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**Effect of low concentration of bone
morphogenetic protein-2 loaded onto
biphasic calcium phosphate in a
rabbit sinus**

Jae-Shin Kim

Department of Dentistry

The Graduate School, Yonsei University

**Effect of low concentration of bone
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biphasic calcium phosphate in a
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Directed by Professor Kyoo-Sung Cho

A Doctoral Dissertation
submitted to the Department of Dentistry
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Ph.D. in Dental Science

Jae-Shin Kim

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This certifies that the Doctoral Dissertation
of Jae-Shin Kim is approved.

Thesis Supervisor: Kyoo-Sung Cho

Ui-Won Jung

Jung-Seok Lee

Hyung Jun Kim

Jung-Chul Park

The Graduate School
Yonsei University
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감사의 글

이 논문이 완성될 수 있도록 부족한 저를 오랫동안 지도해주시고, 격려해 주신 조규성 교수님께 깊은 감사를 드립니다. 또한 바쁘신 중에도 귀중한 시간을 내주시어 부족한 논문을 살펴주시고 조언해 주신 정의원 교수님, 이종석 교수님, 김형준 교수님, 박정철 교수님께도 감사드립니다.

아울러 제가 치주학에 입문할 수 있도록 도와주시고, 많은 가르침을 주신 김종관 교수님, 채중규 교수님, 최성호 교수님, 김창성 교수님, 차재국 교수님께도 감사의 마음을 전합니다.

연구 기간 동안 많은 도움을 주신 치주과 동기들 및 선, 후배 의국원들과 연구원들께도 감사 드립니다.

마지막으로 언제나 저를 믿어주시고 큰 사랑을 베풀어 주시는 아버님, 어머님과 장인, 장모님께 깊은 감사를 드리며, 항상 곁에서 큰 힘이 되어주는 사랑하는 나의 아내 남경주에게 온 마음을 다해 감사의 말을 전합니다.

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Abstract

**Effect of low concentration of bone morphogenetic protein-2
loaded onto biphasic calcium phosphate in a rabbit sinus**

Jae Shin Kim, D.D.S.

Department of Dentistry

The Graduate School, Yonsei University

(Directed by Professor Kyoo-Sung Cho, D.D.S., M.S.D., PhD.)

Purpose: The purpose of the present study was to evaluate the effectiveness of a minimal concentration of bone morphogenetic protein-2 (BMP-2) in terms of quantitative and qualitative analyses of newly formed bone in a rabbit maxillary sinus model.

Methods: In 7 rabbits, sinus windows were prepared bilaterally. Biphasic calcium phosphate (BCP) loaded with 0.05 mg/ml BMP-2 was grafted into one sinus (the BMP group) and saline-soaked BCP was placed into the other (the control group) in each animal. The animals were allowed an 8-week healing period before being sacrificed. Specimens including the augmented area and surrounding tissues were then removed and evaluated both radiographically and histologically.

Results: There was a difference in the mineralization of new bone between the groups. In the BMP group, the greater part of the new bone consisted of mature lamellar bone with an evident trabecular pattern, whereas the control group showed mostly woven bone, consisting only partially of lamellar bone. Histometrically, the area of new bone was significantly greater ($4.55 \pm 1.35 \text{ mm}^2$ vs $2.99 \pm 0.86 \text{ mm}^2$) in the BMP group than in the control group ($P < 0.05$); however, the total augmentation volumes were not significantly different between the groups.

Conclusions: Within the limitations of this study, it can be suggested that a minimal concentration of BMP-2 (0.05 mg/ml) had an osteoinductive effect with accelerated mineralization in a rabbit sinus model using a BCP carrier.

KEYWORDS: Sinus augmentation, Bone morphogenetic protein, Biphasic calcium phosphate, Mineralization.

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Jae Shin Kim, D.D.S.

Department of Dentistry

The Graduate School, Yonsei University

(Directed by Professor Kyoo-Sung Cho, D.D.S., M.S.D., Ph.D.)

I. Introduction

There are many limiting conditions associated with implant surgery on the upper posterior area, such as maxillary sinus pneumatization and insufficient bone volume. These limitations have been addressed by the development and application of a variety of surgical techniques, including sinus augmentation. In the service of more successful clinical outcomes for these techniques, studies on growth factors have been actively carried out over the past few decades and have proven to be essential. One of these growth factors, bone morphogenetic protein (BMP), is known to play an important role in osteoblast differentiation, and its ability to accelerate new bone

formation has been demonstrated in many *in vivo* studies.

Despite the promising effects of BMP in sinus augmentation, adverse effects such as severe swelling and ectopic or delayed bone formation have also been reported. Such adverse effects have been reported mainly to be caused by an overdose of BMP. Therefore, the current trend of research on BMP has focused on finding the appropriate dose. In particular, the sinus augmentation procedure requires a considerable amount of bone graft material compared to other dental procedures, and so there is a risk of applying more BMP than necessary, which could result in severe complications. In consideration of such problems, it is important to carry out studies to find the minimum dose of BMP for successful sinus augmentation. As a minimal dose, a 0.1 mg/ml concentration of BMP loaded onto biphasic calcium phosphate (BCP) has been used in a previous rabbit sinus augmentation study and this has proven effective for total augmentation and new bone volume (Kim et al., 2015). The study also suggested that experiments using a dose lower than 0.1 mg/ml were necessary for determining the ideal concentration of BMP. Therefore, BMP at a concentration of 0.05 mg/ml, which is half of 0.1 mg/ml, was used for this study to determine the proper dose in a rabbit sinus model.

BCP is comprised of hydroxylapatite (HA) and β -tricalcium phosphate (TCP) at various ratios. It has been extensively investigated as a bone substitute for its space-maintaining capacity, similarity to human bone, and biocompatibility, (LeGeros et al., 2003) especially for sinus augmentation procedures (Lim et al., 2015). Since HA is less soluble than β -TCP, HA can act as a scaffold for maintaining grafted volume in order to prevent sinus pneumatization after augmentation, thus leading to enhanced

osteoconductivity. On the other hand, the solubility of TCP allows BCP to be rapidly replaced by newly formed bone (NB) via particle biodegradation. The bioactivity of BCP—including its osteoconductivity and resorption rate—may be controlled by altering the HA/ β -TCP ratio. Recently, a study has demonstrated that any BCP, regardless of the ratio between HA and TCP, is useful as a sinus graft material (Lim et al., 2015). However, a higher proportion of TCP in BCP provided higher osteoconductivity and biocompatibility at the histological level and clinically successful outcomes for sinus augmentation procedures (Mangano et al., 2015). Thus, in the present study, BCP with an HA/ β -TCP ratio of 20/80 was chosen as the graft material for sinus augmentation.

The purpose of the present study was to determine the efficacy of a low dose of BMP-2 with a BCP carrier in a rabbit sinus model.

II. Materials and Methods

1. Animals

Seven male New Zealand white rabbits weighing 2.8-3.2 kg were used for this study. They were maintained in separate cages and fed a controlled diet under standard laboratory conditions. The sample size calculation was performed with G* Power software v. 3.1 (University of Dusseldorf, Dusseldorf, Germany) at an alpha level of 0.05 and a statistical power of 95%. The histometric difference in new bone area between 2 groups was set to 2.18 mm² and the standard deviation of the outcome was assumed to be the same for both groups in accordance with the previous study (Choi et al., 2013). The required sample size per group was 6; therefore, 7 rabbits were prepared in consideration of possible loss during histologic slide preparation. The animal selection, preparation, management, and surgical protocol were approved by the Institutional Animal Care and Use Committee of Yonsei Medical Center, Seoul, South Korea.

2. Preparation of BMP-2 and carriers

The BCP (MBCP plus; Biomatlante, Nantes, France) used as the carrier for BMP-2 (Korea Bone Bank, Seoul, Korea) in this study had an HA/ β -TCP ratio of 20/80. The BMP-2 was reconstituted and diluted in a buffer to a concentration of 0.05 mg/ml. In the BMP-2-treated group, 0.15 g of BCP was loaded with 0.1 ml of BMP-2 for

1 hour to obtain an implant volume of 5- μ g BMP-2. In the control group the BMP-2 was replaced by 0.15 g of BCP soaked in saline.

3. Surgical procedure and study design

All of the rabbits were anesthetized prior to the surgery by intramuscular injection with a mixture of ketamine hydrochloride (Ketalar, Yuhan, Seoul, South Korea) and xylaxine (Rompun, Bayer Korea, Seoul, South Korea). Local anesthesia was achieved by subcutaneous injection of 0.5 ml of 2% lidocaine (lidocaine HCl, Huons, Seoul, South Korea). After preoperative shaving and local disinfection with iodine, a straight midline incision was made on the dorsal side of the nasal bone and a full-thickness flap was elevated laterally. Standardized circular, bilateral cranial windows were prepared with the aid of a circular reamer (5.5-mm-diameter C-reamer, Neobiotech, Seoul, South Korea) under cooling with saline. The windows were placed 10 mm laterally to the midline and 20 mm anteriorly to the nasofrontal suture line (Asai et al., 2002). The circular bony discs made by the reamer were removed and the sinus membrane was carefully elevated to create a space for grafting of the materials (Fig. 1).

The graft materials were placed into the sinus windows, with BMP-2-loaded BCP into one (the BMP group) and saline-soaked BCP into the other (the control group), where the side was randomly assigned for each animal. When the implantation of graft materials was complete, the skin and periosteal flap were sutured layer by layer with 4-0 glyconate absorbable monofilament (Monosyn, B-Braun Aesculap,

Allentown, PA, USA). The rabbits were sacrificed 8 weeks after the surgery.

4. Radiographic evaluations: micro-computed tomography

The specimens including the augmented area and surrounding tissues were removed and rinsed with sterile saline. All of the specimens were fixed in 10% formalin for 10 days. Radiographic images were then taken with the aid of a micro-computed tomography (micro-CT) scanner (Skyscan 1072, Skyscan, Aartselaar, Belgium) at a resolution of 35 μm (100 kV and 100 μA). The scanned images were reconstructed and the total augmented volumes of both sinuses were measured using OnDemand3D software (CyberMed, Seoul, South Korea).

5. Histologic processing and histometric evaluations

Following the micro-CT scanning, the specimens were decalcified in 5% formic acid for 14 days and then embedded in paraffin. The embedded blocks were sectioned at a thickness of 5 μm along the most augmented area, mounted onto glass slides, and then stained with hematoxylin/eosin and Masson trichrome. All of the slides were examined with the aid of a light microscope (BX50, Olympus, Tokyo, Japan), and the fields were captured digitally.

Histometric measurements were acquired with an automated image analysis program (Image Pro Plus, Media Cybernetics, Silver Spring, MD, USA). The measured parameters included total augmented area, area of NB, and that of residual particles (RP). The fibrovascular tissues (FV) were measured in numerical values,

which is the total augmented area without NB and RP. The proportion of NB, RP, and FV were calculated and compared. As a secondary variable, the length of each RP was measured in all specimens to evaluate the resorption pattern of RP between the groups. The length of RP was defined as the longest length of particle that was automatically measured by using the software.

6. Statistical analysis

The software program R v. 3.1.1 (University of Auckland, Auckland, New Zealand) was used for the statistical analysis. A nonparametric mixed model (Brunner and Langer, 2000) was used to evaluate the differences between the 2 groups with respect to total augmented volume, total augmented area, area of NB, area of RP, and the proportion of NB, RP, and FV. A linear mixed model was used to compare the length of RP. The statistical significance level was set at 5%.

III. Results

1. Clinical findings

During the surgery, 4 small (<1 mm) sinus membrane perforations (2 in the control group, 2 in the BMP group) occurred. Because of the small size of the perforations, additional surgical procedures for repairing the sinus membrane were not attempted. Nevertheless, the overall healing of all animals was uneventful during the 8-week recovery period. No signs of inflammation nor other pathologic symptoms were detected.

2. Micro-computed tomography evaluations

A dome-shaped augmented mass with a radiopaque matrix including RP could be observed in both groups. There was no radiolucent void within the augmented sinus cavity in both groups. Although the total augmented volume appeared to be greater in the BMP group ($149.50 \pm 48.6 \text{ mm}^3$) than in the control group ($139.69 \pm 69.4 \text{ mm}^3$) at 8 weeks after the surgery, the difference was not statistically significant (Fig. 2).

3. Histologic and histometric evaluations

Histologically, the augmented sinus cavities had a convex form and fully filled with NB, RP, and FV. There were no signs of inflammation in both groups of sinus cavities. The sinus window regions were almost regenerated with NB in both groups. Despite

the occurrence of small-sized sinus membrane perforations in 4 animals during the surgical procedure, the linings of the Schneiderian membranes were intact in all animals. The new bone formation was observed in both the central and peripheral areas of the augmented sinuses in both the BMP and control sites. Furthermore, the NB was closely attached to the residual particles, with no intervening spaces. The RP had an irregular surface, possibly due to the resorption of particles. The patterns of FV were different between the 2 groups; dense connective tissues were observed in the control group, whereas loose connective tissues were mainly observed in the BMP group (Fig. 3).

Interestingly, there was a difference in the mineralization pattern of NB between the BMP and control group. In the BMP group, the greater part of NB was mature lamellar bone with evident trabecular pattern and Haversian system. On the other hand, NB of the control group showed mostly woven bone that was partially lamellar bone (Fig. 4).

Table 1 shows the results of the histometric analysis. In the BMP group, the total augmented area appeared to be greater than in the control group, although the difference was not statistically significant. The area of NB in the BMP group ($4.55 \pm 1.35 \text{ mm}^2$) was significantly greater than that in the control group ($2.99 \pm 0.86 \text{ mm}^2$; $P < 0.05$), while there was no statistical difference in the area of RP. Likewise, there was no difference in the length of RP between the groups.

Fig. 5 represents the proportion of NB, RP, and FV between the 2 groups. At 8 weeks after the grafting, notwithstanding the greater proportion of NB and FV of the BMP group, there were no significant differences. However, the proportion of RP was

significantly less in the BMP group ($29.40\% \pm 4.61\%$ vs $36.64\% \pm 4.62\%$; $P < 0.05$).

IV. Discussion

The purpose of this study was to evaluate the effectiveness of a minimal dose of BMP-2 with BCP composed of a high ratio of TCP carrier in a rabbit sinus augmentation model over 8 weeks. Although the concentration of BMP-2 used in the present study was only half of the lowest concentration of previous studies (0.05 mg/ml), enhanced osteoinductivity and accelerated bone maturation could be observed compared to the control group. It might be suggested that the use of a minimal dose of BMP-2 with BCP improves the quantity of newly formed bone and shortens the healing time following the sinus augmentation procedure.

As a principle finding of this study, more mineralization of the newly formed bone could be observed in the BMP group than in the control group. This result is in concordance with a previous *in vivo* study that investigated bone formation with BMP-2 in the rabbit maxillary sinus during the early healing period (Kim et al., 2015). Furthermore, a recent clinical trial showed a significantly higher amount of mineralized bone formation in the higher expression of the BMP-4 group after maxillary sinus augmentation (Torrecillas-Martinez et al., 2015), which indicates that faster and greater bone formation would be induced by the BMPs.

There have been many other studies to determine the appropriate concentration of BMP to enhance bone formation in sinus augmentation and achieve minimal side effects at the same time. In previous studies, the new bone formation was significantly greater in the control group than in the test group, with a relatively high

concentration of 1.5 mg/ml of BMP, using BCP carrier, regardless of the loading methods, over 2 weeks (Choi et al., 2013)(Hong et al., 2015). The researchers suggested that the extremely high concentration of BMP would inhibit bone regeneration and increase tissue swelling and inflammatory response in rabbits because of excessive initial release of the growth factor. These studies concluded that a 1.5 mg/ml concentration of BMP would be excessive in a rabbit defect model, though this concentration was approved for human use (Boden et al., 2002). Therefore, studies with a lower dose in the range 0.1-0.15 mg/ml of BMP-2 have been conducted in rabbit sinuses with various healing periods. In another previous study with 0.1 mg/ml of BMP-2, new bone formation of the BMP group was statistically greater than that of the control group (Yon et al., 2015). Kim et al. (Kim et al., 2015) reported similar data with the same concentration of BMP-2 in a previous study and the area of newly formed bone of the BMP group was significantly greater than that of the control group at weeks 2 and 4 of the healing period. On the other hand, there was a report that 0.15 mg/ml of BMP-2-coated BCP could not exert a significant effect despite the volumetric increase in the early phase (Kim et al., 2014). This controversy might be due to the release kinetics of BMP according to the loading methods of BMP, such as soaking or coating. This should be further investigated in future studies.

It has been suggested that the prerequisites of optimal BMP-2 carriers are that they should be osteoconductive, noncollagenous, and biodegradable (Kenley et al., 1993). BCP particles satisfy these characteristics, and are widely accepted as an appropriate scaffold for BMP-2 carriage (Haidar et al., 2009). Synthetic substances such as BCP

affect cellular and vascular ingrowth (Jung et al., 2008), and osteogenic cell attachment and proliferation (Haidar et al., 2009). Kim et al. (Kim et al., 2012) used block-type BCP as a carrier for BMP-2 in rabbit calvarium vertical augmentation models, and Jang et al. (Jang et al., 2012) used BCP as a carrier for various concentrations of BMP-2 in rats. These previous reports provide evidence for the suitability of BCP as a carrier for BMP-2.

Lim et al. recently reported that BCP with low and high proportions of TCP had similar effects on bone regeneration and space-maintaining capabilities in a rabbit sinus for up to 8 weeks (Lim et al., 2016). Likewise, in the present study, the BCP was well maintained in its structure even at the higher ratio of TCP (80%). In a previous *in vivo* study, the resorption of BCP with increased inflammatory reaction has been suggested as a drawback of using high concentrations of BMP (Hong et al., 2015). Another clinical study reported that the surface of the BCP exhibited irregular morphology in the BMP group, possibly as a result of superficial resorption of the BCP (Kim et al., 2015). However, our finding bypassed this limitation by using a minimal concentration of BMP and producing similar area and length of RP between the groups.

There are 2 different methods (lyophilization or soaking) to load BMP onto carriers. The soaking method, which was used in this study, is the FDA-approved loading method and is relatively easy and saves time considerably. However, there are concerns that this may result in uncontrolled release and uneven distribution of the BMP. A coating method using lyophilization has been shown to have a sustained release profile in *in vitro* models (Yon et al., 2015), but the complicated process is a

shortcoming of this method. There are no studies comparing the effect of BMP according to different loading methods to the best of our knowledge. Further study is needed to evaluate the influence of these methods.

Interestingly, the density of fibrovascular tissue was different between the groups in histologic analysis. Denser connective tissue was observed in the control group than in the BMP group. Previous *in vitro* study has demonstrated that the pronounced adipose tissue was formed by high concentrations of BMP-2 (Park et al., 2012). However, in the present study, a large amount of new bone was obtained with limited adipose tissue formation at the minimal concentrations of the BMP group. Further study seems to be needed to investigate the effect of BMP-2 on the composition of soft tissue as well as its influence on the clinical success of implantation.

In the present study, there were some limitations, especially during the healing period. It is known that bone metabolism is 3-4 times faster in rabbits than in humans. Thus, a healing period of 8 weeks in rabbits could be considered equivalent to 6-8 months, or the late healing phase, in humans (Choi et al., 2012), which is enough time to regenerate the new bone. Therefore, we could only observe the end stage of bone formation after the 8-week healing period. Various periods of study are necessary to confirm the bone formation process and tissue maturation effect of BMP-2.

Moreover, in the present study, the hypothetical concentration of 0.05 mg/ml was selected intuitively below the threshold concentration of 0.1 mg/ml, which had been verified in a previous study. However it should be considered that a study design that utilizes a gradient of BMP-2 concentration would be more appropriate for finding the

minimal threshold concentration. Thus in continuation of the current focus of finding the minimal concentration of BMP-2 for sinus augmentation, the results of our experiment indicate the necessity of future studies using gradients of concentrations of BMP-2 lower than 0.05 mg/ml. Additional immunohistochemical analysis using antibodies such as osteocalcin would be helpful to compare the remaining osteogenic potential and mineralization of the newly formed bone during the 8-week healing period. Full clarification of this may be necessary in further studies.

In conclusion, it can be suggested that BCP soaked with a 0.05 mg/ml dose of BMP-2 significantly increases new bone formation and accelerates bone maturation.

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Figure Legends

Figure 1. Photographs showing the surgical procedure. (A) Bilateral sinus windows were cut using a 5.5-mm circular reamer and (B) graft materials were placed into those windows: the test material (BMP-2-loaded BCP) on one side and the control material (saline-soaked BCP) on the other.

Figure 2. Representative micro-CT image. Dome-shaped augmented sinuses were observed. The red portion is the BMP-2-loaded graft and the blue portion is the saline-soaked BCP (control) graft.

Figure 3. Histological images (NB, new bone; RP, residual particles; FV, fibrovascular tissue; SM, the Schneiderian membrane, Masson trichrome staining). (A, B) Low magnification images of the BMP and control group, respectively (scale bar=1 mm), (C, D) high magnification images of the central portion of the augmented area, the BMP and control group, respectively (scale bar=100 μ m), (E, F) high magnification images of the sinus membrane area, the BMP and control group, respectively (scale bar=100 μ m).

Figure 4. Highly magnified histologic images of (A) the BMP group and (B) the control group. The NB of the BMP group showed more maturation than the NB of the control group. (LB, lamellar bone; WB, woven bone; white asterisks, the Harversian canals, Masson trichrome stains, scale bar=100 μ m)

Figure 5. The proportion of NB, RP, and FV. The proportion of RP in the BMP group was significantly less than the control group ($P<0.05$).

Figures

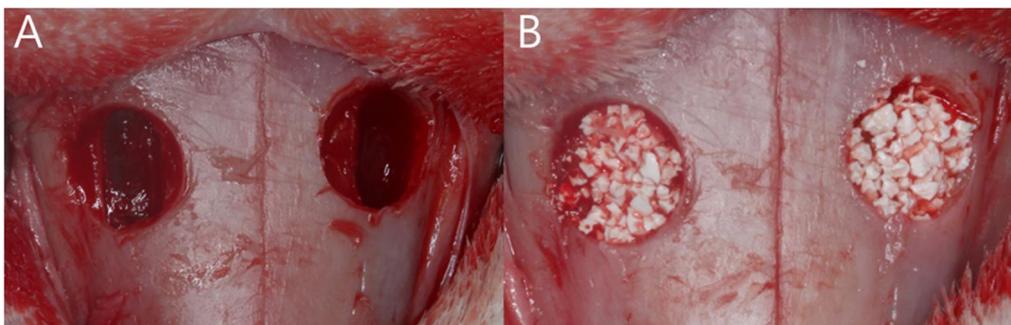


Figure 1.

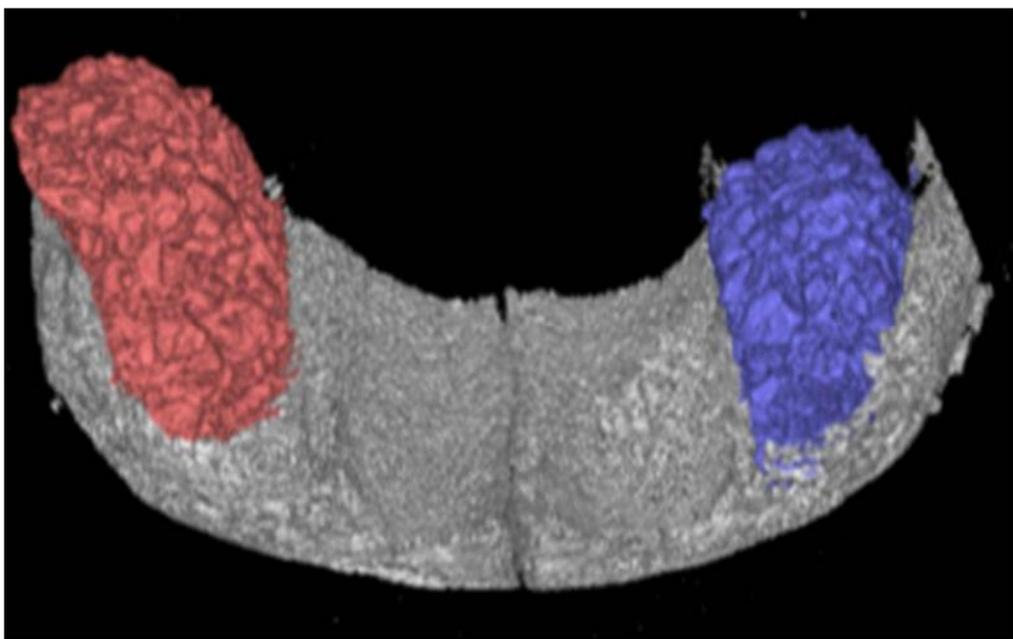


Figure 2.

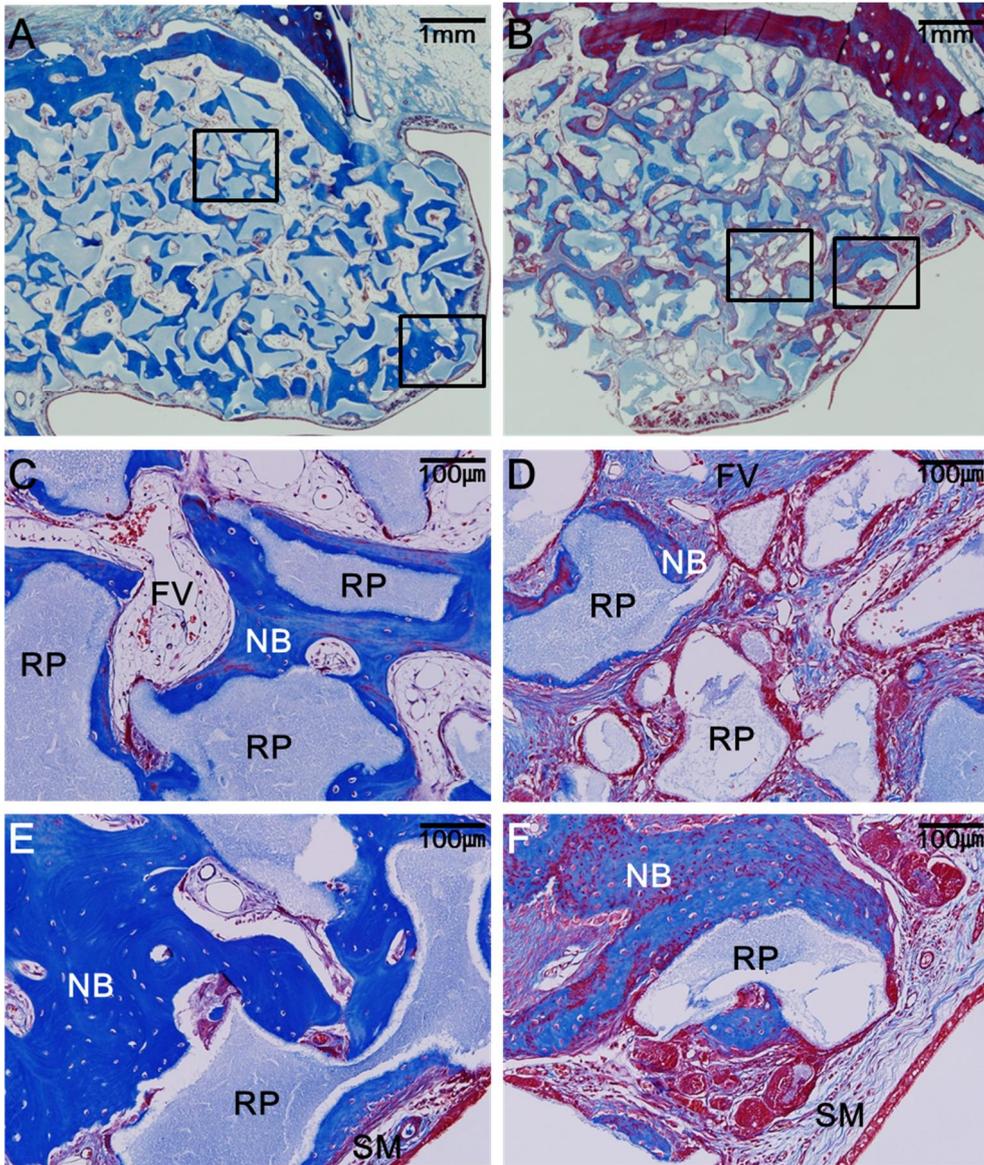


Figure 3.

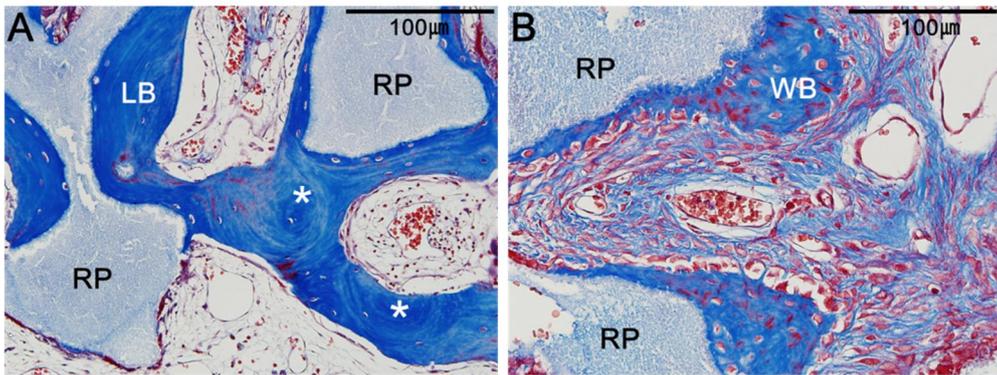


Figure 4.

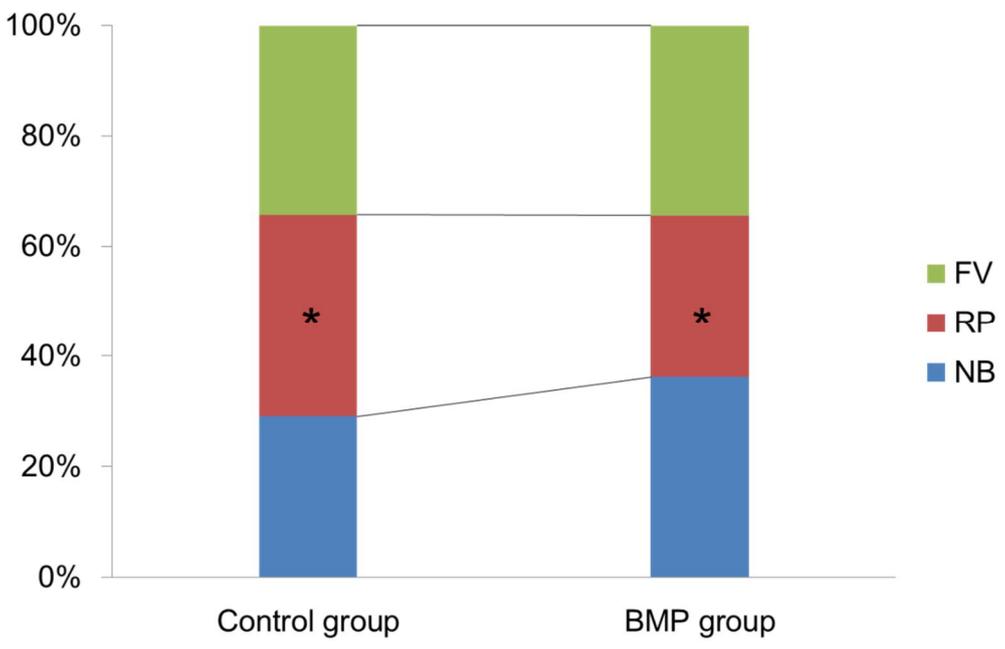


Figure 5.

Tables

Table 1. Histometric analysis (mean \pm SD).

Group	TAA (mm ²)	Area of NB (mm ²)	Area of RP (mm ²)	Length of RP (mm)
Control group (n=7)	10.38 \pm 2.36	2.99 \pm 0.86 ^{*)}	3.75 \pm 0.69	0.47 \pm 0.23
BMP group (n=7)	13.26 \pm 5.33	4.55 \pm 1.35	3.83 \pm 1.58	0.45 \pm 0.22

TAA, total augmentation area; NB, newly formed bone; RP, residual particles; BMP, bone morphogenetic protein.

^{*)} Statistically significant difference between the two groups ($P < 0.05$)

국문요약

이형상칼슘인산염 전달체를 사용한 저농도의 제 2 형 골형성 유도 단백질의 효과

< 지도교수 조규성 >

연세대학교 대학원 치의학과

김 재 신

목적: 제 2 형 골형성 유도 단백질(BMP-2)은 상악동 거상술에서 새로운 골의 형성을 유도하기 위하여 사용되고 있으나, 과량으로 사용될 경우 심각한 부종이나 이소성 혹은 지연성 골형성이 나타날 수 있다. 선행연구에서 토끼 상악동에 적용하여 골유도 효과를 나타내는 BMP-2의 최소농도는 0.1mg/ml 이었으며, 최소한의 부작용을 나타내면서 골형성의 효과를 기대할 수 있는 더 낮은 농도에 대한 연구가 필요하다. 따라서 이 연구는 토끼 상악동에 이형상칼슘인산염 전달체(BCP)를 사용한 0.05mg/ml의 저농도에서 BMP-2의 효과를 확인하기 위함이다.

재료 및 방법: 7 마리의 토끼에서 양측 상악동 거상술을 시행하였다. 한쪽 상악동에는 0.05mg/ml 농도의 BMP-2를 첨가한 BCP (실험군)을,

다른쪽 상악동에는 생리식염수를 첨가한 BCP(대조군)를 이식하였다.
8 주간의 치유기간 후, 방사선학적 및 조직계측학적 분석을 시행하였다.

결과: 두 군 사이에서 신생골의 광물화에 있어서 차이가 보였다.
실험군에서 신생골의 대부분은 성숙된 층판골로 구성되어 있었으며,
반면에 대조군은 대부분 망상골로 이루어져 있었으며, 일부에서만
층판골이 관찰되었다. 방사학적 및 조직계측학적 분석에 따르면, 두 군
사이에서 증대된 상악동의 부피와 면적에는 차이가 없었으나,
실험군에서의 신생골의 면적은 대조군에 비하여 유의하게 증가된 결과를
보였다.

결론: 이상의 연구를 통하여 토끼 상악동에서 BCP 전달체를 이용한
0.05mg/ml 농도의 BMP-2 는 신생골의 형성과 골의 성숙도를 촉진시키는
효과를 가지고 있음을 확인할 수 있었다.

핵심되는 말: 상악동 거상술, 제 2 형 골형성 단백질, 이형상 칼슘인산염,
광물화