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# The long noncoding RNA *HOXA11* antisense induces tumor progression and stemness maintenance in cervical cancer

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Directed by Professor Sang Wun Kim

The Doctoral Dissertation

Submitted to the Department of Medicine
and the Graduate School of Yonsei University
in partial fulfillment of the requirements for the degree
of Doctor of Philosophy in Medical Science

Kyung Jin Eoh

June 2017



# This certifies that the Doctoral Dissertation of Kyung Jin Eoh is approved.

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#### **ABSTRACT**

### The long noncoding RNA *HOXA11 antisense* induces tumor progression and stemness maintenance in cervical cancer

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Recent research has focused on the impact of long noncoding RNA (lncRNA) in cervical carcinogenesis. However, whether HOXA11 antisense (HOXA11-AS) is involved in cervical cancer remains to be elucidated. In the present study, we examined HOXA11-AS expression levels in cervical cancer patients and determined the relationships between HOXA11-AS expression and several clinicopathological factors, including survival. We also investigated the bio-functional consequences of HOXA11-AS overexpression both in vitro and in vivo. HOXA11-AS expression was significantly greater in tissues from patients with cervical cancer than in control patients (P < 0.001). Multivariate analysis showed that high HOXA11-AS was an independent prognosticator of overall survival (HR=2.450, P=0.032). HOXA11-AS overexpression enhanced proliferation, migration, and tumor invasion in vitro, whereas HOXA11-AS knockdown inhibited these aggressive biologic features. These adverse changes were accompanied by characteristics of epithelial-mesenchymal transition (EMT). In vivo xenograft experiments using the siHOXA11-AStransfected HeLa cells revealed that HOXA11-AS strongly induced tumor growth. Furthermore, we found that HOXA11-AS knockdown decreased cancer stemness and triggered the EMT program. In conclusion, HOXA11-AS overexpression was correlated with poor survival in patients with cervical cancer. Thus, HOXA11-AS may be a pivotal target for exploring novel cervical cancer therapeutics.

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**Keywords:** HOXA11 antisense, long noncoding RNA, invasion, prognosis, cervical cancer



## The long noncoding RNA *HOXA11 antisense* induces tumor progression and stemness maintenance in cervical cancer

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#### I. INTRODUCTION

Cervical cancer is the third most common cancer and the fourth leading cause of malignancy-related mortality in women worldwide.<sup>1</sup> Although widespread implementation of screening programs in recent years has decreased the incidence and mortality of this cancer, it continues to be a major public health problem, specifically in advanced cases.<sup>2</sup> Major research efforts have focused on identifying tumor-specific markers predicting the biological behavior of cervical cancers because cell motility and invasion play a crucial role in the progression of cancer.<sup>3</sup> An increased understanding of the molecular mechanisms underlying cervical carcinogenesis and progression is required to identify reliable prognosticators of tumor aggressiveness.

Noncoding RNAs (ncRNAs) may be key factors in gene regulation, influencing normal and cancer cell phenotypes.<sup>4,5</sup> Over 3000 human long intervening coding



RNAs (lincRNAs), and most long ncRNAs are associated with DNA-binding proteins such as chromatin-modifying complexes that epigenetically regulate the expression of multiple genes.<sup>6,7</sup> Transcription of long noncoding RNAs (lncRNAs) modulates gene activity in response to external oncogenic stimuli and DNA damage.<sup>8</sup>

Several cancers highly express the homeobox A11 antisense lncRNA (HOXA11-AS), which is near the homeobox A11 (HOXA11) gene, further supporting the model that this lncRNA influences cervical cancer progression. Human HOX gene clusters feature prevalent intergenic transcription between coding genes. 10 Noncoding RNAs seem to dominate homeobox gene cluster intergenic transcripts, which include short microRNAs (miRNA) and lncRNAs that are antisense to their canonical HOX neighbors. In humans and mice, HOX transcription factors regulate embryonic development.<sup>11</sup> Homeobox A11 antisense lncRNA transcripts occur in the adult human endometrium. The abundance of these transcripts varies throughout the menstrual cycle; peak antisense RNA levels occur in the midproliferative phase, varying inversely with mRNA expression levels. In primary stromal cell culture, progesterone down-regulates HOXA11-AS transcription. This HOXA11-AS downregulation is followed by HOXA11 mRNA upregulation, indicating a possible role for the antisense transcript in regulating mRNA expression. 12 The mechanism by which HOXA11-AS represses HOXA11 mRNA is transcriptional interference rather than sense/antisense interaction; HOXA11-AS represses HOXA11 by competing for transcription of a common gene. Homeobox A11 DNA methylation prognosticates ovarian cancer. 13 Homeobox A11 antisense



lncRNA negatively regulates the expression of the HOXA11 gene. Although HOXA11 DNA methylation plays a critical role in the progression of ovarian cancer, little is known about the molecular mechanisms underlying cervical cancer.

Cancer stem cells (CSCs) are responsible for tumor-initiating capacity, invasion, metastasis, relapse, and chemotherapy resistance.<sup>14</sup> The presence of a small population of CSCs in cervical cancer has major implications for cancer therapy and the complete eradication of refractory tumors. According to the CSC theory, these cells exhibit high levels of resistance to multi-drug treatment, as they possess an increased capacity for proliferation and DNA repair and a downregulated epithelial-mesenchymal transition (EMT) program.<sup>15,16</sup> However, the complex biology of cervical CSCs and the underlying pathogenic mechanisms remain unknown. Recent studies focus on molecular mechanisms underlying cervical CSC progression and new therapies against cervical CSCs.<sup>17-19</sup>

The present study investigated the expression and molecular function of HOXA11-AS in cervical cancer cell lines and cancer tissues. We also examined the role of HOXA11-AS in tumor progression and CSCs. The findings of this study will be useful in elucidating the role of HOXA11-AS in the metastatic progression of cervical cancer.



#### II. MATERIALS AND METHODS

#### 1. Patient specimens

Included in this study were 92 female patients who underwent surgery between 2007 and 2014 at Yonsei Severance Hospital, Yonsei University. Specimens from patients with newly diagnosed invasive stage IA to IVB cervical cancer (International Federation of Gynecology and Obstetrics, FIGO) who had not received prior treatment were included in this study. Thirty samples of the normal cervix from patients undergoing a simple hysterectomy because of uterine leiomyomata were obtained as controls. This study was conducted according to the principles of the Declaration of Helsinki and was approved by the Ethics Committee of Yonsei Severance Hospital. Informed consent was obtained from all patients. All specimens were immediately frozen in liquid nitrogen and stored at – 80°C until RNA extraction.

#### 2. Cell lines

We obtained SiHa, HeLa, CaSki, ME-180 and C33A human cervical cancer cell lines from the American Type Culture Collection (Rockville, MD). Squamous cervical carcinoma and HeLa cells were cultured in Dulbecco's Modified Eagle Medium; ME-180 and C33A cells were cultured in Eagle's Minimum Essential Medium (Gibco-BRL, Gaithersburg, MD, USA); CaSki cells were cultured in RPMI-1640 medium (Gibco-BRL). The human normal ovarian cancer cell line HOSE was cultured in MCDB 105 (Sigma Aldrich, Castle Hill, Australia) medium. Culture media were supplemented with 10% (v/v) fetal bovine serum and



penicillin/streptomycin. All cell lines were maintained at 37°C in a humidified incubator with 5% CO<sub>2</sub>.

#### 3. Quantitative real-time PCR (qRT-PCR)

Total RNA was extracted using TRIzol® reagent (Invitrogen Corp., Carlsbad, CA, USA) according to the manufacturer's instructions. Two micrograms of total RNA were reverse transcribed into first-strand cDNA using a reverse transcription reagent kit (Invitrogen). The cDNA template was amplified by qRT-PCR, using the SYBR® Green Real-time PCR Kit (TOYOBO Co. Ltd, Osaka, Japan). qRT-PCR was performed on the ABI StepOnePlus Real-Time PCR System (Applied Biosystems, Foster City, CA, USA). All quantifications were performed with U6 as the internal standard. Relative gene expression was analyzed using the  $2-\Delta\Delta CT$  method, and the results were expressed as the extent of change on control values. qRT-PCR experiments were replicated at least three times. Primers used for PCR reactions are shown in Table S1.

Table S1. Primer sequences used in this study.

Gene	Primer sequence			
	Forward (5'-3')	Reverse (5'-3')	(bp)	
HOXA11-AS	GAGTTTGAAGCCGTGGATGT	AGATGAGGGGAGAGGTGGAT	665	
MMP-9	CGCTACCACCTCGAACTTTG	GCCATTCACGTCGTCCTTAT	196	
MMP-2	GGATGATGCCTTTGCTCG	ATAGGATGTGCCCTGGAA	487	
VEGF	TTGCTGCTCTACCTCCAC	AAATGCTTTCTCCGCTCT	419	
E-cadherin	ATTCTGATTCTGCTGCTCTTG	AGTAGTCATAGTCCTGGTCCT	421	
β-catenin	TGCAGTTCGCCTTCACTATG	ACTAGTCGTGGAATGGCACC	162	
N-cadherin	CCCAAGACAAAGAGACCCAG	GCCACTGTGCTTACTGAATTG	140	
Vimentin	TGGATTCACTCCCTCTGGTT	GGTCATCGTGATGCTGAGAA	111	
Snail	GAGGCGGTGGCAGACTAG	GACACATCGGTCAGACCAG	178	
Sox-2	AAGAGAACACCAATCCCATCCA	AGTCCCCCAAAAAGAAGTCCA	95	
Nanog	GATTTGTGGGCCTGAAGAAA	TTGGGACTGGTGGAAGAATC	138	
Oct-4	GCAAAGCAGAAACCCTCGTG	GAACCACACTCGGACCACAT	173	



#### 4. Small interfering RNA (siRNA) transfection

Homeobox A11 antisense lncRNA siRNA (siHOXA11-AS) and negative control siRNA (siNC) were purchased from Genolution (Genolution Pharmaceuticals Inc., Seoul, Korea). Cells (5×10<sup>4</sup> cells/well) were seeded into 6-well plates and transfected with 10 nM siRNA in phosphate-buffered saline (PBS), using the G-Fectin Kit (Genolution Pharmaceuticals), according to the manufacturer's protocol. These siRNA-transfected cells were used in the *in vitro* assays 48 h post-transfection. The target sequences for HOXA11-AS siRNAs were as follows: siRNA, 5'-CGGAAUAUCGGAAUAAAGUUU-3'. The experiments were repeated at least three times.

#### 5. Plasmid constructs and the generation of stable cell lines

Full-length human HOXA11-AS transcript cDNA was amplified by PCR and inserted into the pLenti6/V5-D-TOPO vector, according to the ViraPower<sup>TM</sup> Lentiviral Expression Systems (Invitrogen) protocol. The plasmid was transfected into 293FT cells for packaging, and the resultant lentivirus was used to infect the desired cell lines. The selection of HOXA11-AS stably transfected cells was performed in medium containing blasticidin (Invitrogen).

#### 6. Cell proliferation assay

Cell proliferation was evaluated using the Cell Counting Kit-8 (CCK-8) assay (Dojindo Laboratories, Kumamoto, Japan). Cells ( $2\times10^3$  cells/well) were seeded into 96-well flat-bottomed plates in 100  $\mu$ L of complete medium. The cells were



incubated overnight to allow for cell attachment and recovery and were subsequently transfected with siNC or siHOXA11-AS for 24, 48, 72, or 96 h. An aliquot of 10  $\mu$ L of CCK-8 solution was added to each well and incubated for 2 h. The absorbance was measured at 450 nm to calculate the number of viable cells in each well. Three independent experiments were performed in triplicate.

#### 7. Matrigel invasion assay

The Matrigel invasion assay was performed using the BD Biocoat Matrigel Invasion Chamber (pore size: 8 mm, 24-well; BD Biosciences, Bedford, MA, USA), according to the manufacturer's protocol. Briefly,  $5\times10^4$  cells were plated in the upper chamber on serum-free medium, and complete medium was added to the bottom chamber. The Matrigel invasion chamber was incubated for 48 h at 37°C under 5% CO<sub>2</sub>. Non-invading cells were removed from the upper chamber using cotton-tipped swabs. Cells that had invaded through the pores onto the lower side of the filter were stained (Diff Quik, Sysmes, Kobe, Japan), and these cells were counted using a hemocytometer. The assay was replicated at least three times.

#### 8. Wound healing migration assay

Cell migration was assessed by wound healing assay. In brief,  $5\times10^5$  cells were seeded into 6-well culture plates with serum-containing medium and allowed to grow to 90% confluency in complete medium. The serum-containing medium was removed, and cells were serum starved for 24 h. When the cell density reached  $\sim$  100% confluence, an artificial homogenous wound was created by scratching the



monolayer with a sterile 200-µL pipette tip. After scratching, the cells were washed with serum-free medium. Images of cells migrating into the wound were captured at 0, 24, and 48 h using a microscope. The assay was performed in triplicate.

#### 9. Self-renewal assay

CaSki and HeLa cells (1 cell/ $\mu$ L in SFM) were seeded at 100  $\mu$ L/well in 96-well plates for seven days. The total number of spheres in each well was counted under a microscope. The cells were dissociated, stained with Trypan blue (Amresco Inc., Solon, OH), and counted under a microscope to determine the total cell number. All experiments were done in triplicate.

#### 10. Fluorescence-activated cell sorting analysis

Cells were washed with PBS and fixed with PBS supplemented with 3% paraformaldehyde (10 min, 4°C), and incubated with one of the following four antibody treatments: no treatment; anti-CD44-APC (clone-IM7, eBioscience); anti-CD133-PE (clone-AC133, Miltenyi Biotec., San Diego, CA, USA); or both anti-CD133-PE and anti-CD44-APC. Cell staining was in accordance with manufacturer's instructions. FACS analysis was carried out with a FACScanto apparatus (Becton Dickinson).

#### 11. Western blot analysis

Proteins were extracted with RIPA buffer (Thermo Fisher Scientific Inc. Waltham, MA USA). Protein concentrations were measured using the Pierce BCA



Protein assay kit (Thermo Fisher Scientific). After boiling with 2× sample buffer, proteins were resolved on 10% SDS-polyacrylamide gels and transferred electrophoretically to polyvinylidene difluoride membranes (Millipore, Billerica, MA, USA). Membranes were blocked with 5% non-fat dried milk in 1× Trisbuffered saline containing 0.1% Tween 20 (pH 7.6) at room temperature for 1 h and were subsequently incubated with primary antibody at 4°C overnight under constant agitation. The primary antibodies included rabbit anti-human VEGF (1:500 dilution; Abcam, Cambridge, MA, USA), rabbit anti-human MMP-2 (1:500 dilution; Abcam), rabbit anti-human MMP-9 (1:1000 dilution; Cell Signaling, Beverly, MA, USA), rabbit anti-human E-cadherin (1:1000 dilution; Cell Signaling), rabbit anti-human β-catenin (1:1000 dilution; Cell Signaling), mouse anti-human Vimentin (1:1000 dilution; Sigma, St. Louis, MO, USA), mouse antihuman Snail (1:1000 dilution; Cell Signaling), rabbit anti-human SOX-2 (1:1000 dilution; Cell Signaling), rabbit anti-human Nanog (1:1000 dilution; Cell Signaling), rabbit anti-human Oct-4 (1:1000 dilution; Cell Signaling), and mouse anti-human β-actin antibody (1:5000 dilution; Sigma). Proteins were visualized using an enhanced chemiluminescence system (ECL<sup>TM</sup>; Amersham, Little Chalfont, UK), and band intensities were quantified using the Luminescent Image Analyzer (LAS-4000 mini, Fujifilm, Uppsala, Sweden).

#### 12. Xenografts in mice

BALB/c mice (n=12, 5-6 weeks of age, Orient Bio, Seongnam, Korea) were kept in aseptic, constant temperature and humidity, conditions (Yonsei Medical



University protocol). Each mouse received a 150- $\mu$ L subcutaneous dorsal scapula injection of HeLa cell suspension. The size of the tumor was measured twice weekly with calipers, and the tumor volume was determined using the simplified formula for a rotational ellipsoid (length  $\times$  width<sup>2</sup>  $\times$  0.5). Each tumor was harvested at 30 days post-treatment.

#### 13. Magnetic Resonance (MR) imaging in mice

A Bruker Biospec 94/24 USR (9.4T) small animal scanner (35-mm diameter birdcage coil, Bruker BioSpin MRI, Ettlingen, Germany) was used to obtain the MR images. During the MR experiments, mice were immobilized by placement in a custom-built cradle. T<sub>2</sub>-weighted images were obtained at the beginning of each imaging session for accurate positioning of the animal inside the magnet bore. The T<sub>2</sub>-weighted images were acquired using the rapid acquisition setting. An O<sub>2</sub>/N<sub>2</sub>O mixture (1:1) with 1.5% isoflurane, at a 0.7 L/min flow rate, was used for anesthesia. An air pillow was used to monitor respiration. Circulating warm water was used to maintain mouse body temperature within acceptable limits.

#### 14. Comparison of clinical outcomes and survival

Low HOXA11-AS expression was defined as expression less than 10-fold. Tenfold or more than 10-fold expression of HOXA11-AS was considered high HOXA11-AS. Survival rate and clinical outcomes were analyzed and compared between the two groups. Overall survival was defined as the period of months between the date of diagnosis and the date of death or last contact.



#### 15. Statistical analysis

IBM SPSS version 20 for Windows (SPSS Inc., Chicago, IL, USA) was used for the statistical analysis. The Kolmogorov–Smirnov test was used to verify standard normal distributional assumptions. Statistical significance was determined using Fisher's exact test, Pearson's chi-square test, and Student's t-test. Univariate and multivariate analysis using the Cox proportional hazards model was performed to assess the influence of various prognostic factors on survival. Survival outcomes were determined through a Kaplan–Meier survival analysis. Mean differences were considered significant when P<0.05. Results are shown as mean  $\pm$  SD.



#### III. RESULTS

## 1. Elevated expression of HOXA11-AS correlates with poor cervical cancer prognosis

Real-time RT-PCR was performed to evaluate the expression of HOXA11-AS lncRNA in cervical cancer tissues (n=92) and corresponding normal tissues (n=30). Homeobox A11 antisense lncRNA expression in cervical cancer tissues was more than 227.5-fold that of noncancerous tissues (Fig. 1A), suggesting that the expression of HOXA11-AS is upregulated in cervical cancer. We also performed real-time RT-PCR assays on HOXA11-AS expression levels in six different cell lines, one of which was derived from human normal ovarian cells (HOSE), and five of which were derived from human cervical cancers. We found that HOXA11-AS expression levels were higher in epitheloid cervical carcinoma (HeLa), epidermoid cervical carcinoma established from a metastasis in the small bowel mesentery (CaSki), and squamous cervical carcinoma (SiHa) cells than in epidermoid cervical carcinoma (ME-180) and HPV-negative cervical carcinoma (C33A) cells (Fig. 1B). We examined the relationship between HOXA11-AS expression and clinical outcomes (Table 1). Patients with high HOXA11-AS expression presented more lymphovascular invasion, lymph node metastasis, and recurrence compared with patients with low HOXA11-AS expression, but this relationship was not statistically significant.

The median overall survival (OS) durations were 43 and 46.5 months in the high and low HOXA11-AS expression groups, respectively. The log-rank test indicated a significantly longer OS for the low HOXA11-AS expression group (P=0.009)



(Fig. 1C). Five-year survival rates were 58.3% and 79.9% in high and low HOXA11-AS expression groups, respectively. A Cox multivariate proportional hazards analysis showed that stage (hazard ratio [HR]=3.546, P=0.02), nodal metastasis (HR=2.724, p=0.023), and HOXA11-AS (HR=2.450, P=0.032) were independent prognosticators of overall survival (Table 2).

Table 1. Clinicopathological features and HOXA11-AS expression in cervical cancer patients

Variables	Total (n=92)	High	Low	p
		HOXA11-AS (n=41)	HOXA11-AS (n=51)	value
Age (years)	$51.5 \pm 12.7$	51.9 ± 12.6	51.2 ± 10.3	0.734
Stage				
I	36 (39.1%)	15 (36.6%)	21 (41.2%)	0.23
II	46 (50.0%)	19 (46.3%)	27 (52.9%)	
III	10 (10.9%)	7 (17.1%)	3 (5.9%)	
Histology				
Squamous cell	63 (68.5%)	28 (68.3%)	35 (68.6%)	0.098
Adenomatous	21 (22.8%)	10 (24.4%)	11 (21.6%)	
Mixed	2 (2.2%)	2 (4.9%)	0	
Small cell	5 (5.4%)	0	5 (9.8%)	
Unknown	1 (1.1%)	1 (2.4%)	0	
Lymphovascular invasion	46 (50.0%)	23 (56.1%)	23 (45.1%)	0.052
Lymph node metastasis	21 (22.8%)	12 (29.3%)	9 (17.6%)	0.142
Recurrence	24 (26.1%)	13 (31.7%)	11 (21.6%)	0.194



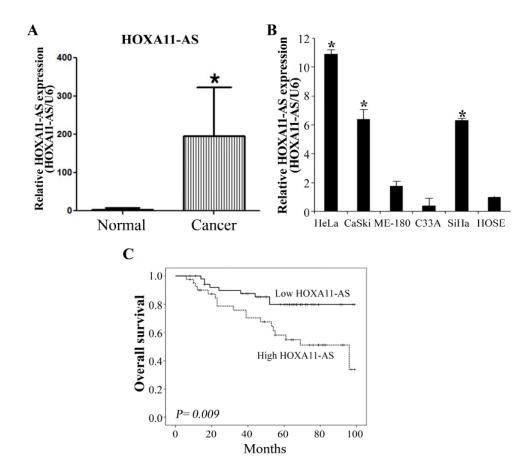


Figure 1. Relative HOXA11-AS expression and its clinical significance. A. Elevated expression of HOXA11-AS in human cervical cancer tissues. Homeobox A11 antisense lncRNA expression was significantly higher in cervical cancer tissues (n=92) than in noncancerous tissues (n=30). Relative HOXA11-AS expression was determined using qRT-PCR with U6 as an internal control. Data are expressed as means ± SD. \*P<0.05 vs. non-tumor control. B. Expression of HOXA11-AS in cervical cancer cells. Homeobox A11 antisense lncRNA expression was evaluated using qRT-PCR with U6 as an internal control. C. The median OS durations were 43 and 46.5 months in the high and low HOXA11-AS expression groups, respectively. The log-rank test indicated that the low HOXA11-AS expression group had a significantly longer OS than the high group (P=0.009). Five-year survival rates were 54.5% and 75.7% in the high and low HOXA11-AS expression groups, respectively.



Table 2. Univariate and multivariate analyses for various determinants in patients with cervical cancer

	No. of patients			Multivariate analysis	
		Hazard ratio (95% CI)	p-value	Hazard ratio (95% CI)	p-value
Age, years (continuous)	92	0.993 (0.960-1.027)	0.692		
Stage					
1	36	1 (Reference)		1 (Reference)	
2	46	0.743 (0.314-1.760)	0.5	0.650 (0.254-1.659)	0.367
3	10	3.492 (1.258-9.691)	0.016	3.546 (1.223-10.280)	0.02
Lymphovascular invasion					
No	43	1 (Reference)			
Yes	46	2.118 (0.094-4.774)	0.07		
Nodal metastasis					
No	71	1 (Reference)		1 (Reference)	
Yes	21	2.617 (1.210-5.662)	0.015	2.724 (1.145-6.483)	0.023
Recurrence					
No	68	1 (Reference)		1 (Reference)	
Yes	24	3.268 (1.521-7.020)	0.002	2.046 (0.862-4.857)	0.105
HOXA11-AS expression					
Low	51	1 (Reference)		1 (Reference)	
High	41	2.769 (1.242-6.174)	0.013	2.450 (1.079-5.561)	0.032

### 2. Knockdown of HOXA11-AS decreases cell proliferation in cervical cancer cells

To investigate the functional role of HOXA11-AS in cervical cancer, siRNA was used to downregulate HOXA11-AS expression. HeLa and CaSki cells were used for siRNA-mediated knockdown of HOXA11-AS expression. The knockdown efficiency of the HOXA11-AS-specific siRNAs (siHOXA11-AS) was evaluated, and siHOXA11-AS was found to have a higher silencing efficiency than the negative control siRNA (Fig. 2A). We next examined the impact of HOXA11-AS knockdown on cell proliferation. The results of the CCK-8 assay showed that



siRNA-mediated knockdown of HOXA11-AS in HeLa and CaSki cells decreased cell proliferation (Fig. 2B), suggesting that HOXA11-AS is involved in the proliferation of cervical cancer.

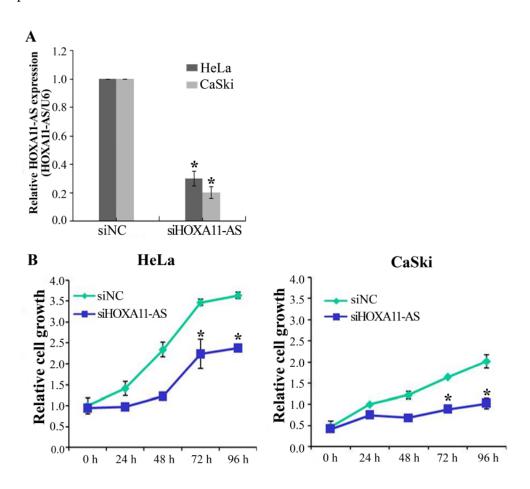


Figure 2. Knockdown of HOXA11-AS inhibits the proliferation of cervical cancer cells. A. Cells were transfected with HOXA11-AS-specific siRNA and negative control siRNA (siNC), and knockdown efficiency was determined by qRT-PCR analysis. B. Knockdown of HOXA11-AS decreases cell proliferation in HeLa and CaSki cells. The proliferation of cervical cancer cells transfected with siHOXA11-AS and siNC was determined using the CCK-8 assay. Bars indicate means  $\pm$  SD of three independent experiments. \*P<0.05 vs. siNC.



# 3. Lentiviral-mediated overexpression or knockdown of HOXA11-AS reveals that high HOXA11-AS expression promotes cervical cancer cell migration and invasion

To determine whether HOXA11-AS plays a role in migration and invasion in cervical cancer cells, we performed wound healing and Matrigel invasion assays. We established stable HOXA11-AS-overexpressed SiHa and ME-180 cells by antibiotic selection of a pool of lentivirus-infected cells (Fig. 3A). Overexpression of HOXA11-AS resulted in increased migration of SiHa cells relative to empty vector-expressing controls (Fig. 3B). In contrast, siRNA-mediated knockdown of HOXA11-AS inhibited cell migration in HeLa cells (Fig. 3B). To investigate whether HOXA11-AS could also enhance invasion in cervical cancer cells, we performed a Matrigel invasion assay. Homeobox A11 antisense lncRNA overexpression in SiHa and ME-180 cells resulted in increased invasion relative to empty vector-expression cells (Fig. 3C). In contrast, HOXA11-AS knockdown in HeLa and CaSki cells led to a decrease in cell invasion (Fig. 3D).



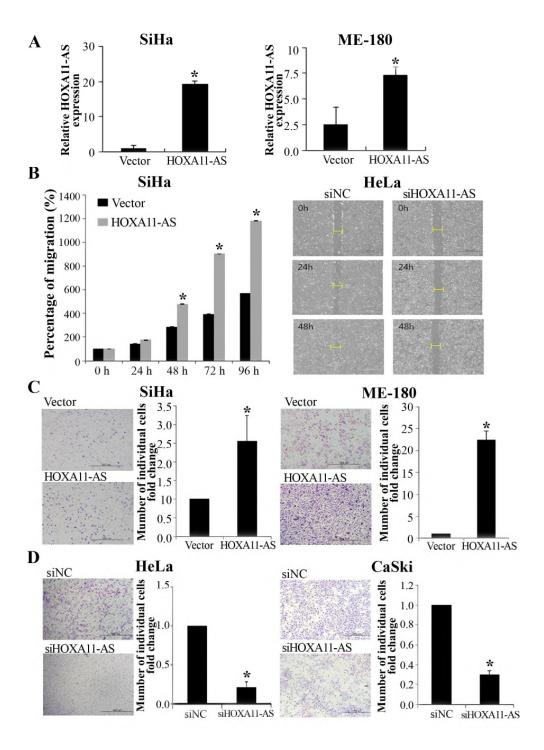


Figure 3. Homeobox A11 antisense lncRNA promotes cell migration and invasion. A. Overexpression of HOXA11-AS in SiHa and ME-180 cells, analyzed using qRT-PCR. B. Wound healing assay was used to determine migration in HOXA11-



AS overexpressed SiHa cells and siHOXA11-AS-transfected HeLa cells ( $\times 200$ ). C. Using the Matrigel invasion chamber, overexpression of HOXA11-AS in SiHa and ME-180 cells increased the invasive capacity after 48 h. D. Matrigel invasion assay was used to determine invasion after 48 h in siHOXA11-AS-transfected HeLa and CaSki cells. Each assay was performed in triplicate. Data are mean  $\pm$  SD. \*P<0.05 vs. control.

## 4. Knockdown of HOXA11-AS inhibits MMP-9, MMP-2, and VEGF expression in cervical cancer cells

To explore molecular mechanisms underlying HOXA11-AS promotion of cell migration and invasion, we characterized the expression of MMP-9, MMP-2, and VEGF. siHOXA11-AS decreased MMP-9, MMP-2, and VEGF expression levels in HeLa cells (Fig. 4A). siRNA-mediated knockdown of HOXA11-AS inhibited MMP-9, MMP-2, and VEGF protein expression (Fig. 4B). Our findings suggest that HOXA11-AS promotes cervical cancer cell migration and invasion *via* upregulation of MMP-9, MMP-2, and VEGF.



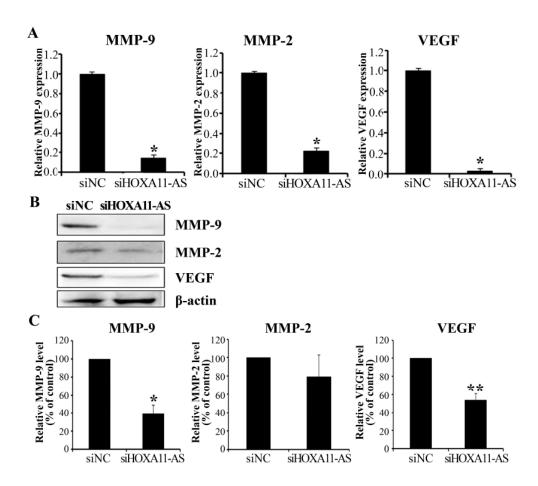


Figure 4. Knockdown of HOXA11-AS decreases MMP-9, MMP-2, and VEGF expression in cervical cancer cells. A. MMP-9, MMP-2, and VEGF expression were analyzed by qRT-PCR. B. Protein lysates were obtained from siHOXA11-AS, and siNC-transfected HeLa cells 48 h post-transfection. MMP-9, MMP-2, and VEGF expression were analyzed by western blotting. C. Band intensities were quantified and normalized to that of  $\beta$ -actin. Each assay was performed in triplicate. Data are mean  $\pm$  SD. \*P<0.05 vs. siNC. \*\*P<0.05 vs. siNC.

## 5. HOXA11-AS knockdown reversed EMT-related genes in cervical cancer cells

Because the EMT is important in cell migration and invasion, we tested whether HOXA11-AS is required for EMT. We performed siRNA-mediated knockdown of HOXA11-AS in HeLa cells and monitored EMT using real-time RT-PCR and



western blot assays. Knockdown of HOXA11-AS resulted in an increase in E-cadherin expression and decreases in β-catenin and vimentin expression (Figs. 5A–B). Also, the EMT-mediating transcription factor Snail was downregulated in siHOXA11-AS-transfected cells, relative to its level in negative control siRNA (siNC) transfected cells (Figs. 5A–B). These data suggest that dysregulation of EMT-related genes partially explains the involvement of HOXA11-AS in cervical cancer cell migration and invasion.

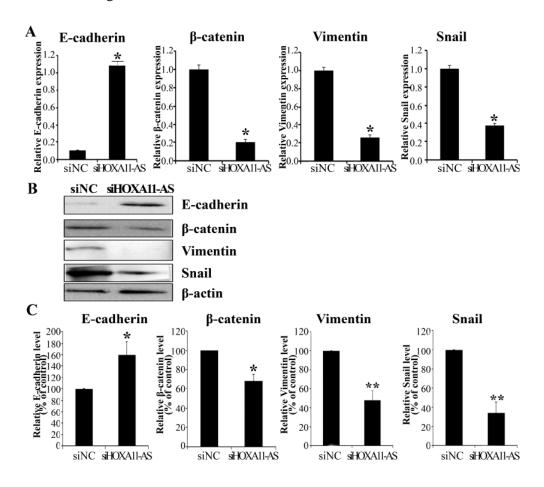


Figure 5. Expression of the EMT-related genes in HeLa cells according to knockdown of HOXA11-AS. HeLa cells were transfected with HOXA11-AS-specific siRNA and siNC for 48 h. E-cadherin,  $\beta$ -catenin, Vimentin, and Snail expression were analyzed by qRT-PCR (A) and western blotting (B). C. Band



intensities were quantified and normalized to that of  $\beta$ -actin. Each assay was performed in triplicate. Data are mean  $\pm$  SD. \*P<0.05 vs. siNC. \*\*P<0.05 vs. siNC.

### 6. HOXA11-AS promotes sphere formation and expression of stemness markers

The EMT program confers stem cell-like properties to normal and tumor cells. <sup>16,20,21</sup> To assess whether HOXA11-AS has a role in CSC generation, we treated the CaSki and HeLa cervical cancer cells for five days and counted the number of cells expressing the CSC markers CD133<sup>+</sup>/CD44<sup>+</sup>. <sup>22,23</sup> Spheroid HeLa cells promoted an increase in the number of CD133<sup>+</sup>/CD44<sup>+</sup> (Fig. 6A and B). We next evaluated the HOXA11-AS expression in non-spheroid and spheroid cells. Gene expression analyses in CaSki and HeLa cells showed that spheroid cells express HOXA11-AS at levels tenfold and fourfold that of control cells, respectively (Fig. 6C).



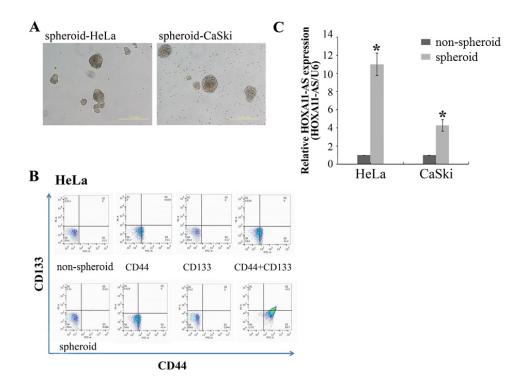


Figure 6. Sphere formation increased the CD133+/CD44+ cancer stem cell subpopulation and HOXA11-AS expression in cervical cancer cell lines. A. HeLa and CaSki cells were incubated for five days and stained with anti-CD44 and anti-CD-133. Representative dot-plots are shown in B. C. Enriched populations of the spheroid and non-spheroid HeLa and CaSki cells were subjected to qPCR analysis of HOXA11-AS. Each assay was performed in triplicate. Data are mean  $\pm$  SD.

Next, to determine whether HOXA11-AS promotes self-renewal of cervical cancer cells, an important feature of CSCs, we assayed sphere formation in CaSki and HeLa cells 2 days after the HOXA11-AS knockdown. After seven days of incubation in anchorage-independent conditions, the control cells presented an elevated number of large colonies, while the HOXA11-AS-silenced cells formed only a few colonies of small size (Fig. 7A). Counting of colonies revealed a reduction in sphere formation (Fig. 7B). We counted the number of cells expressing the CD133<sup>+</sup>/CD44<sup>+</sup> CSC markers. As expected, siHOXA11-AS-spheroid HeLa



cells exhibited a decrease in the number of CD133<sup>+</sup>/CD44<sup>+</sup> compared with the siNC-spheroid HeLa cells (Fig. 7C). To verify the effectiveness of siHOXA11-AS during the sphere formation assays, we measured its expression seven days after siRNA treatment. Although we detected a slight recovery in HOXA11-AS expression, a significant knockdown was still observed (Fig. 7D). To further characterize siHOXA11-AS-decreased spheres and determine the molecular mechanisms underlying these observations, we evaluated the expression of several stemness genes in siHOXA11-AS-spheroid HeLa cells using western blotting (Fig. 7E). We found that the expression of SOX2, Oct-4, and Nanog was significantly downregulated in siHOXA11-AS-spheroid HeLa cells, relative to the corresponding expression levels in control cells.

Since HOXA11-AS is necessary to promote the EMT program (Fig. 5), we evaluated the expression of EMT-related genes using western blot analysis in siHOXA11-AS-spheroid HeLa cells. β-Catenin, N-cadherin, and vimentin expression were downregulated in siHOXA11-AS-spheroid HeLa cells, relative to the corresponding levels in control cells (Fig. 7E). These results suggest that HOXA11-AS contributes to activating the genetic program that promotes EMT and supports the CSC phenotype.



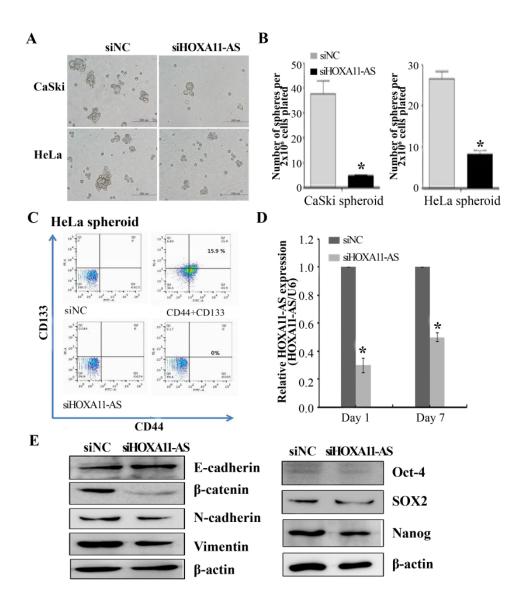


Figure 7. Knockdown of HOXA11-AS inhibited sphere formation. Representative images (A) and quantification (B) of spheres formed from CaSki and HeLa cells, previously transfected with siHOXA11-AS or siNC. Spheres were counted by visual inspection by light microscopy. HeLa cells were incubated for seven days and stained with anti-CD44 and anti-CD-133. Representative dot-plots are shown in C. D. qPCR was performed to evaluate the expression of HOXA11-AS on days 1 and 7 of the sphere assays. Data are reported as means ± SD for three independent experiments. \*P<0.05 vs. siNC. E. EMT-related gene, Oct-4, SOX2, and Nanog expression were analyzed by western blotting.



#### 7. Knockdown of HOXA11-AS decreases xenograft tumor growth in mice

To assess whether HOXA11-AS knockdown can affect tumor growth *in vivo*, we inoculated HeLa cells as xenografts into nude mice (Fig. 8A). Tumor volume and weight were measured. Mice injected with siHOXA11-AS-transfected cells presented significantly decreased tumor growth and weights compared with those injected with control cells (Figs. 8B–C). Homeobox A11 antisense lncRNA expression in tumor tissue was downregulated in siHOXA11-AS-transfected cells compared with control cells (Fig. 8D). Tumor weight correlated with tumor volume, as determined by calipers (P<0.001; r²=0.935). We further evaluated tumor size using MRI (Fig. 8E). Tumor size was strongly inhibited by the HOXA11-AS knockdown. These findings suggested that HOXA11-AS promoted tumor growth *in vivo* and further supported our hypothesis that HOXA11-AS is involved in the pathogenesis of cervical cancer cells.



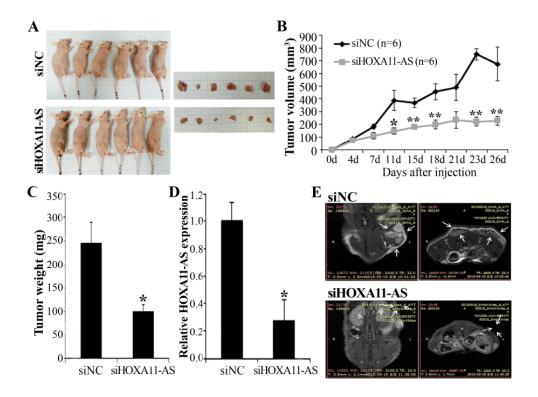


Figure 8. Effect of HOXA11-AS on tumor growth in vivo. A. Images represent tumor growth in the nude mice 26 days after they were injected with  $5\times106$  siNC or siHOXA11-AS-transfected HeLa cells (n=6 mice/group). Gross images of tumor masses from representative mice from each group (right panel). B. Tumor volume was calculated every  $3\sim4$  days. Data are mean  $\pm$  SE (n=6). \*P<0.05 and \*\* P<0.001 vs. siNC. C. Tumor weight. Data are mean  $\pm$  SE. D. qRT-PCR analysis of HOXA11-AS expression in tissues of resected tumors. E. Representative MRI imaging of mice four weeks after injection.



#### IV. DISCUSSION

Long noncoding RNAs are protagonists in recent narratives of the complex mechanisms underlying malignant processes including tumorigenesis, drugresistance, and metastasis. The present study investigated the molecular function and clinical significance of HOXA11-AS expression in cervical cancer cell lines and mouse xenograft models. We found that enhanced HOXA11-AS expression was associated with shorter overall survival. Knockdown of HOXA11-AS expression correlated with decreased cell growth, migration, and invasion in cervical cancer cells. The effects of HOXA11-AS on tumor progression may be mediated by genes involved in cell migration, invasion, and EMT; these genes include VEGF, MMP-9, MMP-2, E-cadherin,  $\beta$ -catenin, Vimentin, and Snail. Also, downregulation of HOXA11-AS expression inhibits EMT-related genes and the colony forming capacity of cervical cancer cells. Our findings indicate that HOXA11-AS may serve as a biomarker and therapeutic target for cervical cancer.

Long noncoding RNAs are transcripts of at least 200 nucleotides without protein-coding capacity. Little is known about the regulatory roles of lncRNAs and their relevance to human disease; however, the functional role of small regulatory ncRNAs such as miRNAs in human cancers is well established. Many lncRNAs are capped, spliced, and polyadenylated, like their protein-coding counterparts.<sup>27</sup> Long noncoding RNAs exhibit tissue-specific expression patterns. The growing list of functionally characterized lncRNAs implies that these transcripts are critical to various physiological processes,<sup>28</sup> and therefore, modified expression of lncRNAs may affect cancer development and progression.<sup>29</sup>



The literature increasingly reports that Hox proteins play key roles in cancer development. Homeobox genes were first discovered in *Drosophila melanogaster*, and subsequently, the structures of human HOX proteins were solved. Several cancers that overexpress HOXA11 include epithelial ovarian cancers, bladder cancer, cervical cancer, and glioma. However, there are few reports of the biology and function of HOXA11-AS in cervical cancer cells.

We found that HOXA11-AS expression associates with disease progression in cervical cancer patients; this lncRNA increased the proliferation, migration, and invasion of cervical cancer cells *in vitro* and *in vivo*. Long noncoding RNAs are essential for the regulation of chromatin structure, gene expression, and translational control.<sup>35</sup> In particular, the level of HOXA11 methylation efficaciously distinguishes high-grade squamous intraepithelial lesion cells from healthy cells; HOXA11 is also important in the embryological development of the Müllerian duct in the uterine cervix.<sup>36</sup> Through HOXA11, HOXA11-AS may affect cervical cancer development.

Also, we discovered that downregulation of HOXA11-AS expression decreases cervical cancer cell proliferation, migration, and invasion. Therefore, HOXA11-AS may exert oncogenic activity in cervical cancer, and promote aggressive and metastatic characteristics. MMP-9 degrades basement membrane collagen and accordingly promotes tumor cell invasion and metastasis, decreasing survival in many types of cancer. Moreover, tumor angiogenesis has a decisive role in tumor growth, invasion, and metastasis. The angiogenic factor VEGF plays a pivotal role in tumor angiogenesis, and accordingly is a major target of many



cancer medications.<sup>38</sup> In our investigation, high expression of HOXA11-AS in cervical cancer cell induced cell migration and invasion through the upregulation of EMT-related genes, VEGF, and MMP-9. More importantly, downregulation of HOXA11-AS expression prevented EMT induction and CSCs arising. We found that HOXA11-AS increases sphere-forming capacity. Furthermore, HOXA11-AS knockdown decreased expression of stemness genes, SOX2, Oct-4, and Nanog in cervical cancer cells. Also, knockdown of HOXA11-AS downregulated expression of EMT-related genes. We hypothesized that HOXA11-AS acts as a key regulator of different signaling mechanisms involved in EMT/stemness establishment. We provided the first evidence that HOXA11-AS promotes CSC self-renewal and EMT in cervical cancer cells, which may contribute to cervical cancer growth, invasion, and recurrence.

The recurrence rate after radical surgery for early-stage cervical cancer is 15–30%, and the recurrent patients have poor prognoses.<sup>39</sup> Reliable predictors of recurrence and progression are needed to improve the prognoses of cervical cancer patients. Pelvic lymph node metastasis is one of the most important postoperative risk factors for recurrence or failure to survive. Thus, cervical cancer patients with metastasis in the pelvic lymph nodes require adjuvants such as postoperative radiotherapy.<sup>2,34</sup> We show that high HOXA11-AS expression correlates with poor overall survival, as the advanced stage and nodal metastasis. Evaluation of a HOXA11-AS expression in cervical cancer patients may predict the risk of progression or recurrence, thereby informing treatment decisions. Notwithstanding the prognostic significance of HOXA11-AS for tumor progression or recurrence,



the present study's relatively small sample size signals for interpretive caution.

Larger prospective studies are necessary to validate our findings.

#### V. CONCLUSION

Our results suggested that HOXA11-AS is associated with invasiveness in cervical cancer. Moreover, HOXA11-AS promoted cervical cancer progression by inducing cell migration and invasion *via* upregulation of VEGF, MMP-9, and EMT-related genes. Also, HOXA11-AS in tumorigenesis occurred through EMT triggering and stemness in cervical cancer cells. Thus, HOXA11-AS may be a potential therapeutic target and prognostic marker for cervical cancer.



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#### ABSTRACT(IN KOREAN)

### 자궁경부암의 진행과 줄기세포성 유지에 긴 비암호화 리보핵산 HOXA11 antisense 의 역할 규명

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최근 연구자들은 자궁경부암 발생에 긴 비암호화 리보핵산이 미치는 영향을 다룬 바 있다. 그러나 HOXA11 antisense (HOXA11-AS)가 자궁경부암의 진행에 관여한다는 사실은 아직 밝혀진 바가 없다. 본 연구에서는 자궁경부암 조직에서의 HOXA11-AS 의 발현정도를 측정한 후 이를 환자의 생존률과 같은 임상 요인들과의 관련성을 살펴보았다. 또한 In vitro 및 In vivo 에서 HOXA11-AS 과발현을 유도한 후 그 결과를 관찰하였다. 우선 HOXA11-AS 의 발현 정도는 정상 대조군 조직에 비해 자궁경부암 환자의 조직에서 유의하게 높았다(P<0.001). 다변량 분석 결과, HOXA11-AS 고발현 여부는 전체 생존 예측하는 독립 인자인 것으로 관찰되었다(HR=2.450, P=0.032). 아울러, HOXA11-AS 의 과발현은 세포의 증식과 이동. 그리고 In vitro 에서 종양의 침범 등과 연관되어 있는 것으로 관찰된 반면, HOXA11-AS 억제는 상기 특성들을 저해하는 것으로 보였다. 이러한 변화는 상피-간엽전환(epithelial-mesenchymal transition, EMT)의 특성들과 연관되어 있는 것이 확인되었다. siHOXA11-AS 에 이환된 HeLa 세포를 이용하여 In vivo 이종이식모델 연구를 수행하였을 때, HOXA11-AS 가 종양 성장을 유발하는 현상이 관찰되었다. 나아가 HOXA11-AS 억제가 암의 줄기세포성을 감소시키고, EMT 과정을 유도하는 것 또한 확인되었다. 결론적으로 HOXA11-AS 가 불량한 예후와 연관되어 있다는 것을 알 수 있으며, 이는 HOXA11-AS 가 향후 자궁경부암 치료법 개발에 있어 주요한 대상이 될 수 있다는 것을 시사해 준다.

\_\_\_\_\_

핵심되는 말: HOXA 11 antisense, 긴 비암호화 리보핵산, 예후, 자궁경부암



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