is defined as a sustained upward shift of at least 1 RC compared to baseline including the need for repeated TLR or surgical revascularization in amputation-free surviving patients.

dSafety composite endpoint consists of freedom from device- and procedurerelated death to 30 days, freedom from major target limb amputation within 36 months, and freedom from CD-TVR within 36 months.

°CD-TVR is defined as any reintervention at the target vessel due to symptoms or drop of ABI  $\geq$ 20% or >0.15 when compared with post-index procedure baseline ABI.

MAEs are defined as all-cause death, CD-TVR, major target limb amputation, and thrombosis at the target lesion site at 36 months.

 $^8$ CD-TLR is defined as any reintervention within the target lesion due to symptoms or drop in ABI  $\geq$ 20% or >0.15 when compared to post-index procedure baseline ABI.

<sup>h</sup>Not adjudicated.

5.6% (71/1262) of patients and the rate of CD-TVR was 23.7% (299/1262). At 36 months, 12 patients (1.0%, 12/1262) underwent a major target limb amputation at an average time to amputation of 15.4±10.6 months. Three patients with a major target limb amputation between 0 and 12 months were classified as RC 3, RC 4, and RC 5 at baseline. Of the 6 patients who required a major amputation between 12 and 24 months, 2 were classified as RC 2, 3 as RC 3, and 1 as RC 4 at baseline. Three patients with amputation between 24 and 36 months were RC 2, RC 3, and RC 4. Of the 12 patients, 6 underwent a CD-TLR prior to the amputation, and 3 patients had thrombosis at the target lesion site prior to CD-TLR. The 3-year major amputation

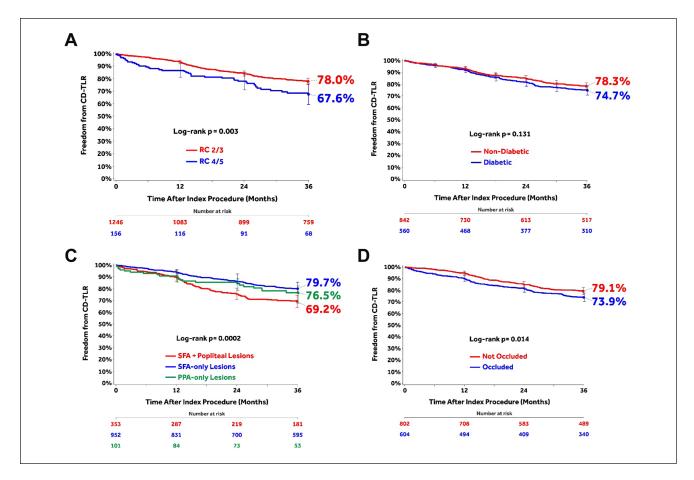


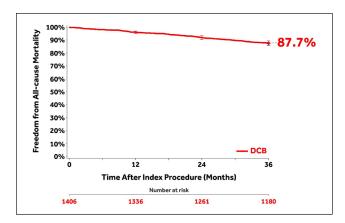
Figure 3. Subgroup analyses of freedom from clinically-driven target lesion revascularization (CD-TLR) using the Kaplan-Meier method through 36 months: (A) chronic limb-threatening ischemia [Rutherford category (RC) 4/5] compared with claudicants (RC 2/3); (B) diabetics vs nondiabetics; (C) lesions affecting both the superficial femoral artery (SFA) and popliteal artery vs SFA-only vs popliteal artery—only lesions; and (D) not-occluded vs occluded lesions. Bars represent 95% confidence intervals. All target lesion revascularization events were adjudicated by the independent Clinical Events Committee.

rate in patients with baseline CLTI was 2.9% (4/139) compared with 0.7% (8/1120) for claudicants (p=0.035).

The CEC-adjudicated overall all-cause mortality was 11.6% (147/1262) through 36 months [10.4% (116/1120) for claudicants and 22.3% (31/139) for CLTI; p < 0.001). The CEC-adjudicated causes<sup>27</sup> of the 147 deaths were cardiovascular (n=67), malignancy (n=20), respiratory (n=5), neurological (noncardiovascular; n=1), pancreatic (n=1), hepatobiliary (n=1), gastrointestinal (n=2), infection/ inflammatory (n=11), hemorrhage (excluding cardiovascular bleeds or stroke; n=1), along with other (n=2) or undetermined (n=36) causes. Independent adjudication by the CEC determined that none of the deaths was related to the study device; 6 were possibly or potentially related to the study procedure. Of these, 2 deaths occurred between 24 and 36 months. A post hoc analysis of freedom from allcause mortality through 36 months (Figure 4) captured patients who were adjudicated by the CEC per study protocol as well as the survival status of an additional 90 patients originally lost to follow-up but collected post hoc at the request of the Food and Drug Administration (FDA) for the Circulatory System Devices Panel.<sup>28</sup>

# **Functional Outcomes**

Changes in the RC between baseline and 36 months (Figure 5A) were statistically significant (p<0.001), with the majority of patients (84.5%, 775/917) having  $\geq 1$  level improvement (Figure 5B). Mean ABI was  $0.68\pm0.22$  at baseline (1395 target limbs) and  $0.87\pm0.22$  (872 target limbs) at 36 months (mean change  $0.19\pm0.27$  in 821 target limbs, p<0.001). Mean change from baseline in the EuroQol-5D index score at 36 months was  $0.13\pm0.33$  (n=823). The overall walking impairment score was  $74.4\%\pm30.6\%$  (n=831) at 36 months.



**Figure 4.** A post hoc Kaplan-Meier analysis of freedom from all-cause mortality through 36 months capturing patients who were adjudicated by the Clinical Events Committee per study protocol as well as the survival status of an additional 90 patients originally lost to follow-up (22 of these patients had died within 36 months). Bars represent 95% confidence intervals.

## Predictors of CD-TLR

The multivariable Cox proportional hazards regression analysis (Table 4) found that increasing lesion length, ISR, bilateral disease, hyperlipidemia, CLTI, and reference vessel diameter ≤4.5 mm were associated with an increased risk for CD-TLR within 36 months. SFA-only lesions and the presence of hypertension were associated with reduced risk for CD-TLR. There was a higher trend of risk for CD-TLR in target lesions with moderate to severe calcification, but the correlation was statistically nonsignificant.

## **Discussion**

The current analysis evaluated the clinical efficacy and safety of the IN.PACT Admiral DCB in the treatment of femoropopliteal atherosclerosis in a real-world cohort including patients with complex, calcified, long, and sequential lesions. The results revealed sustained clinical outcomes and low rates of reinterventions through 3 years after the initial procedure; the majority of the patients remained asymptomatic, with a significant improvement in their walking capacity and Rutherford category. Given that the primary goal of revascularization in claudicants and patients with rest pain is to improve the functional status and the quality of life of the patient, these results confirm a sustained, durable clinical efficacy of DCB angioplasty. Most important, these promising outcomes were observed in the framework of a challenging "real-world" cohort. The results of this study additionally suggest that the anatomical distribution of the disease, as well as certain lesion characteristics, such as the length and the calcification grade, might influence the performance of an antiproliferative agent.

There are numerous endovascular options for the treatment of femoropopliteal disease. However, data to 3 years are limited to RCTs or RCT-like settings. Regulatoryrestricted inclusion criteria for these studies are often limited to short, less-complex lesions with minimum calcification. Nonetheless, clinical benefit of DCBs in this real-world study is comparable to other studies with morphologically simple lesions. Through 36 months, the Kaplan-Meier estimate of freedom from CD-TLR was 76.9% in the IN.PACT Global Study compared to 84.5% for DCBs in the IN.PACT SFA RCT, 16 83.6% to 85.3% for drug-eluting stents (DES),8,29 and 69.7% to 75.5% for bare metal stents (BMS).30,31 However, mean lesion lengths of the aforementioned studies were in the range of only 6.64 to 8.94 cm<sup>8,16,29–31</sup> vs 12.1 cm in the IN.PACT Global Study. Moreover, ISR lesions and patients with higher rates of comorbidities were included in the IN.PACT Global study. The 22.9% rate of 36-month CD-TLR in the IN.PACT Global Study is lower than that reported for BMS (31.1% CD-TLR<sup>31</sup>) and stent-grafts (34.7% TLR<sup>32</sup>) at 3 years.

Despite the inclusion of CLTI and complex lesions in this study, more than 75% of patients achieved the safety composite endpoint, with low rates of major amputations and reocclusion through 36 months. No device-related death as adjudicated by the CEC was reported. The CECadjudicated all-cause mortality of 11.6% in this study is consistent with the range of 3-year rates (3.9% to 12.5%) in other contemporary studies of both drug-coated and uncoated devices.30-34 Causes of death were varied and congruent with the age and the high level of comorbidities in this patient population. A study-level meta-analysis<sup>35</sup> reported an association between paclitaxel-coated devices (DCB and DES) and mortality. The concern regarding the mortality safety signal led to the convening of various society forums<sup>36,37</sup> and a public advisory committee meeting of the Circulatory System Devices Panel.<sup>28</sup> Analyses by the FDA and Vascular Interventional Advances (VIVA) of available data from FDA-approved devices for use in the femoropopliteal segment showed a late mortality signal.<sup>38,39</sup> However, a mechanism linking paclitaxel with late mortality could not be established in any of these analyses. It should be noted that these RCTs<sup>35,39</sup> and FDA analyses<sup>38</sup> were originally not designed or powered to show a superior/inferior mortality risk between the two groups of patients. In contrast, patient-level meta-analyses did not find a statistically significant mortality difference and showed no correlation between paclitaxel exposure and increased risk of mortality. 40,41 Analyses using large allcomers registries also did not find any mortality safety signal following the use of paclitaxel-coated devices. 42-47 Furthermore, the mortality signal was attenuated in the recently updated VIVA analysis with additional vital status follow-up.<sup>48</sup> While the presence or causality of the late mortality signal is unclear, the benefit-risk analysis clearly

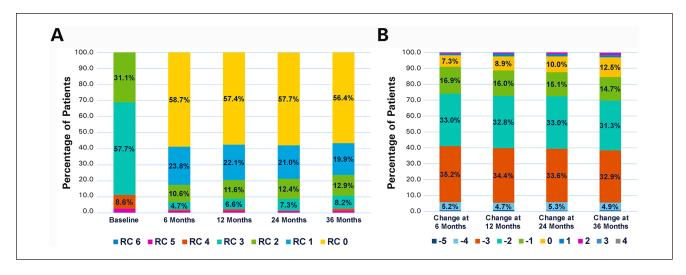


Figure 5. (A) Distribution of Rutherford category (RC) at baseline and at the follow-up intervals. (B) Changes in RC at the follow-up intervals compared with baseline to illustrate percentage of patients showing improvement.

Table 4. Predictors of Clinically-Driven Target Lesion Revascularization Through 36 Months.

Variable	Coefficient	Hazard Ratio [95% CI]	Р	
Lesion length >20 vs ≤20 cm	0.715	2.045 [1.543 to 2.710]	< 0.001	
Lesion location: SFA only	-0.510	0.601 [0.454 to 0.795]	< 0.001	
Lesion type: ISR vs native artery restenosis	0.535	1.707 [1.257 to 2.317]	< 0.001	
Bilateral vs unilateral lesions	0.646	1.908 [1.259 to 2.891]	0.002	
Age	-0.019	0.981 [0.969 to 0.995]	0.006	
RVD ≤4.5 vs >4.5 mm	0.458	1.580 [1.133 to 2.204]	0.007	
Hyperlipidemia	0.379	1.461 [1.052 to 2.029]	0.024	
Hypertension	-0.383	0.682 [0.480 to 0.969]	0.032	
CLTI vs claudication (≥RC 4 vs <rc 4)<="" td=""><td>0.396</td><td>1.486 [1.024 to 2.157]</td><td>0.037</td></rc>	0.396	1.486 [1.024 to 2.157]	0.037	
Calcification: moderate/severe vs severe	0.286	1.331 [0.975 to 1.815]	0.071	
Posterior tibial artery pulse absent	-0.285	0.752 [0.539 to 1.048]	0.093	

Abbreviations: CI, confidence interval; CLTI, chronic limb-threatening ischemia; ISR, in-stent restenosis; RC, Rutherford category; RVD, reference vessel diameter; SFA, superficial femoral artery.

demonstrated a consistent clinical benefit of paclitaxel-coated devices compared to uncoated devices across all studies. <sup>38,41,49–51</sup> Along this line, a recent update from the FDA recommends that for individual patients judged to be at particularly high risk for restenosis and repeat femoropopliteal interventions, clinicians may determine that the benefits of using a paclitaxel-coated device outweigh the risk of late mortality. <sup>52</sup> There is no doubt, however, that further investigations with large datasets are required and more research should be encouraged.

The current post hoc analyses and previous publications have shown that DCBs provide a consistent clinical benefit in various lesion types or clinical characteristics, including ISR, CLTI, severely calcified, and diabetic subgroups. 1,23,53 However, multivariable risk analysis revealed that the presence of CLTI and circumferential calcification was associated with an increased risk for CD-TLR through

36 months. Lesions in CLTI patients are often more complex and associated with a higher calcium burden. Severe calcification alters the morphology and the compliance of the vessel wall and increases the risk for flow-limiting dissections after angioplasty.<sup>54,55</sup> Additionally, the increased calcium burden may limit the drug uptake in the arterial wall and decrease the antiproliferative effect of paclitaxel. Both clinical and experimental data suggested an added benefit from "vessel preparation" prior to DCB angioplasty in severely calcified lesions. Tzafriri et al<sup>56</sup> observed increased paclitaxel uptake following orbital atherectomy in calcified femoropopliteal vessels, while an Italian group reported promising patency rates following directional atherectomy with antirestenotic therapy in both severely calcified SFA and common femoral artery disease. 57,58 In this context, the use of vessel preparation techniques might further expand the application of DCBs in severely

calcified lesions and lead to better clinical and radiological outcomes.

Moreover, increased lesion length and a vessel diameter <4.5 cm can decrease the effectiveness of DCB angioplasty. Despite the satisfying 1- and 2-year results in the SFA Long Study<sup>59</sup> and the long lesion imaging subcohort of the IN.PACT Global Study,<sup>60</sup> at 3 years, a longer lesion length is associated with increased risk for CD-TLR. Of note, in the DEFINITIVE AR pilot study,<sup>61</sup> there was a trend toward improved results with the use of directional atherectomy prior to DCB angioplasty in longer lesions. Thus, debulking might improve the performance of the "leave nothing behind" strategies in these lesions. This concept is being investigated currently in the ongoing REALITY trial (*ClinicalTrials.gov* identifier: NCT02850107).

Interestingly, the diameter of the treated vessel also affected the outcomes of DCB angioplasty. It should be noted that in the framework of this study the reported vessel diameters were based on visual estimation of the angiograms. It is, on the other hand, well known that angiography underestimates the degree of stenosis and consequently of the vessel diameter. This may also lead to a potential undersizing of the selected uncoated balloon angioplasty and DCB catheters. Adjunctive imaging modalities, such as intravascular ultrasound, have the potential to optimize device sizing and improve the outcomes of peripheral interventions.

Furthermore, the lesion location might be an independent risk factor for repeated reinterventions. In the majority of the published studies, the outcomes of endovascular therapy for lesions in the SFA and popliteal artery are evaluated together as femoropopliteal lesions. Nonetheless, both the current literature and the findings of this real-world IN.PACT Global Study suggest that the unique anatomical environment in which the popliteal artery is embedded possesses different challenges compared to the proximal and middle SFA.<sup>63</sup> It remains, however, difficult to explain why lesions affecting both the SFA and the popliteal artery performed worse than isolated SFA or popliteal disease. We assume that a geometrical mismatch might play a vital role. In long femoropopliteal lesions, physicians tend to treat the affected vessels with a single long balloon (both uncoated and coated). This might lead to an undersizing of the devices proximally and/or oversizing distally. Undersizing of the uncoated balloon and DCB catheters might lead to inadequate acute lumen gain and decreased paclitaxel tissue uptake, respectively, and consequently to treatment failure. Again, effective vessel preparation might improve the patency rates of "leave nothing behind" strategies in this challenging anatomical region, while decreasing the use of permanent scaffolds.

## Limitations

The limitations of this study are consistent with other prospective registries as it did not include a control group. In

the absence of an active comparator, the results of this study cannot support direct comparison to other endovascular treatment modalities. Evaluation of DCB effectiveness was limited to clinical outcomes in this full clinical cohort. Not all patients in the full clinical cohort had data available for the analysis of anatomical outcomes, such as restenosis rate, as only predefined cohorts (long lesion, de novo ISR, and chronic total occlusion) within the full clinical cohort were planned for prospective duplex ultrasound and imaging analyses.

## **Conclusion**

Despite the short exposure of the vessel wall to an antiproliferative agent, angioplasty with the IN.PACT Admiral DCB catheter for complex femoropopliteal lesions was associated with sustained clinical efficacy and low rates of reinterventions at 3 years after the initial procedure. Disease distribution as well as lesion characteristics influence the performance of DCBs.

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