# Evaluation of the efficacy of the National Early Warning Score in predicting in-hospital mortality via the risk stratification ${ }^{2}$ 

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## A R T I C L E I N F O

## Keywords:

National Early Warning Score
Rapid response system
Hospital mortality
Screening
Prognosis


#### Abstract

Purpose: To investigate the efficacy of the National Early Warning Score (NEWS) in predicting in-hospital mortality. Materials and methods: This was a retrospective observational study and the electronic medical records of the patients were reviewed based on NEWS at the time of admission. Results: The performance of NEWS was effective in predicting hospital mortality (area under the curve: 0.765; $95 \%$ confidence interval: $0.659-0.846$ ). Based on the Kaplan Meier survival curves, the survival time of patients who are at high risk according to NEWS was significantly shorter than that of patients who are at low risk ( $p<$ 0.001 ). Results of the multivariate Cox proportional hazards regression analysis showed that the hazard ratios of patients who are at medium and high risk based on NEWS were 2.6 and 4.7 , respectively ( $p<0.001$ ). In addition, our study showed that the combination model that used other factors, such as age and diagnosis, was more effective than NEWS alone in predicting hospital mortality (NEWS: 0.765 ; combination model: $0.861 ; p<0.005$ ). Conclusions: NEWS is a simple and useful bedside tool for predicting in-hospital mortality. In addition, the rapid response team must consider other clinical factors as well as screening tools to improve clinical outcomes.


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## 1. Introduction

Many types of patients can experience unexpected clinical deterioration during hospitalization, and this deterioration is associated with in-hospital mortality [1-4]. The primary aim of predicting in-hospital mortality is to improve patient prognosis. Currently, several scoring systems are used to predict in-hospital mortality [5-7]. The Acute Physiology and Chronic Health Evaluation (APACHE) and the Simplified Acute Physiology Score (SAPS) are representative prognostic models [5-7].

[^0]However, these scales are relatively complex, include several items, and cannot be used as a quick bedside tool. Recently, artificial intelligence was developed as part of a clinical decision support system to predict adverse events such as cardiac arrest several hours before its occurrence [8-11]. However, this system has not been used in critically ill patients.

Early warning systems for the early recognition of clinical deterioration in critically ill patients within 24 h can reduce the incidence of inhospital cardiac arrest [12-14]. One such system, the standardized National Early Warning Score (NEWS) was established by the Royal College of Physicians of London and is currently used in several countries [12,15]. The NEWS has a good ability to discriminate acutely ill patients at risk of clinical deterioration within 24 h as well as events such as cardiac arrest, unexpected admission to an intensive care unit (ICU), and death. The NEWS is intended to provide reliable, timely, and effective indications of the clinical responses of acutely ill patients. By including seven simple physiological variables, the NEWS also provides a useful and rapid bedside tool [12,15-17]. For these reasons, it has been implemented in the afferent limb of the rapid response system [17,18]. However, the efficacy of the NEWS in identifying patients at risk of in-
hospital mortality at the time of admission has not been validated because the risk stratification of NEWS was developed to predict clinical deterioration within 24 h , which is a short-term outcome.

We hypothesized that the NEWS would be useful for predicting inhospital mortality in screened patients when other factors used for predicting prognosis are considered. This study aimed to assess the efficacy of the NEWS at the time of admission for predicting in-hospital mortality and to identify clinical factors that may improve the predictive performance of the NEWS.

## 2. Material and methods

### 2.1. Study setting

This was a retrospective observational study of patients admitted between December 2013 and March 2014 at Chungnam National University Hospital, a 1200-bed tertiary academic hospital, in South Korea. In this hospital, the electronic medical record (EMR)-based NEWS system was established in November 2013 and is used for adult patients admitted to the general ward. The vital signs of admitted patients are checked regularly by registered nurses. Based on their decision-making, the nurses enter seven physiological variables into the NEWS, and the NEWS data are stored in the EMRs. After a 6month trial, the rapid response team (RRT) introduced a track-andtrigger system in May 2014. This study included patients older than 20 years and those whose first NEWS was recorded within 48 h after admission. We included only the first serial NEWS for analysis. We excluded patients with any missing variables, patients who were discharged within 72 h of a scheduled examination or treatment (e.g., endoscopy, scheduled chemotherapy), and patients whose first NEWS was not recorded within 48 h after admission. Demographic and clinical data and survival status were obtained from the EMRs. The primary outcome among critically ill patients was in-hospital mortality.

This study was approved by the institutional review board of Chungnam National University Hospital in the Republic of Korea (No. 2015-08-040). The need for informed consent was waived because the study design was retrospective and the data were retrieved by reviewing the EMRs.

### 2.2. NEWS

The NEWS dataset comprises seven physiological variables: systolic blood pressure, heart rate, respiratory rate, body temperature, oxygen saturation, use of any supplemental oxygen, and level of consciousness [15]. The score for each of the seven parameters ( $0-3$ points) is summed
to calculate the NEWS. The triggering thresholds based on the NEWS were classified as low risk (1-4), medium risk (5-6 or red score), and high clinical risk ( $\geq 7$ ). The red score was defined as an extreme variation in a single parameter (Fig. 1) [15].

### 2.3. Statistical analysis

Descriptive data are presented as mean $\pm$ standard deviation or as number and percentage. An independent $t$-test was used to analyze categorical data, and a chi-square test was used to analyze continuous data. Survival was calculated according to the trigger thresholds for the NEWS using Kaplan-Meier analysis and compared using the log-rank test. Cox proportional-hazards regression analysis was performed using backward elimination to identify the independent risk factors for in-hospital mortality. Statistically significant variables in the univariate analysis were subsequently included in the multivariate analysis. In the present study, we investigated the best model that included risk factors that can be used for the accurate prediction of in-hospital mortality. The discriminatory power of each model was assessed using Harrell's Cindex and an analysis of the area under the curve (AUC), and results were evaluated and compared using the Bootstrap method [19]. A $p$ value $<0.05$ was considered to be significant, and the results are presented as the hazard ratio (HR) and $95 \%$ confidence interval (CI). All statistical analyses were performed using the R statistical package (version 2.13.1; R Foundation, Vienna, Austria; www.R-project.org) and IBM SPSS Statistics (version 20.0; IBM Corp., Armonk, NY, USA).

## 3. Results

### 3.1. Baseline characteristics of the study population

During the study period, a total of 10,038 people were screened. We excluded from the analysis patients who were discharged from hospital within 72 h after admission because they underwent a simple examination or treatment (e.g., endoscopy, scheduled chemotherapy) ( $\mathrm{n}=$ 4346), patients with missing variables such as oxygen saturation or consciousness level because these were not checked at the time of admission ( $\mathrm{n}=3897$ ), and patients whose first NEWS was recorded after 48 h at the time of admission $(\mathrm{n}=495)$. The remaining 1300 patients were included in the analysis.

The baseline characteristics of the patients are shown in Table 1. Of the 1300 patients included in this analysis, 43 (3.3\%) died during hospitalization. These patients were older and more likely to be men. Most of the nonsurvivors had cancer and had been admitted for medical as opposed to surgical reasons. One-third of the nonsurvivors were at high risk based on the trigger thresholds for the NEWS. The hospital stay

| PHYSIOLOGICAL <br> PARAMETERS | 3 | 2 | 1 | 0 | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Respiratory Rate (breaths/min) | $\leq 8$ |  | 9-11 | 12-20 |  | 21-24 | $\geq 25$ |
| Oxygen Saturations (\%) | $\leq 91$ | 92-93 | 94-95 | $\geq 96$ |  |  |  |
| Any Supplemental Oxygen |  | Yes |  | No |  |  |  |
| Temperature ( ${ }^{\circ} \mathrm{C}$ ) | $\leq 35.0$ |  | 35.1-36.0 | 36.1-38.0 | 38.1-39.0 | $\geq 39.1$ |  |
| Systolic BP (mmHg) | $\leq 90$ | 91-100 | 101-110 | 111-219 |  |  | $\geq 220$ |
| Heart Rate (beats/min) | $\leq 40$ |  | 41-50 | 51-90 | 91-110 | 111-130 | $\geq 131$ |
| Level of Consciousness |  |  |  | A |  |  | $\mathbf{V}, \mathbf{P}$, or $\mathbf{U}$ |

A, Alert; V, Voice; P, Pain; U, Unresponsive
 were classified as low risk (1-4), medium risk (5-6 or red score), and high clinical risk ( 7 or more). The red score was defined as an extreme variation in a single parameter.

Table 1
Baseline characteristics of the study population

| Characteristics | Total | Survivors | Non-survivors | $p$ value |
| :---: | :---: | :---: | :---: | :---: |
| Patients, n (\%) | 1300 | 1257 | 43 |  |
| Age, years ${ }^{\text {a }}$ | $62 \pm 15$ | $61 \pm 15$ | $70 \pm 13$ | <0.001 |
| Male sex | 751 (57.8) | 722 (57.4) | 29 (67.4) | 0.212 |
| Admission type |  |  |  | 0.001 |
| Medical | 1006 (77.4) | 964 (76.7) | 42 (97.7) |  |
| Surgical | 294 (22.6) | 293 (23.3) | 1 (2.3) |  |
| Diagnosis upon admission |  |  |  |  |
| Cardiovascular diseases | 304 (23.4) | 302 (24) | 2 (4.7) | 0.003 |
| Pulmonary diseases | 192 (14.8) | 188 (15) | 4 (9.3) | 0.386 |
| Gastrointestinal diseases | 159 (12.2) | 155 (12.3) | 4 (9.3) | 0.646 |
| Cancer | 340 (26.2) | 313 (24.9) | 27 (62.8) | $<0.001$ |
| Neurologic diseases | 75 (5.8) | 73 (5.8) | 2 (4.7) | 1.000 |
| Renal diseases | 50 (3.8) | 48 (3.8) | 2 (4.7) | 1.000 |
| Other diseases | 180 (13.8) | 178 (14.2) | 2 (4.7) | 0.111 |
| Trigger thresholds for the NEWS |  |  |  | $<0.001$ |
| Low (0-4) | 1064 (81.8) | 1043 (83) | 21 (48.8) |  |
| Medium (5-6 or red score) | 127 (9.8) | 119 (9.5) | 8 (18.6) |  |
| High ( $\geq 7$ ) | 109 (8.4) | 95 (7.6) | 14 (32.6) |  |
| ICU transfer during hospital stay | 145 (11.2) | 137 (10.9) | 8 (18.6) | 0.134 |
| Duration of hospital stay, days ${ }^{\text {a }}$ | $11 \pm 19$ | $10 \pm 18$ | $25 \pm 38$ | 0.013 |

NEWS, National Early Warning Score; ICU, intensive care unit.
${ }^{\text {a }}$ Data are presented as means $\pm$ standard deviations. Other variables are presented as numbers and percentages.
was longer in the nonsurvivors because they had more severe disease and a higher rate of transfer to the ICU.

### 3.2. Predictors for in-hospital mortality

Multivariate Cox proportional-hazards regression analysis using backward elimination was performed to identify the risk factors predicting in-hospital mortality. The HRs of patients at medium and high risk based on the NEWS were 2.6 and 4.7 , respectively ( $p<$ 0.001 ; Table 2).

The Kaplan-Meier survival curves for the cumulative risk of inhospital mortality according to each risk stratification revealed that the survival time was significantly shorter for patients at high than at low risk based on the NEWS (log-rank test, $p<0.001$; Fig. 2).

### 3.3. Prognostic capabilities of the models consisted of risk factors for inhospital mortality

To investigate the efficacy of these factors when integrated with the NEWS versus the NEWS alone in predicting hospital mortality, Harrell's C-index was used for different combinations of risk factors and NEWS data. Model I included only the trigger thresholds for the NEWS. Model II included the trigger thresholds for the NEWS and age. Model

Table 2
Predictive values for in-hospital mortality in patients assessed using the Cox proportional hazards models.

| Risk factors | HR | $95 \% \mathrm{CI}$ | $p$ value |
| :--- | :--- | :--- | :--- |
| Age, years | 1.0 | $1.01-1.07$ | 0.008 |
| Medical reason for admission | 7.0 | $0.95-51.43$ | 0.056 |
| Patient with cancer | 4.5 | $2.40-8.54$ | $<0.001$ |
| Trigger thresholds for the NEWS |  |  | $<0.001$ |
| Low (0-4) | Reference |  |  |
| Medium (5-6 or 'red' score) | 2.6 | $1.12-6.00$ |  |
| High $(\geq 7)$ | 4.7 | $2.35-9.47$ |  |

NEWS, National Early Warning Score.
Multivariate Cox proportional hazards regression analysis that used backward elimination was performed to predict hospital mortality after adjusting for five variables (age, medical reason for admission, cancer, trigger thresholds for the NEWS analysis, and ICU transfer during hospital stay).


Fig. 2. Kaplan Meier survival curves for the cumulative risk of in-hospital mortality according to the trigger thresholds for the NEWS.

III included the trigger thresholds for the NEWS, age, and medical reason for admission. Model IV included the trigger thresholds for the NEWS, age, medical reason for admission, and cancer. Model I (NEWS only) was effective in predicting hospital mortality at the time of admission (C-index AUC: $0.765 ; 95 \% \mathrm{Cl}: 0.659-0.846$ ). The performance of Models II, III, and IV used for predicting in-hospital mortality were gradually improved (Model II: 0.821; Model III: 0.837; and Model IV: 0.861 ). The differences in the AUC for Models II, III, and IV compared with Model I were significant (Model II: $p=0.046$; Model III: $p=0.02$; and Model IV: $p=$ 0.005 ) (Table 3). However, the AUCs for Models III and IV did not differ significantly from that of Model II, and the AUC for Model IV did not differ significantly from that for Model III. These findings indicate that integration of age into the NEWS was more important for predicting inhospital mortality than the integration of other factors into the NEWS (Table 3).

## 4. Discussion

This study aimed to assess the efficacy of the NEWS in predicting inhospital mortality via risk stratification at the time of admission and to identify clinical factors that may improve the predictive performance of the NEWS. The use of NEWS alone was effective in predicting hospital mortality (C-index AUC: $0.765 ; 95 \% \mathrm{CI}: 0.659-0.846$ ). Addition of other

Table 3
Prognostic capabilities of NEWS and other factors for in-hospital mortality using the Harrell's C-index.

| Models | C index | $95 \%$ CI | $p$ value $^{\mathrm{a}}$ | $p$ value $^{\mathrm{b}}$ | $p$ value $^{\mathrm{C}}$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| I | 0.765 | $0.659-0.846$ | Reference |  |  |
| II | 0.821 | $0.735-0.888$ | 0.046 | Reference |  |
| III | 0.837 | $0.756-0.9$ | 0.02 | 0.182 | Reference |
| IV | 0.861 | $0.793-0.917$ | 0.005 | 0.138 | 0.138 |

NEWS, National Early Warning Score; CI, confidence interval.
Model I included only the trigger thresholds for the NEWS. Model II included the trigger thresholds for the NEWS and age. Model III included the trigger thresholds for the NEWS, age, and medical reason for admission. Model IV included the trigger thresholds for the NEWS, age, medical reason for admission, and cancer.
${ }^{\text {a }}$ Comparison of AUCs between Model I and other models was tested using the Bootstrap method.
${ }^{\text {b }}$ Comparison of AUCs between Models II, III and IV was tested using Bootstrap method.
c Comparison of AUCs between Models III and IV was tested using the Bootstrap method.
risk factors for predicting in-hospital mortality to the NEWS had a significantly higher predictive power than the NEWS alone (C-index AUC: 0.861 vs. $0.765 ; p=0.005$ ). From the perspective of the RRT, evaluation of the risk for in-hospital mortality at the time of admission is important. When considering other factors (e.g., age and comorbidities) as well as the NEWS, the RRT alarm pathway is more effective as a clinical decision-making tool for reducing in-hospital mortality.

The NEWS has a good ability to discriminate acutely ill patients at risk of clinical deterioration within 24 h , as well as at events such as cardiac arrest, unexpected admission to an ICU, and death [12,15-17]. This tool is more effective than 33 other systems for predicting the individual outcomes of unexpected ICU admission or death but not cardiac arrest alone $[12,15,20,21]$. However, the efficacy of the NEWS as a predictor of in-hospital mortality has not been validated because the tool was developed to predict short-term outcomes occurring within 24 h . This study showed that the NEWS was effective in predicting in-hospital mortality (C-index AUC: 0.765). Several studies have reported on the efficacy of the NEWS in predicting 30-day mortality as a secondary outcome, but these studies were conducted in limited clinical settings, such as the emergency room [22-24]. Our study focused on patients admitted to the general ward. Until now, several scales used to predict mortality and under development were not applicable to all patients at the time of admission because of their complexity (i.e., inclusion of numerous items) [5,6]. By contrast, the NEWS is a simple, useful, and rapid bedside tool comprising seven physiological variables: systolic blood pressure, heart rate, respiratory rate, body temperature, oxygen saturation, use of supplemental oxygen, and level of consciousness [15]. These data are easily obtained compared with other factors such as laboratory tests or imaging results. Therefore, the NEWS as a simple and useful bedside tool can be used for predicting in-hospital mortality on all patients admitted to the general ward.

The NEWS was recently updated to the NEWS2 based on more recent studies [25]. The new recommendations of the NEWS2 are as follows. 1) A NEWS $\geq 5$ is a key threshold for the activation of the RRT, meaning that a patient with a NEWS $\geq 5$ should receive more attention and treatment by the RRT to prevent a poor prognosis. 2) To overcome the imprecision of recording the use of oxygen in patients with hypercapnic respiratory failure, the NEWS2 suggests recording the recommended oxygen saturation in patients with hypercapnic respiratory failure, especially in those with chronic obstructive pulmonary disease. 3) New-onset confusion was added to the AVPU scoring scale in the NEWS because new-onset confusion (including disorientation, delirium, or any acute reduction in the Glasgow Coma Scale score) is an important sign of potentially serious clinical deterioration. 4) The NEWS2 can be used for detecting sepsis or clinical deterioration in patients with prior infection. For example, in patients with known or suspected infection, a NEWS $\geq 5$ should prompt suspicion of sepsis. These updates of the NEWS system should improve the assessment of the severity of acute illness, detection of clinical deterioration, and initiation of a timely and competent clinical response. Considering the results of our study, use of the NEWS2 at the time of admission for adult patients may provide a more accurate prediction of both clinical deterioration within 24 h and in-hospital mortality compared with the original NEWS.

In this study, the death of some of the nonsurvivors was not predicted by the NEWS, whose AUC was 0.765 when used alone for predicting in-hospital mortality. Therefore, the NEWS alone cannot perfectly predict long-term prognosis in patients with a complex situation, such as old age, underlying disease, or comorbidities, each of which can affect in-hospital mortality. We therefore decided to assess whether adding other factors that can affect in-hospital mortality could increase the ability to predict in-hospital mortality in acutely ill patients. In this study, the integration of other factors, such as old age, medical reason for admission, and cancer, to the NEWS allowed more accurate predictions of in-hospital mortality compared with the NEWS alone. Among the added factors, the inclusion of age was important in predicting inhospital mortality (Table 3). Advanced age is an independent risk factor
for adverse events in hospital and is associated with several comorbidities [26-29]. Our findings suggest that the addition of age to the NEWS may result in more accurate predictions of in-hospital mortality compared with the NEWS alone.

The inclusion of age as a component of track-and-trigger systems used for identifying sick adult patients remains controversial [27,3032]. Some authors have stated that the integration of age into the early warning score allows more accurate identification of adverse outcomes. However, others have argued that younger patients who previously would have been salvaged by an early warning system might not be monitored effectively if the cutoffs are determined using age as an additional factor [27]. The inclusion of age in track-and-trigger systems can cause complex problems, and this concern is reasonable; however, the inclusion of age in the assessment of risk is feasible. Agerelated changes in vital signs might cause confusion even if an appropriate intervention is provided by the RRT [27,32-34].

Disregarding the physiological characteristics of critically ill elderly patients might leave other potential victims out of the hospital's riskcontrol strategy, which might also complicate the coordination of responses. Our results suggest that the RRT must recognize the need for age-specific track-and-trigger systems. For example, the simple NEWS cutoffs cannot distinguish the risk between an 80-year-old patient with a low alertness score based on the NEWS and a 40-year-old patient with a medium or high alertness score. These findings have important implications for risk stratification when using vital signs to detect acutely deteriorating patients in the ward. Considering a goal of RRT system is to achieve better outcomes, different workflows that trigger specific interventions or efferent limbs should be considered in elderly patients and in those with a serious disease such as cancer.

Finally, this study included 1300 patients admitted for medical and surgical reasons in South Korea. In addition, our results reflected the patient's natural course accurately and objectively because our dataset was developed before we applied RRT approaches. Therefore, our dataset was good enough to prove the NEWS upon admission to the general ward may help predict a patient's prognosis accurately and objectively.

This study had several limitations. First, this was a retrospective study performed in a single medical center. Second, the proportion of aging patients in our study was high. However, it was similar to that in other regional referral hospitals and the general increase in the elderly population is a problem worldwide. Third, we cannot rule out the possibility of selection bias because many patients with missing variables were excluded. However, most of the missing variables were oxygen saturation or level of consciousness. This means that nurses did not use these variables according to their decision-making because the patients appeared to be mentality alert or did not require oxygen. Therefore, these patients may mean that they had a less severe disease state and a low risk of in-hospital mortality. Considering that the aim of this study was to investigate the NEWS as a predictor of in-hospital mortality, the effect of missing data on our results may be negligible. In addition, our results reflected the patient's natural course accurately and objectively because our dataset was developed before we applied RRT approaches.

Despite these limitations, our study has clinical relevance because it shows that the NEWS is a predictor of in-hospital mortality and that integration of other factors into the NEWS after activation of the RRT is important to improve clinical outcomes.

## 5. Conclusions

The NEWS is a simple, useful, and rapid bedside tool for predicting in-hospital mortality in the clinical setting. Activation of the RRT should consider the use of additional clinical factors as well as screening tools for improving clinical outcomes. Further studies with a larger sample size are needed.

## Acknowledgement

We are grateful to the Chungnam National University Hospital Rapid Response Team for their key role in this work. We are indebted to Jusang Lee, RN, Na Eun Min, RN, and Jeong Eun Park, RN, for the data collection of this article. We also express our appreciation to the emergency and acute care team, J.W Lee, MD., H.J Ahn, MD., and S Ryu, MD., for their dedication to improving patient care.

## Funding

This research did not receive any specific grant from funding agencies in the public, Commercial, or no-for profit sectors.

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[^0]:    Abbreviations: APACHE, The Acute Physiology and Chronic Health Evaluation; SAPS, The Simplified Acute Physiology Score; NEWS, National Early Warning Score; ICU, intensive care unit; RRT, rapid response team; EMR, electronic medical record; AUC, area under the curve; HR , hazard ratio; CI , confidence interval; AUC, the area under the curve; AVPU, Alert, Voice, Pain, Unresponsive.
    $\Rightarrow$ Declarations of interest: none.

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