

Predicting Behavior Problems in Korean Preschoolers: Interactions of the *SLC6A4* Gene and Maternal Negative Affectivity

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Objective: This study aimed to investigate whether maternal negative affectivity (MNA) moderates the effect of genetic polymorphism of *SLC6A4* on behavior problems in children.

Methods: Study participants comprised 143 preschoolers and their mothers from South Korea. The Childhood Behavior Checklist and Emotionality, Activity, and Sociability adult scale were used to measure child behavior and maternal affectivity. DNA from saliva was genotyped to determine serotonin transporter polymorphism.

Results: MNA appeared to exert effects in externalizing ($b=5.78$, $p<0.001$) and internalizing problems ($b=6.09$, $p<0.001$). Interaction between *SLC6A4* polymorphism and MNA showed effects on externalizing ($b=-7.62$, $p<0.01$) and internalizing problems ($b=-9.77$, $p<0.01$). Children with two short alleles showed considerable differences in both externalizing and internalizing problems according to MNA; however, children with one short allele or none showed relatively few differences in behavior problems due to maternal affectivity.

Conclusion: The effect of *SLC6A4* polymorphism on child behavior seemed to be moderated by MNA. In addition, the impact of MNA was found to vary based on a child's genetic risk. High MNA may trigger the risk allele while low MNA causes the risk allele to illicit less behavior problems. Children with two short variants of the *SLC6A4* gene may benefit from intervention that modulates MNA.

KEY WORDS: Gene-environment interaction; *SLC6A4* protein; Maternal behavior; Child behavior.

INTRODUCTION

Behavior problems in preschoolers are a risk to the child's development.^{1,2)} Behavior problems can be grouped into internalizing and externalizing problem behaviors.³⁾ Internalizing behaviors are internally directed and include over-controlled, withdrawn, depressed, anxious, and avoidant behaviors.^{4,5)} Externalizing behaviors are directed toward the external environment and include uncontrolled rebellious, destructive, and oppositional beha-

aviors.^{6,7)} Externalizing behavior problems have been reported to become less frequent as children get older. However, considerable evidence indicates that externalizing behavior problems may extend into adulthood, increasing the risk for other psychopathology, including substance use and disruptive behavior disorders.⁸⁻¹¹⁾ Internalizing problems in early childhood put children at risk for developing mood/anxiety and substance use disorders. Taken together, these behavior problems are seen at a high rate in preschoolers, and have been shown to be associated with social maladjustment, poor academic achievements, and poor relationships in later school-age period.^{12,13)} Therefore, identifying factors that affect internalizing and externalizing behavior problems in preschool children is important.

Genetic factors may contribute to behavior, expression of traits, and interact with environmental factors affecting

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child development and behavior.¹⁴⁾ In particular, the serotonergic system is involved in both internalizing and externalizing problems.^{15,16)} The serotonin (5-HT) transporter gene (SERT, also known as *SLC6A4*) regulates serotonergic neurotransmission. Polymorphism (5-HTTLPR) in the promoter region of the *SLC6A4* results in two variants: a short (*s*) and long (*l*) allele. The variants differ in 44 nucleotides and effect transporter expression and function.¹⁷⁻¹⁹⁾ The *s* variant is associated with reduced transcription of *SLC6A4* and serotonin reuptake, while the *l* variant provides increased transcription and function of the serotonin transporter, resulting in less synaptic serotonin.^{18,20,21)} Prior studies have suggested that a genetic difference in *SLC6A4* may be involved in an individual's susceptibility to internalizing and externalizing problems. Caspi *et al.*²²⁾ first suggested that genetic differences in susceptibility to environmental impacts might be associated with internalizing problems, including depression. When children experience trauma in early life, carriers of the *s* allele were more likely to suffer from depression than carriers of the *l* allele. Moreover, after a stressful event in early life, individuals with two copies of the *s* allele (genotype *s/s*) showed decreased *SLC6A4* gene transcription and increased vulnerability to depression and other mood disorders.²³⁾ The *s* variant of the *SLC6A4* gene has also been shown to be associated with externalizing problems, such as aggressive behavior and alcohol dependence.²⁴⁾

Both internalizing and externalizing problems in preschool-aged children are related to poor emotional regulation.²⁵⁻²⁷⁾ That is, the early cumulative risk of behavioral problems is mediated by children's self-regulation.²⁸⁾ Emotional self-regulation develops rapidly in the early stages of life and gradually improves as children mature.²⁹⁾ By the end of the preschool period children have developed new and increasingly complex ways of regulating their emotions, undergoing dramatic change within the first five years of their life.³⁰⁾ During preschool, emotion regulation becomes necessary to deal with their increasingly complex emotions and demands of a social world. Failure to achieve emotional regulation during the preschool period can impede social interaction, leading to outbursts of temper and distress, and this is associated with social competence.³¹⁾ This age-period is the time when behavior problems are highly influenced by parental characteristics, and therefore, is a good period in which to implement preventive interventions to keep

problem behaviors from developing in later middle-childhood and adolescence. Children with externalizing problems have low attention and inhibitory control, which is related to poor emotional regulation.^{27,32)} Children display more externalizing behaviors if their emotional regulation ability is low.²⁶⁾ Although children with internalizing problems may appear to be over controlled, in that their behavior is inhibited, this type of excess emotional regulation is passive, not volitional.^{25,33)}

One important environmental factor that affects a child's ability to regulate emotion and behavior is the parent's negative affectivity.^{34,35)} Negative affectivity is a higher mood dimension that reflects the experience of negative emotions and poor self-control.³⁶⁾ It is associated with the development of the child's internalizing and externalizing problems by diminishing the ability of the child to develop emotional regulation. In contrast, parental positive affectivity promotes self-regulation by inducing a child positive emotions and enhancing their attempts to regulate emotion.^{37,38)} Despite increasing evidence for the interplay between a child's behavior problem and their parents' negative affectivity, previous studies have focused more on behavior and genetic factors than on environmental factors, such as maternal affectivity. However, the interaction effect of environment and genetics on a child's behavior has been investigated in recent studies. For example, Sulik *et al.*³⁹⁾ reported that the interaction between the child's serotonin transporter gene and mother's parenting style would predict the development of behavior problems. Other studies suggested that individuals with the short allele (*s*) are generally sensitive to psychosocial interventions, whereas individuals with the long variant (*l*) are insensitive, to the same type of interventions.^{22,40)}

Therefore, based on the findings from previous studies, we investigated whether maternal negative affectivity (MNA) moderates the effect of genetic polymorphism of the serotonin transporter gene on behavior problems in children in the present study. We hypothesized that by affecting *SLC6A4* polymorphism, MNA would be indirectly or directly associated with externalizing and internalizing problems. The effects of MNA on a child's internalizing and externalizing behavior would vary depending on the genetic risk, such that children at high genetic risk (*s/s* genotype) would benefit differentially from intervention, compared to children at low genetic risk (*s/l* or *l/l* genotype).

METHODS

Sample and Procedure

A sample of 143 preschool students (75 boys and 68 girls) and their mothers were recruited from seven daycare centers in the Seoul and Gyeonggi areas of South Korea. Inclusion criteria were (1) preschoolers aged 4 to 6 years; and (2) no history of psychiatric diagnosis, such as attention deficit hypersensitivity disorder, depressive disorder, anxiety disorder, oppositional defiant disorder, or tic disorder. Exclusion criteria were (1) an intelligence quotient score below 70 and (2) past or current neurologic disorder. A researcher visited each daycare center and suggested participation in the study with an explanation to the mothers of preschoolers. To exclude children with psychopathologies, we asked the caregivers of participating children whether their children had ever been diagnosed with any current or past psychiatric disorders or whether any significant developmental or behavioral problems had been reported by teachers at their preschool. Children reported as having serious problems were excluded from participating in our study. Intelligence was measured using the standardized Korean Wechsler Preschool and Primary Scale of Intelligence (K-WPPSI) for children.⁴¹⁾ The mean age of the students was 5.33 (standard deviation [SD], 0.948). Table 1 shows the demographic information. All participants were Korean. The 60% of the children reported their mothers as their primary caregivers. Mothers of the participating children completed the Child Behavior Checklist (CBCL) for their children and the Emotionality, Activity, and Sociability (EAS) adult scale for themselves. Of the 143 caregivers, 15

did not submit the questionnaire, and thus, only CBCL data for the remaining 128 were analyzed. The mean T-scores in the attention problem subscale for CBCL was 52.74 (range, 50-70), suggesting that attention levels for our participating children were mostly within the normal range. An independent *t* test was conducted to exclude the possibility that the excluded group (n=15) were more affected by specific behavior problems or maternal depression or MNA than the children who submitted the questionnaires. For this purpose, we compared the mean of the Limit Setting scale from the Parent-Child Relationship index (PCRI) as an index reflecting the child's behavior problems (44, 45) and the scores of the EAS and Center for Epidemiological Studies-Depression (CED-S) questionnaires to assess the mother's emotional status and depression. There was no significant difference between the two groups (PCRI score: *t* (121)=0.468, *p*=0.641, 95% confidence interval [CI]= -3.67 to 0.593; EAS score: *t* (129)=1.224, *p*=0.223, 95% CI= -1.58 to 0.674; CED-S score: *t* (0.132)=0.480, *p*=0.091, 95% CI=0.045 to 0.590). The institutional review boards of each participating site approved the study. Written informed consent was obtained from all parents of the participating preschoolers.

DNA Extraction and 5-HTTLPR Genotyping

Saliva samples for DNA isolation were collected from 143 preschool students using the Oragene DNA Self Collection Kit (DNA Genotek, Ottawa, ON, Canada). Kits were stored at room temperature and shipped for molecular genetic analysis. DNA was extracted from saliva according the manufacturer's protocol (Oragene™ DNA Purification Protocol; DNA Genotek). Genotyping for the serotonin-transporter-linked-polymorphic region (5-HTTLPR) was performed using the genetic analysis service at DNA Link, Inc. (Seoul, Korea). The primer sequence for the 5-HTTLPR polymorphism was synthesized using a previously described protocol,⁴²⁾ with the forward primer having the sequence 5'-ATGCCAGCACCTAACCCCTAATGT-3' and the reverse 5'-GGACCGCAAGGTGGGCGGGA-3': this amplifies a 419-base pair product for the 16 repeat ('l') allele and a 375-base pair product for the 14 repeat ('s') allele. Polymerase chain reaction (PCR) was carried out using the following steps: initial 15-minute denaturing step at 95°C; 35 cycles at 94°C for 30 seconds; 66°C for 30 seconds; 72°C for 40 seconds; and a final extension phase

Table 1. Sample statistics

Characteristic	Data	Missing data
Age*		
4 yr	48 (33.6)	
6 yr	95 (66.4)	
Gender		
Male	75 (52.4)	
Female	68 (47.6)	
SLC6A4 polymorphism		
SS	89 (62.2)	1
SL	44 (30.8)	
LL	9 (6.3)	
Intelligence quotient [†]	97 (67.8)	46

Values are presented as number (%).

Mean±standard deviation: *5.33±0.948 and [†]100.29±14.88.

of 72°C for 15 minutes. The PCR products were examined by electrophoresis for 30 minutes on a 2.5% agarose gel stained with ethidium bromide (0.03%). DNA sequencing of the PCR products for several subjects was performed to confirm polymorphism of the serotonin transporter gene, *SLC6A4*.

Measures

Child internalizing and externalizing behavior problems

The CBCL is an assessment tool completed by parents to measure the externalizing and internalizing symptoms of their children. The Korean version of CBCL (K-CBCL) is a standardized and validated instrument, which provides ratings of behavior for children aged 4 to 17 years.⁴³⁾ The K-CBCL is comprised of 117 items and three subscales, including total problem score, and externalizing and internalizing scores. The instrument has eight symptom categories, including emotionally reactive, anxious/depressed, withdrawn, somatic complaints, sleep problems, attention, aggression, and other problems. Data are reported as both raw scores and sex and age normalized T scores. The T scores are standardized scores derived from raw scores to facilitate comparisons between groups. Higher T scores indicate a greater degree of behavioral and emotional problems.

Maternal negative affectivity

To measure MNA, we used the EAS adult scale developed by Buss and Plomin.⁴⁴⁾ The EAS adult scale has been used in research and clinical practice in Korea because of its simplicity of use, validity of content and reliability. It is composed of three factors: emotionality, activity, and sociability. In this study, we measured emotionality, including emotional instability, emotional anxiety and emotional expression (Supplement 1). Emotionality was assessed with 12 items, measured on 5-point Likert scale, ranging from “not at all” (1 point) to “very much” (5 points). The higher the score, the more negative the emotions. The Cronbach’s α value of the 12 items was 0.83.

Data Analyses

To test the strength of association among variables, simple bivariate correlations were computed. We used PROCESS⁴⁵⁾ to test two simple mediation models that con-

sisted of bootstrapping with 143 samples. PROCESS is a bootstrapping that creates a representation of the sampling distribution of the indirect effects by randomly resampling the original sample. Therefore, it can generate a new distribution that acts as an empirical approximation of the indirect effect in the original population.⁴⁶⁾ Multiple regressions were performed using PROCESS to investigate the potential interaction between *SLC6A4* polymorphisms and maternal factors on child behavior problems with either internalizing T-score or externalizing T-score as the dependent variables. The goal was to determine whether MNA moderates the relationship between *SLC6A4* genotype and behavior problems. Additionally, we calculated conditional effects of MNA on internalizing and externalizing behavior problems. This estimates how much two cases that differ by one unit on MNA differ regarding behavior problems, when M equals some specific value. The value of M is set to represent a SD below the mean (“low”), the mean (“moderate”), and a SD above the mean (“high”). All analyses were performed using IBM SPSS ver. 23.0 for Windows (IBM Corp., Armonk, NY, USA) and p values of <0.05, 0.01, and 0.001 were used for significance.

RESULTS

Sample Statistics

Table 2 shows the means and SD of demographic, clinical and genetic information of the participants. In this study, the frequency of genotypes for *SLC6A4* polymorphism was 89 (62%) for *s/s*, 44(31%) for *s/l*, 9 (6%) for *l/l*. Ninety-three percent of the 143 participants had an *s* allele (genotyped *s/s* or *s/l*). This genotype distribution is similar to that previously reported in the Korean population.⁴⁷⁾ Ninety-three percent of the 143 participants had an *s* allele (genotyped *s/s* or *s/l*). This is consistent with the results from a previous study in the Korean population: *SLC6A4* polymorphisms exhibit ethnic variation, and the frequency of *s* allele is high in the Korean population.⁴⁷⁻⁵¹⁾ Therefore, we grouped individuals with *s/l* and *l/l* alleles into one category, and compared them with *s/s* individuals. Genotypes categorized in this manner were not significantly different by sex.

Correlation Analysis

Table 2 presents the correlation among four variables

Table 2. Bivariate correlations between variables

Variable	Maternal negative affectivity	IP	EP	SLC6A4
IP	0.323***	1		
EP	0.331***	0.728***	1	
SLC6A4 polymorphism	0.055	-0.090	-0.092	1
Mean±SD	2.714±0.57	46.383±8.556	46.641±9.996	

IP, internalizing problems; EP, externalizing problems; SD, standard deviation.

*** $p < 0.001$.

Table 3. Regression analysis of predicting child internalizing problems

Variable	Estimate (<i>b</i>)	SE	<i>t</i>	95% CI
Maternal negative affectivity	4.85	1.22	3.97***	2.43 to 7.28
SLC6A4 polymorphism	-0.97	1.47	-0.66	-3.89 to 1.95
Maternal negative affectivity×SLC6A4 polymorphism	-8.06	2.51	-3.21**	-13.04 to -3.09

SE, standard error; 95% CI, 95% confidence interval.

** $p < 0.01$, *** $p < 0.001$.

Table 4. Conditioning effect(s) of maternal negative affectivity on Internalizing problems through SLC6A4 polymorphism

Effect	<i>b</i>	SE	<i>t</i>	<i>p</i>	95% CI
Low: -0.583	3.79	2.06	1.81	0.07	-0.35 to 7.81
Moderate : 0	-0.97	1.47	-0.66	0.51	-3.89 to 1.95
High: 0.583	-5.67	2.09	-2.71	0.01	-9.82 to -1.52

SE, standard error; 95% CI, 95% confidence interval.

used in this study. Both internalizing and externalizing problems had a positive correlation with MNA score ($r=0.32$, $p < 0.001$, $r=0.33$, $p < 0.001$). SLC6A4 genotype was not correlated with MNA score ($r=0.055$), child internalizing problems ($r=-0.09$), or externalizing problems ($r=-0.09$). This suggests that higher MNA is associated with greater risk for internalizing and externalizing problems in preschoolers.

Moderation Analysis

The results from multiple regression analysis supported our hypothesized model that MNA score moderates the association between SLC6A4 polymorphisms and child internalizing (Tables 3, 4)/externalizing (Tables 5, 6) problems. MNA score showed main effects in predicting internalizing ($b=4.85$, $p < 0.001$) and externalizing ($b=5.78$, $p < 0.001$) behavior problems. The interaction of the SLC6A4 polymorphisms and MNA score predicted internalizing behavior problems ($R^2=0.18$, $F=8.53$, $p < 0.001$) ($b=-8.06$, $p < 0.001$, 95% CI=-3.04 to -3.09) (Table 3). The interaction of the SLC6A4 polymorphisms and MNA score predicted externalizing behavior prob-

lems ($R^2=0.16$, $F=7.47$, $p < 0.001$) ($b=-7.62$, $p < 0.01$, 95% CI=-13.53 to -1.72) (Table 5). However, the main effect of SLC6A4 polymorphism in internalizing ($p=0.51$, 95% CI=-3.89 to 1.95) and externalizing ($p=0.41$, 95% CI=-4.90 to 2.03) problems were not statistically significant. These results indicated that MNA moderates the relationship between SLC6A4 polymorphisms and child internalizing/externalizing problems. Interestingly, children with genotype *ss* had significant internalizing/externalizing behavior problems related to the level of MNA (Fig. 1). We used a third quartile to examine the interactions and to estimate the conditional effects of the predictor (internalization problem) at low, moderate, and high levels of the moderator (MNA). When the moderator was high (mean, 0.583; 95% CI, -9.82 to -1.52) and significant ($p=0.01$) (Table 4). For externalizing problems, we probed significant interactions using the third quartile to estimate the conditional effects of the predictor (external problems) at low, moderate, and high levels of the moderator (MNA). When the moderator was high (mean, 0.583; 95% CI, -10.80 to -0.96), and significant ($p=0.02$) (Table 6).

Table 5. Regression analysis of predicting child externalizing problems

Variable	Estimate (<i>b</i>)	SE	<i>t</i>	95% CI
Maternal negative affectivity	5.78	1.45	3.98***	2.91 to 8.66
<i>SLC6A4</i> polymorphism	-1.44	1.75	-0.82	-4.90 to 2.03
Maternal negative affectivity x <i>SLC6A4</i> polymorphism	-7.62	2.98	-2.56**	-13.53 to -1.72

SE, standard error; 95% CI, 95% confidence interval.

** $p < 0.01$, *** $p < 0.001$.

Table 6. Conditioning effect(s) of maternal negative affectivity on externalizing problems through *SLC6A4* polymorphism

Effect	<i>b</i>	SE	<i>t</i>	<i>p</i>	95% CI
Low: -0.583	3.01	2.45	1.23	0.22	-1.84 to 7.85
Moderate : 0.0000	-1.44	1.75	-0.82	0.41	-4.90 to 2.03
High: 0.583	-5.88	2.49	-2.36	0.02	-10.80 to -0.96

SE, standard error; 95% CI, 95% confidence interval.

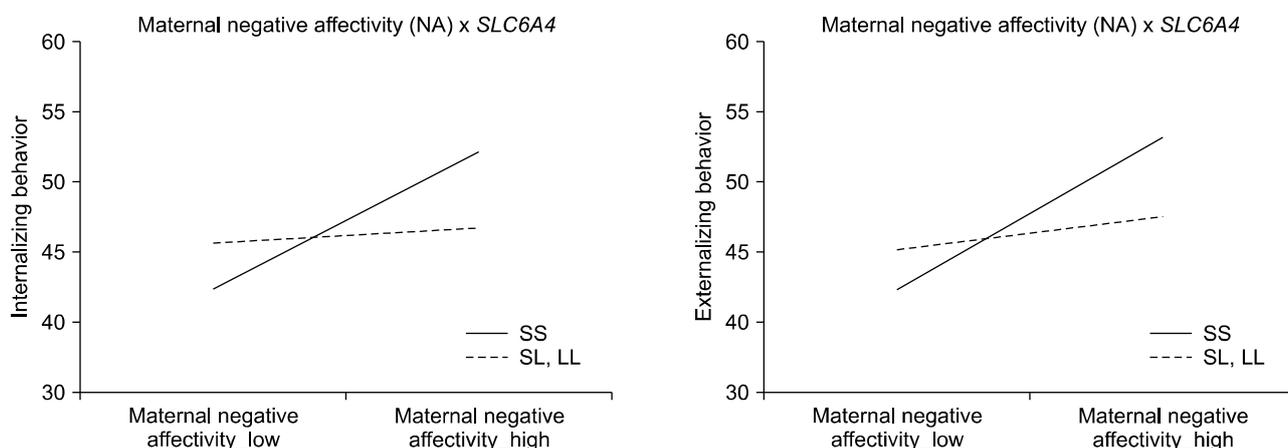


Fig. 1. Moderator effects of maternal negative affectivity on the relationship between *SLC6A4* polymorphisms and child internalizing/externalizing behaviors.

DISCUSSION

We investigated the interplay of MNA on the association between genetic polymorphism and behavior problems in children. Few studies have examined how genes and maternal affectivity interact and affect the behavior problems of children.^{49,52,53} The present study is one of the first to show that MNA mediates the effects of serotonin transporter gene polymorphisms on Korean preschooler's behavior problems.

Children gradually learn how to regulate their emotion to meet social demands.³⁰ Problems in regulating emotion in early life are associated with both internalizing and externalizing behavior problem in school age.^{54,55} External support and good modeling from caregivers are

important in the development of emotional regulation.³⁰ Maternal negative affectivity, by failing to model self-regulation, could negatively affect a child's behavior problems.⁵⁶ Parenting with positive emotions, by encouraging positive emotions of children and by strengthening active attempts to control emotions, is related to emotional regulation of the child.^{37,38} Parenting with negative emotions, including unsupportive and punitive responses to the behavior of the child is also associated with negative emotional consequences and increased risk of internalizing and externalizing problems.^{34,57,58}

Serotonin is involved in controlling depression, anxiety, and aggressive behavior,^{8,22,39,59} and these psychopathologies are related to internalizing and externalizing problems in children.^{5,11} A short variant of 5HTTLPR is as-

sociated with increasing susceptibility to mood disorders and substance abuse, especially in the context of stressful life events.^{22,24)} Meta-analysis revealed that individuals with the *s/s* genotype experience a marked increase in cortisol response to acute stress,^{60,61)} and heightened amygdala activity when faced with fearful stimuli.⁶²⁾ Enhanced physiological responses and fear conditioning among carriers of one or two copies of the *s* allele could increase the risk of developing internalizing and externalizing behaviors.^{54,63-65)} In addition, frequent use of active coping strategies, including distraction or support seeking, are related to fewer internalizing and externalizing behaviors, while avoidance coping strategies are associated with more behavior problems.⁶⁶⁻⁶⁸⁾ Recent studies have shown that individuals with the *s/s* genotype have fewer effective coping strategies when presented with stressful events compared to those long allele carriers, and this pattern is conspicuous in the context of a poor relationship with caregivers.²⁹⁾ In our study, MNA can be interpreted as a stressful environment or cold relationship. Therefore, children with high MNA have difficulty developing active coping strategies, such as support seeking, and have fewer opportunities to learn emotional regulation from the parenting modeling. The interaction between maternal negativity and a child's behavior problems was prominent among *s/s* allele carriers (Fig. 1). Usually, the *s* allele is regarded as the "susceptible allele" in previous studies. That is, for *s/s* allele carriers, high MNA is associated with more internalizing and externalizing behaviors than either *s/l* or *l/l* genotype. However, fewer behavioral problems were associated with the *s/s* genotype than either *s/l* or *l/l* genotype when MNA was low. These results are consistent with previous studies, wherein youths homozygous for the *s* allele had more internalizing behavior problems, particularly in the context of hostile and cold relationships with their caregivers.²⁹⁾ In the present study, while there was no significant difference in externalizing and internalizing behaviors according to 5HTTPR genotypes, we found that MNA had a significant effect on the interaction between genotype and behavioral problems. Interestingly, the higher the MNA, the higher the externalizing and internalizing behaviors in the *s/s* group. Although the clinical manifestations of externalizing and internalizing behaviors seem to be different, there is significant and substantial co-morbidity between two behavior domains. For preschoolers, in partic-

ular, whose developmental stage is still immature, psychopathology is less differentiated, and children may present both behavior problems.⁶⁹⁾ In addition, the lack of prefrontal control over limbic system activity, manifested as emotion dysregulation, is also presented as both internalizing and externalizing behavior problems.⁷⁰⁾ Morgan *et al.*⁷¹⁾ reported that early intervention in children with one or two copies of the *s* allele was effective in improving maternal-infant attachment, whereas intervention in children with two copies of the *l* allele had no benefit from an early intervention. Drury *et al.*⁷²⁾ also showed that children with the *s/s* genotype raised in an adverse environment had disturbed attachment behaviors at 54 months, including indiscriminate behavior. However, they showed the fewest signs of indiscriminate behavior when they were placed in high quality of foster care. Surprisingly, children with the *l/l* genotype showed no effect with intervention on the level of indiscriminate behaviors. Although, this suggests that the relationship between *s/s* genotype and SLC6A4 polymorphism can be mediated.

When compared to children with no genetic risk, children who have genetic risk for psychopathology may benefit from positive parenting during early childhood to reduce parental negative affectivity.⁷³⁾ In our study, we found MNA influenced behavior problems of the child through interaction with the *s/s* 5-HTTLPR polymorphism. Individual with the *s/s* genotype had an enhanced outcome with low negative maternal affectivity, yet elevated vulnerability with high maternal negativity. Researchers are studying how environmental factors interact with genetic factors to affect childhood development, and genetic factors are usually separately considered. However, in this study, we found that the moderating effects of MNA on behavior problems of the child differ depending on 5-HTTLPR polymorphism. Negative environments (high MNA) may trigger the phenotypic expression of the *s/s* genotype, while a positive environment (low MNA) allows plasticity.^{74,75)} Therefore, during the preschool period, which is a critical time in emotional development, early intervention for individuals who are homozygous for the *s* allele is important for later school adjustment and overall emotional competence.

There are several limitations in this study. First, our sample size was small, which limits statistical power. Moderating effects of MNA on predicting both internaliz-

ing and externalizing behavior problem were lower than 0.2. Therefore, we need to be cautious when interpreting the results and more research is needed to reproduce our findings using a larger sample size. Second, genetic variation was measured within a single gene, *SLC6A4*, and there is the possibility that many other genetic influences remained unmeasured. Also, the distribution of the 5-HTTLPR genotype varies widely by race and ethnicity.^{17,18,42,50} In this study, the majority of participants carried the *s* variant of *SLC6A4* (*s/s*=62.2%, *s/l*=30.8%), and this limits generalization to other races, which may have fewer *s* alleles in the population. However, the association between the 5-HTTLPR genotype and behavior problems or the occurrence of psychopathology was reported to be similar in the East Asian populations with a higher *s/s* and lower *l/l* genotype, compared to Western populations.⁷⁶ Genotype frequencies in this study were consistent with previous reports on *SLC6A4* in Koreans⁷⁷ and other East Asians.^{78,79} Third, using a subjective questionnaire to measure children's behavior patterns and maternal affectivity may be a limitation of our study. High MNA may not fully represent the real emotional influence towards children. Therefore, in further studies, it would be better to observe the children's behavior, the mother's emotion, and the interaction between them using objective methods. Fourth, there are other factors that might affect the behavior problem of children. In future studies, researchers need to evaluate how other environmental factors, such as caregivers other than mothers, family conflicts or economic status influence the interaction between genotype and behavior problems in children. In addition, we did not conduct a structured interview, such as the Kiddie Schedule for Affective Disorders and Schizophrenia (K-SADS) to identify children with other comorbid disorders, such as the attention deficit hyperactivity disorder. Thereby, there is a small possibility that undiagnosed children with psychopathology may be included. Lastly most of the children participating in this study did not have clinically significant externalizing and internalizing scores (T score, <65). Therefore, our results may not apply to a psychiatric population in clinical practice.

In conclusion, MNA was found to moderate the relationship between preschooler's internalizing and externalizing behaviors and *SLC6A4* polymorphism. Children with the *s/s* genotype may benefit from pre-

ventive or treatment intervention that modulates MNA. Our findings highlighted the role of maternal affectivity in children who are genetically at high risk for developing behavior problems.

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