

## Proposal of a New Risk Score for Patients Treated with Transarterial Chemoembolization due to Recurrent Hepatocellular Carcinoma after Curative Resection: A Multicenter Study

Mi Young Jeon<sup>1,2</sup>, Beom Kyung Kim<sup>1,2</sup>, Jun Yong Park<sup>1,2</sup>, Do Young Kim<sup>1,2</sup>, Sang Hoon Ahn<sup>1,2</sup>, Kwang-Hyub Han<sup>1,2</sup>, Jeong-Hoon Lee<sup>3</sup>, Su Jong Yu<sup>3</sup>, Yoon Jun Kim<sup>3</sup>, Jung-Hwan Yoon<sup>3</sup>, Eun Ju Cho<sup>3</sup>, and Seung Up Kim<sup>1,2</sup>

<sup>1</sup>Department of Internal Medicine, Institute of Gastroenterology, Yonsei University College of Medicine, <sup>2</sup>Yonsei Liver Center, Severance Hospital, and <sup>3</sup>Department of Internal Medicine and Liver Research Institute, Seoul National University College of Medicine, Seoul, Korea

**Background/Aims:** Prognostic models are lacking for patients with recurrent hepatocellular carcinoma (HCC) following surgical resection. This study devised and validated a new hepatoma arterial-embolization prognostic (HAP) score optimized for use in patients undergoing treatment with transarterial chemoembolization (TACE) for recurrence subsequent to surgical resection of HCC. **Methods:** Training cohort (n=424) and validation cohort (n=350) patients with recurrent HCC after resection treated with TACE between 2003 and 2016 were enrolled. Cox regression and area under the receiver operating characteristic curve (AUC) analyses were used to identify risk factors for survival and to calculate the predictive performance of risk scores, respectively. **Results:** The median age of the study population was 59.2 years.  $\alpha$ -Fetoprotein >400 ng/mL (hazard ratio [HR]=1.815), serum albumin  $\leq$ 3.5 g/dL (HR=1.966), tumor number  $\geq$ 2 (HR=1.425), tumor size >5 cm at resection or recurrence (HR=1.356), segmental portal vein invasion at resection or recurrence (HR=2.032), and time from resection to recurrence  $\leq$ 1 years (HR=1.849) independently predicted survival (all p<0.05). The postoperative HAP (pHAP) model based on the rounded HRs of these variables showed an AUC of 0.723 for predicting survival at 3 years, which was significantly higher than AUCs of other HAP-based models, including HAP, modified HAP, and modified HAP-II scores (0.578-0.621) (all p<0.05). The accuracy of pHAP was maintained in the entire cohort (n=774; AUC=0.776 at 3 years). **Conclusions:** A new pHAP score optimized for patients treated with TACE due to recurrent HCC after resection showed acceptable accuracy

and was externally validated. Further studies of means by which to select treatment options other than TACE for high-risk patients according to pHAP scores are warranted. (**Gut Liver 2020;14:477-485**)

**Key Words:** Chemoembolization; Surgery; Recurrence; Carcinoma, hepatocellular

### INTRODUCTION

Hepatocellular carcinoma (HCC) is a common cancer, and the third most common cause of cancer-associated death.<sup>1</sup> With active surveillance programs supporting the detection of early stage HCC, the number of patients eligible for surgical treatment for HCC has increased.<sup>1-3</sup> Although improvements in surgical techniques<sup>3,4</sup> and adequate selection criteria for resection have led to significant gains in survival and reductions in postoperative morbidity and mortality, the long-term prognosis remains unsatisfactory owing to the recurrence of HCC despite surgical resection.<sup>5</sup>

Among the many treatment options for HCC that recurs following surgical resection, transarterial chemoembolization (TACE) has been shown to offer survival advantages in several randomized trials and a subsequent systematic review.<sup>6-9</sup> Accordingly, TACE might be of use in treating recurrent HCC of Barcelona Clinic Liver Cancer (BCLC) intermediate stage B or early stage HCC not indicated for other curative treatments.<sup>10</sup> However, it can be postulated that survival might vary greatly after TACE treatment for recurrent HCC due to variances in liver

Correspondence to: Seung Up Kim<sup>a</sup> and Eun Ju Cho<sup>b</sup>

<sup>a</sup>Department of Internal Medicine, Yonsei University College of Medicine, 50 Yonsei-ro, Seodaemun-gu, Seoul 03722, Korea  
Tel: +82-2-2228-1982, Fax: +82-2-393-6884, E-mail: ksukorea@yuhs.ac

<sup>b</sup>Department of Internal Medicine and Liver Research Institute, Seoul National University College of Medicine, 101 Daehak-ro, Jongno-gu, Seoul 03080, Korea

Tel: +82-2-2072-2242, Fax: +82-2-743-6701, E-mail: creatioex@gmail.com

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function and tumor burden upon HCC recurrence and the length of time till recurrence after surgical resection.<sup>11</sup> Thus, it is of paramount importance to select candidates who would benefit most from TACE for recurrent HCC after surgical resection.

Recently, hepatoma arterial-embolization prognostic (HAP) score, which is composed of four variables, including  $\alpha$ -fetoprotein (AFP), tumor size, serum albumin, and total bilirubin, has been shown to predict outcomes following TACE.<sup>12</sup> In addition, two adjusted versions of the HAP score have also been suggested: the modified HAP (mHAP) score, which excludes total bilirubin from the HAP score,<sup>13</sup> and the mHAP-II score, which adds tumor number as one of the constituent variables.<sup>14</sup> However, it is not known whether these risk scores show acceptable accuracy when applied to patients with recurrent HCC after surgical resection.

Thus, in this multicenter, retrospective study, we aimed to establish a new postoperative HAP (pHAP) score optimized for use in patients treated with TACE due to recurrent HCC following surgical resection and to validate the score externally. In addition, we compared the prognostic accuracy of the new model to the prognostic accuracy of existing HAP-related risk scores in the present study cohort.

## MATERIALS AND METHODS

### 1. Patient eligibility

In this retrospective multicenter cohort study, we included consecutive patients undergoing treatment with TACE for recurrence subsequent to surgical resection of HCC between 2003 and 2015 (n=424 for the training cohort, Severance Hospital, Yonsei University College of Medicine) and 2003 to 2016 (n=350 for the validation cohort, Seoul National University Hospital, Seoul National University College of Medicine). The institutions in our study had extensive experience in the treatment of HCC

using TACE.

Study exclusion criteria were (1) treatment modality other than TACE as first-line therapy; (2) inadequate target lesions on radiological assessment (non-arterial enhancement or largest lesion <1 cm); (3) presence of an additional primary malignancy in another organ; (4) presence of extrahepatic tumor lesions; (5) presence of tumor invasion to the main portal vein; (6) history of liver transplantation; (7) other serious medical comorbidities that might affect survival; (8) Child-Pugh class C; (8) BCLC stage D; and (9) a follow-up duration <6 months (Fig. 1).

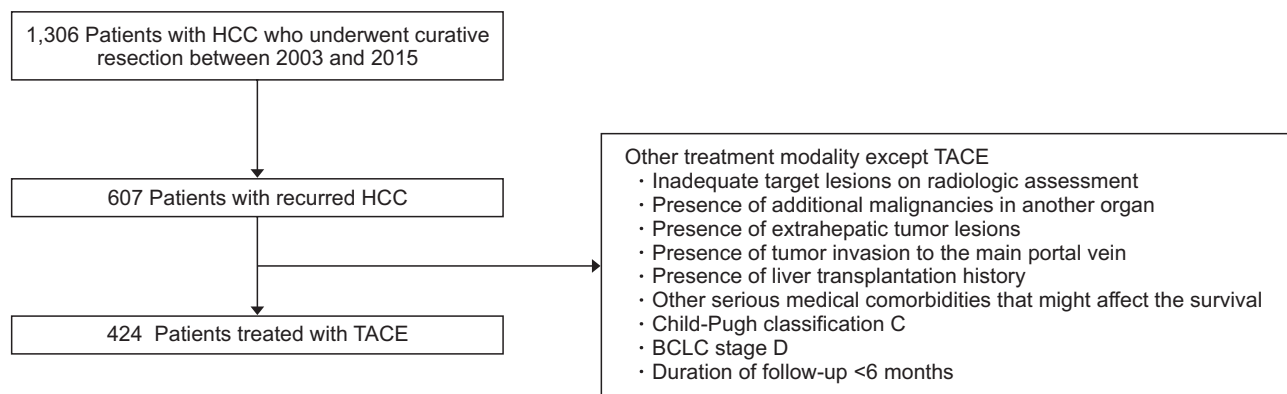
The study protocol was in accordance with the Declaration of Helsinki guidelines and was approved by the Institutional Review Boards of Severance Hospital and Seoul National University Hospital. Due to retrospective nature of this study, the need for informed consent from the participants was waived.

### 2. Diagnosis and staging of HCC

HCC was diagnosed in accordance with the guidelines proposed by the Korea Liver Cancer Study Group.<sup>15</sup> Typical HCC findings on dynamic computed tomography or magnetic resonance imaging were increased arterial enhancement and decreased enhancement.<sup>15,16</sup> The BCLC staging system was applied for tumor staging, as previously described.<sup>17</sup>

### 3. TACE procedure and follow-up

Prior to the TACE procedure, angiography was utilized to assess vascular anatomy, patency, and tumor vascularity.<sup>14</sup> Conventional TACE was performed using a selective infusion of a mixture of 5 mL of iodized oil contrast medium (Lipiodol®; Guerbet LLC, Bloomington, IN, USA) and either 50 mg of doxorubicin or cisplatin at 2 mg/kg body weight, followed by embolization using gelatin sponge particles (Cutanplast®; Mascia Brunelli Spa, Milan, Italy). Radiologic responses to TACE were defined based on the modified Response Evaluation Criteria in



**Fig. 1.** Study population flowchart. A total of 1,306 patients with hepatocellular carcinoma (HCC) who underwent curative resection between 2003 and 2015 were placed in our training cohort. After the application of exclusion criteria, the training cohort consisted of 424 patients who underwent transarterial chemoembolization (TACE) due to recurrent HCC following curative resection (Yonsei University). Similarly, the validation cohort consisted of 350 patients with HCC who underwent curative resection between 2003 and 2016 and were treated with TACE due to recurrent HCC (Seoul National University). BCLC, Barcelona Clinic Liver Cancer.

Solid Tumours on computed tomography or magnetic resonance imaging.<sup>18</sup> TACE was repeated at 6- to 8-week intervals on an "on-demand" until achievement of a complete response.<sup>18</sup>

#### 4. Study design

This study was conducted in four parts. First, we attempted to identify variables associated with survival following TACE for recurrent HCC after surgical resection in the training cohort. Second, we estimated the accuracy of HAP-based risk models (Supplementary Table 1) to predict survival after TACE in the training set. Third, we established a new risk model optimized for patients in the study who experienced recurrence of HCC despite surgical resection and calculated the accuracy of the model, which was compared with the accuracy of other HAP-related risk models. Lastly, the accuracy of the new risk model was validated in the validation set.

#### 5. Statistical analysis

Patient and tumor characteristics at baseline are presented as a median (interquartile range) or number (%) as appropriate. The Mann-Whitney test and Fisher exact test were used to compare characteristics between the study institutes, as appropriate. Survival and differences therein were analyzed using the Kaplan-Meier method and log-rank test.

The influence of variables on survival was evaluated using univariate and multivariate Cox regression analyses. The predictive performances of HAP-related risk scores and the newly established risk score at the time of TACE were assessed using area under the receiver operating characteristic curves (AUCs) to predict mortality at 1-, 3- and 5-year follow-up. The AUCs were compared using the DeLong test.

To compare the homogeneity and discriminatory ability of HAP-related risk scores and the newly established risk score, the likelihood ratio test and the linear trend test were utilized. In addition, the Akaike information criteria were calculated to demonstrate which of the risk scores was more explanatory and informative for risk assessment for survival among the existing HAP-related risk scores and the newly established one (smaller Akaike information criteria indicates preferred risk score).

All p-values <0.05 were considered indicative of statistical significance. The statistical analyses were conducted using SPSS 23.0 for Windows (IBM Corp., Armonk, NY, USA) and MedCalc Software version 12.7.2 (MedCalc Software bvba, Ostend, Belgium).

## RESULTS

### 1. Baseline characteristics

A flowchart describing selection of the study population is shown in Fig. 1. Between 2003 and 2015, a total of 1,306 patients with HCC underwent surgical resection. Of these, 424 patients underwent TACE due to recurrent HCC after surgical resection and were included in the training cohort. Similarly, the

validation cohort consisted of 350 patients with HCC who received surgical resection between 2003 and 2016 and TACE due to recurrent HCC.

Baseline data of the training cohort at the time of TACE are shown in Table 1. The median age was 59.2 years (interquartile

**Table 1.** Baseline Characteristics of the Patients at the Time of TACE due to Recurrent HCC after Curative Resection (Training Set, n=424)

Variable	Value
Demographic variable	
Age, yr	59.2 (52.2–66.6)
Male sex	359 (84.7)
Etiology	
HBV	345 (81.4)
HCV	36 (8.5)
Others	43 (10.1)
Child-Pugh class	
A	415 (97.9)
B	9 (2.1)
BCLC stage	
0	133 (31.4)
A	163 (38.4)
B	103 (24.3)
C	25 (5.9)
Laboratory variables	
α-Fetoprotein, ng/mL	7.5 (3.0–75.5)
Total bilirubin, mg/dL	0.8 (0.6–1.0)
Serum albumin, g/dL	4.0 (3.7–4.3)
Tumor variables	
Tumor size, cm	
≤7/>7	414 (97.6)/10 (2.4)
≤5/>5	409 (96.5)/15 (3.5)
Tumor number	
Unifocal	229 (54.0)
Multifocal	195 (46.0)
Segmental portal vein invasion	25 (5.9)
Tumor variables at the time of resection	
Tumor size, cm	
≤7/>7	381 (89.9)/43 (10.1)
≤5/>5	313 (73.8)/111 (26.2)
Tumor number	
Unifocal	354 (83.5)
Multifocal	70 (16.5)
Segmental portal vein invasion	32 (7.5)
Time from resection to recurrence, mo	17.7 (7.2–36.6)

Data are presented as the median (interquartile range) or number (%). TACE, transarterial chemoembolization; HCC, hepatocellular carcinoma; HBV, hepatitis B virus; HCV, hepatitis C virus; BCLC, Barcelona Clinic Liver Cancer.

range, 52.2–66.6 years) and 359 patients (84.7%) were men. The most common etiology of HCC was hepatitis B virus (HBV) infection (n=345, 81.4%). A total of 415 patients (97.9%) had well-preserved liver function (Child-Pugh class A), and 296 patients (69.8%) were BCLC stage 0–A. Data for the validation cohort are shown in Supplementary Table 2. The comparisons among patients according to period of recurrence of HCC after resection ( $\leq 1$  or  $> 1$  year) in the training cohort were described in Supplementary Table 3. Age, BCLC stage, AFP, serum albumin, tumor size  $> 7$  cm, tumor number at the time of recurrence

and tumor size  $> 5$  cm at the time of resection demonstrated significant differences between patients with recurrences within and more than 1-year of surgical resection (all  $p < 0.05$ ).

## 2. Survival after TACE due to recurred HCC and its risk factors

The survival rates at 1, 3, and 5 years after TACE, respectively, were 87.4%, 62.6%, and 47.8%, and the median survival was 57.1 months (interquartile range, 41.4–72.8 months) in the training cohort.

**Table 2.** Univariate Cox Regression Analysis to Identify Potential Risk Factors for Overall Mortality at the Time of TACE after Curative Resection (Training Set, n=424)

Variable	HR (95% CI)	p-value
Age	0.991 (0.979–1.003)	0.123
Male (n=359) (vs female, n=65)	1.105 (0.778–1.570)	0.577
Viral etiology (n=381) (vs non-viral, n=43)	1.128 (0.733–1.735)	0.584
$\alpha$ -Fetoprotein, ng/mL		
>400 (n=44) (vs $\leq 400$ , n=380)	2.050 (1.425–2.949)	<0.001
Total bilirubin, mg/dL		
>1.7 (n=10) (vs $\leq 1.7$ , n=414)	1.054 (0.468–2.371)	0.899
Serum albumin, g/dL		
$\leq 3.5$ (n=57) (vs $> 3.5$ , n=367)	2.012 (1.445–2.801)	<0.001
Tumor variable		
Tumor size, cm		
>5 (n=15) (vs $\leq 5$ , n=409)	2.459 (1.400–4.320)	0.002
Multifocal (n=70) (vs unifocal, n=354)	1.574 (1.220–2.030)	<0.001
Segmental portal vein invasion (n=25)	2.925 (1.820–4.702)	<0.001
Tumor variables at the time of resection		
Tumor size, cm		
>5 (n=111) (vs $\leq 5$ , n=313)	1.345 (1.018–1.775)	0.037
Multifocal (n=70) (vs unifocal, n=354)	1.099 (0.779–1.547)	0.592
Segmental portal vein invasion (n=32)	1.845 (1.208–2.817)	0.005
Time from resection to recurrence, yr		
$\leq 1$ (n=159) (vs $> 1$ , n=265)	2.361 (1.829–3.047)	<0.001

TACE, transarterial chemoembolization; HR, hazard ratio; CI, confidence interval.

**Table 3.** Multivariate Cox Regression Analysis to Identify Independent Risk Factors for Poor Survival and the Corresponding Rounded Risk Score Based on pHAP Score (Training Set, n=424)

Variable	Multivariate		Allocation of rounded score for pHAP score
	HR (95% CI)	p-value	
$\alpha$ -Fetoprotein ( $> 400$ ng/mL)	1.815 (1.242–2.651)	0.002	1
Serum albumin ( $\leq 3.5$ g/dL)	1.966 (1.401–2.760)	<0.001	1
Tumor number at the time of recurrence ( $\geq 2$ )	1.425 (1.093–1.859)	<0.001	1
Tumor size ( $> 5$ cm) at the time of resection or recurrence	1.356 (1.028–1.789)	0.031	1
Segmental PVI at the time of resection or recurrence	2.032 (1.436–2.875)	<0.001	1
Time from resection to recurrence ( $\leq 1$ yr)	1.849 (1.412–2.422)	<0.001	1

Risk group are classified into pHAP A (0–1 point), pHAP B (2 points), pHAP C (3 points), or pHAP D ( $\geq 4$  points), respectively.

pHAP, postoperative hepatoma arterial-embolization prognostic; HR, hazard ratio; CI, confidence interval; PVI, portal vein invasion.

On univariate analyses, AFP level >400 ng/mL, serum albumin level ≤3.5 g/dL, multifocal tumor at the time of recurrence, tumor size >5 cm and segmental portal vein invasion at the time of resection or recurrence, and time from resection to recurrence were risk factors for poor survival (all p<0.05) (Table 2). Subsequent multivariate analysis identified AFP level >400 ng/mL, serum albumin level ≤3.5 g/dL, multiple tumors at the time of recurrence, tumor size >5 cm at the time of resection or recurrence, segmental portal vein invasion at the time of resection or recurrence, and time from resection to recurrence ≤1 years as independent risk factors for poor survival (Table 3).

Using these variables, we developed our pHAP model for patients treated with TACE due to recurrent HCC after surgical resection was developed and validated (Supplementary Tables 4 and 5). The pHAP score was defined as the sum of the points of the six variables, and patients were classified into pHAP A (0–1 point), pHAP B (2 points), pHAP C (3 points), or pHAP D (≥4 points), respectively (Table 3).

**3. Predictive performance of pHAP and other HAP-related risk scores**

The AUC values of pHAP and other HAP-related risk scores were calculated and compared (Table 4). The AUC values of pHAP score were 0.799 at 1 year, 0.723 at 3 years, and 0.697 at 5 years (all p<0.001). Comparison of the AUC values, revealed a significantly higher AUC for pHAP score than for the other HAP-related risk scores from 1 to 5 years (AUC: 0.697–0.799 for pHAP vs 0.565–0.682 for HAP, 0.567–0.681 for mHAP, and 0.618–0.728 for mHAP-II) (all p<0.05). Similar AUC values were identified in the entire cohort including the training and validation cohorts (0.812 at 1 year, 0.776 at 3 years, and 0.768 at 5

years) (Supplementary Table 6).

**4. Survival outcomes according to pHAP and other HAP-related risk scores**

Median survival and survival rates at 1 to 5 years in the training cohort are presented in Supplementary Table 7 according to pHAP and other HAP-related risk scores. Kaplan-Meier survival curves for each of the risk groups in the pHAP score model are depicted in Fig. 2. The survival curves of four risk groups based on pHAP score were significantly different (overall log-rank, p<0.001). Similar to the results observed in the training cohort, the survival curves of the four risk groups based on pHAP and the other HAP-related risk scores in the validation cohort and the entire cohort were significantly different (overall all p<0.001 by log-rank test) (Supplementary Fig. 1).

**5. Prognostic accuracy of pHAP and other HAP-related risk scores**

Among the risk scores, pHAP score showed the highest homogeneity compared to the other HAP-related risk scores (likelihood ratio, 87.501 vs 32.207 to 46.437), the highest discriminatory ability (linear trend, 81.932 vs 30.977 to 45.583), and the lowest Akaike information criteria value (2,536.533 vs 2,560.487 to 2,576.985), indicating the best prognostic performance in patients treated with TACE due to recurrent HCC after surgical resection (Table 5). When these were applied to the entire cohort, similar results were observed (Supplementary Table 8).

**DISCUSSION**

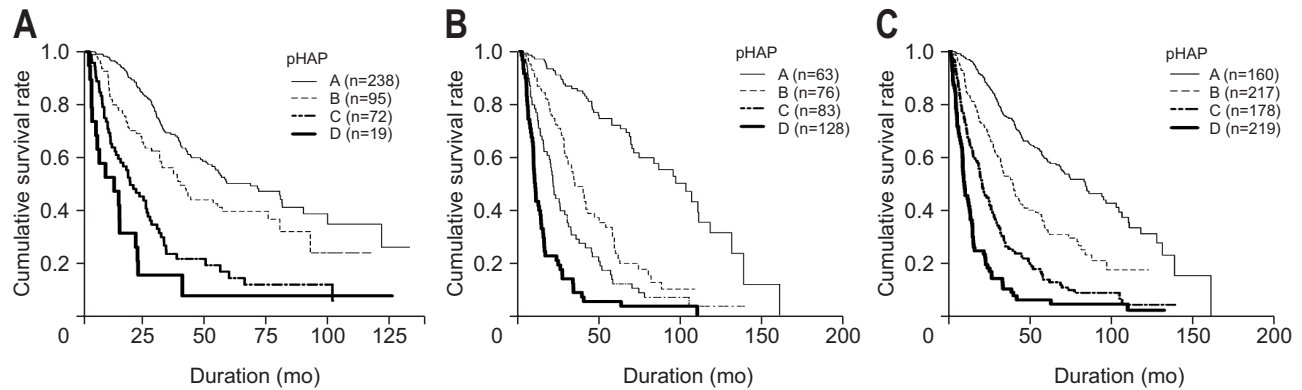
Recent randomized controlled trials and meta-analyses have

**Table 4.** Predictive Performance of HAP, mHAP-I, mHAP-II, and pHAP (n=424 in Training, n=350 in Validation)

Follow-up	Training cohort						
	HAP		mHAP		mHAP-II		pHAP
	AUC (95% CI)	p-value <sup>‡</sup>	AUC (95% CI)	p-value <sup>‡</sup>	AUC (95% CI)	p-value <sup>‡</sup>	AUC (95% CI)
Entire							
1 Year	0.682 (0.602–0.761)*	<0.001	0.681 (0.600–0.762)*	<0.001	0.728 (0.658–0.797)*	0.004	0.799 (0.743–0.855)*
2 Years	0.617 (0.554–0.679)*	<0.001	0.616 (0.553–0.679)*	<0.001	0.669 (0.611–0.726)*	<0.001	0.743 (0.690–0.796)*
3 Years	0.578 (0.522–0.634) <sup>†</sup>	<0.001	0.580 (0.524–0.636) <sup>†</sup>	<0.001	0.621 (0.566–0.675)*	<0.001	0.723 (0.674–0.772)*
4 Years	0.579 (0.524–0.633) <sup>†</sup>	<0.001	0.581 (0.527–0.636) <sup>†</sup>	<0.001	0.627 (0.574–0.680)*	<0.001	0.713 (0.655–0.762)*
5 Years	0.565 (0.510–0.619) <sup>†</sup>	<0.001	0.567 (0.513–0.622) <sup>†</sup>	<0.001	0.618 (0.565–0.671)*	<0.001	0.697 (0.648–0.747)*

HAP, hepatoma arterial-embolization prognostic; mHAP, modified HAP; pHAP, postoperative HAP; AUC, area under the receiver operating characteristic curve; CI, confidence interval.

\*p<0.001; <sup>†</sup>p<0.05; <sup>‡</sup>p-value indicates a significant AUC for pHAP in the training set by the DeLong test.



**Fig. 2.** Cumulative overall survival rate according to pHAP stratification (Kaplan-Meier curves). (A) Cumulative survival curves in the training set (overall,  $p < 0.001$ ; A vs B class,  $p = 0.007$ ; A vs C class,  $p < 0.001$ ; A vs D class,  $p < 0.001$ ; all by log-rank test), (B) the validation set (overall,  $p < 0.001$ ; A vs B class,  $p < 0.001$ ; A vs C class,  $p < 0.001$ ; A vs D class,  $p < 0.001$ ; all by log-rank test), and (C) the entire cohort (overall,  $p < 0.001$ ; A vs B class,  $p < 0.001$ ; B vs C class,  $p < 0.001$ ; A vs D class,  $p < 0.001$ ; all by log-rank test). pHAP, postoperative hepatoma arterial-embolization prognostic.

**Table 5.** Prognostic Accuracy of Risk Scores during TACE to Predict Mortality in the Training Set

Risk score	Likelihood ratio ( $\chi^2$ )	Linear trend ( $\chi^2$ )	AIC
HAP	32.207	30.977	2,576.985
mHAP	41.077	39.273	2,567.679
mHAP-II	46.437	45.583	2,560.487
pHAP	87.501	81.932	2,536.533

The model with a higher  $\chi^2$  value by the likelihood ratio and linear trend tests was considered the better model for homogeneity and discriminatory ability. Furthermore, lower values for the Akaike information criteria (AIC) were considered to indicate better discriminatory ability.

TACE, transarterial chemoembolization; HAP, hepatoma arterial-embolization prognostic; mHAP, modified HAP; pHAP, postoperative HAP.

proven that TACE can provide survival benefit in patients with unresectable HCC.<sup>19</sup> However, due to heterogeneity in liver function and tumor characteristics at the time of TACE, as well as individual differences regarding the performance of TACE among institutions,<sup>20</sup> a wide-range of treatment outcomes has been reported.<sup>21</sup> Thus, it has been important to distinguish patients who would benefit from TACE from those who would not and should hence receive alternative treatments, such as sorafenib or other palliative treatments.<sup>22,23</sup>

Although several previous studies have compared the ability of various staging systems to predict the survival in patients with unresectable HCC treated with TACE,<sup>24,25</sup> several simple-to-use risk stratification models, such as the HAP model<sup>12</sup> and its modifications,<sup>13,14,22</sup> have also been proposed. However, because no risk stratification model for TACE due to recurrent HCC after surgical resection has been available, our study aimed to identify independent risk factors for poor survival in this clinical setting and to develop and validate a new risk model. In this study, our new risk model, pHAP, demonstrated an AUC to pre-

dict survival at 3 years of 0.723, significantly higher than AUCs of other HAP-based models (AUC, 0.578 to 0.621) (all  $p < 0.05$  between AUCs).

Our study has several strengths. First is the large sample size ( $n = 774$ ) and the long-term follow-up period (median 57.1, up to 155.9 months). This gave us greater statistical power with which to identify prognostic factors for poor long-term prognosis and to establish a score model optimized for patients who undergoing TACE due to recurrent HCC after surgical resection. To the best of our knowledge, this study is the first to identify such prognostic factors, to establish a new predictive model (pHAP model), to achieve external validation thereof, and to demonstrate the superior predictive accuracy of the model with high homogeneity and discriminatory ability, compared to those of HAP and its modifications, in this clinical setting. Moreover, the pHAP model-maintained simplicity in score calculation, a feature that might be helpful for clinicians in use of the model in clinical practice.

Second, as the intense surveillance strategy with computed tomography or magnetic resonance imaging to detect recurrence is significantly different from the ultrasound-based surveillance for CHB-patients without HCC, a different risk stratification system is strongly required for patients who underwent TACE due to recurrent HCC after resection. Re-resection is generally infeasible in patients who have already undergone surgery due to technical difficulties, although these patients might have well-preserved liver function with early stage recurrent HCC as a result of intensive follow-up. In comparison to previous studies of HAP and its modifications,<sup>12-14</sup> the proportion of single tumors (54.0% vs 37% to 42%) and small diameter of tumor size ( $\leq 7$  cm) (97.6% vs 70% to 86%) were higher and the median AFP level was lower (7.7% vs 15% to 28%) in patients treated with TACE after resection. For these reasons, despite post-resection status, the median overall survival after the initial TACE in our cohort was significantly longer at 43.3 months, compared to

13.7–36.2 months in previous studies in which HAP and its modifications were derived.<sup>12,13</sup> This difference is the main reason why we tried to focus on the patients who underwent TACE due to recurrent HCC after surgical resection. However, because our cohort included patients treated with TACE after resection despite very early and early HCC stage, the results should be interpreted with caution.

Third, in contrast to previous studies proposing HAP models,<sup>12–14</sup> total bilirubin level lacked predictive ability for survival. This finding can be partly explained based on the selection of our study population cohort. Because our cohort with recurrent HCC consisted of only patients who could endure previous surgical resection, well-preserved liver function might be maintained at the time of TACE due to recurrent HCC. Indeed, the proportion of patients with Child-Pugh class A liver function in our cohort was higher than those of previous studies (97.9% vs 71% to 78%).<sup>12,13</sup> In addition, segmental portal vein invasion was selected as one of the independent predictors of poor prognosis. In Asian countries, segmental portal vein invasion has not been considered a contraindication for TACE.<sup>26</sup> Indeed, the proportion of segmental portal vein invasion at TACE has not been reported negligible in previous studies.<sup>12,14</sup> Thus, incorporation of segmental portal vein invasion into the pHAP model might be generally applicable. In addition, a shorter time interval from resection to TACE (<1 year) was an independent poor prognostic factor. The selection of this variable can be easily supported by considering that the early recurrence of HCC after resection has been reported to be a prognostic factor for survival despite treatment,<sup>27</sup> which in turn supports our rationale to develop a risk prediction model for recurrent HCC after resection that includes the time interval between TACE and resection as one of the constituent variables.

Our study also has several limitations. First, our study population consisted of Korean patients only, the majority of whom had HBV as the etiology for HCC (around 80%). Therefore, validation of our findings in other ethnic populations with varying etiologies for HCC is strongly required. In addition, we retrospectively selected only patients who underwent TACE due to recurrence. However, the decision of whether to perform TACE or not can be significantly influenced by previous treatment modality, tumor number, and location and size of tumor, creating a possible selection bias. We attempted to overcome this potential bias by validating the pHAP model in a large-sized external cohort (n=350) and demonstrating the applicability of the pHAP model in patients undergoing TACE after resection. Second, the overall diagnostic accuracy is not that high in contrast to those observed in previous studies of HAP and its modifications. Although the exact reason for these findings is not clear, this phenomenon can be explained in part by the selection of variables at the time of recurrence. Furthermore, potent antiviral therapy using nucleotide analogues for hepatitis B and direct-acting antivirals for hepatitis C might also have an

impact on the overall survival of our cohort; a possibility that should be further investigated in future studies. Third, inter-institutional variability regarding technical issue and subjective decisions regarding treatment might have influenced our final results. However, we attempted to validate the pHAP model in an external cohort and observed similar diagnostic accuracy between the training and validation cohorts. Lastly, although re-treatment strategies for TACE have been proposed, such as sequential use of various risk models of HAP and its modifications, ART (Assessment for Retreatment with TACE) score, SNA-COR (tumor size and number, baseline AFP, Child-Pugh and objective radiological response), and ABCR score,<sup>28–30</sup> the present study focused on selection of the optimal candidates for starting TACE as the first-line anticancer therapy for recurrent HCC.

In conclusion, we developed and externally validated a new HAP model (pHAP) with greater accuracy in patients treated with TACE due to the recurrent HCC following surgical resection. Further studies should investigate appropriate methods for selection of treatment options other than TACE for patients considered to be at high-risk according to pHAP score.

## CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

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## AUTHOR CONTRIBUTIONS

Study concept and design: E.J.C., S.U.K. Data acquisition: B.K.K., J.Y.P., D.Y.K., S.H.A., K.H.H., J.H.L., S.J.Y., Y.J.K., J.H.Y., E.J.C., S.U.K. Data analysis and interpretation: M.Y.J., E.J.C., S.U.K. Drafting of the manuscript; critical revision of the manuscript for important intellectual content: M.Y.J., E.J.C., S.U.K. Statistical analysis: M.Y.J., E.J.C., S.U.K. Obtained funding: S.U.K. Administrative, technical, or material support; study supervision: E.J.C., S.U.K.

## ORCID

Mi Young Jeon	<a href="https://orcid.org/0000-0002-3980-4503">https://orcid.org/0000-0002-3980-4503</a>
Beom Kyung Kim	<a href="https://orcid.org/0000-0002-5363-2496">https://orcid.org/0000-0002-5363-2496</a>
Jun Yong Park	<a href="https://orcid.org/0000-0001-6324-2224">https://orcid.org/0000-0001-6324-2224</a>
Do Young Kim	<a href="https://orcid.org/0000-0002-8327-3439">https://orcid.org/0000-0002-8327-3439</a>
Sang Hoon Ahn	<a href="https://orcid.org/0000-0002-3629-4624">https://orcid.org/0000-0002-3629-4624</a>
Kwang-Hyub Han	<a href="https://orcid.org/0000-0003-3960-6539">https://orcid.org/0000-0003-3960-6539</a>

Jeong-Hoon Lee <https://orcid.org/0000-0002-0315-2080>  
 Su Jong Yu <https://orcid.org/0000-0001-8888-7977>  
 Yoon Jun Kim <https://orcid.org/0000-0001-9141-7773>  
 Jung-Hwan Yoon <https://orcid.org/0000-0002-9128-3610>  
 Eun Ju Cho <https://orcid.org/0000-0002-2677-3189>  
 Seung Up Kim <https://orcid.org/0000-0002-9658-8050>

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