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Tumor mesenchymal stem-like cell as
a prognostic marker in primary
glioblastoma



Seon-Jin Yoon

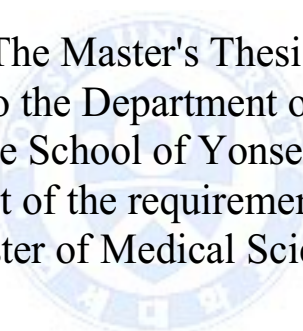
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Tumor mesenchymal stem-like cell as
a prognostic marker in primary
glioblastoma

Directed by Professor Jong Hee Chang

The Master's Thesis
submitted to the Department of Medicine,
the Graduate School of Yonsei University
in partial fulfillment of the requirements for the degree of
Master of Medical Science



Seon-Jin Yoon

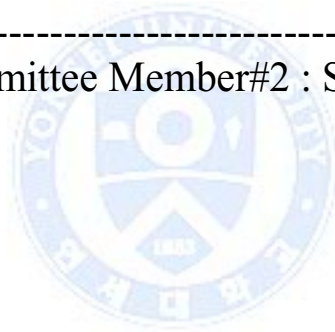
December 2015

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ABSTRACT

Tumor mesenchymal stem-like cell as a prognostic marker in primary glioblastoma

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The isolation from brain tumors of tumor mesenchymal stem-like cells (tMSLCs) suggests that these cells play a role in creating a microenvironment for tumor initiation and progression. The clinical characteristics of patients with primary glioblastoma (pGBM) positive for tMSLCs have not been determined. This study analyzed samples from 82 patients with pGBM who had undergone tumor removal, pathological diagnosis, and isolation of tMSLC from April 2009 to October 2014. Survival, extent of resection, molecular markers and tMSLC culture results were statistically evaluated. Median overall survival was 18.6 months, 15.0 months in tMSLC-positive and 29.5 months in tMSLC-negative patients ($P=0.014$). Multivariate cox regression model showed isolation of tMSLC ($OR=2.5$, $95\% CI=1.1\sim 5.6$, $P=0.021$) showed poor outcome while larger extent of resection ($OR=0.5$, $95\% CI=0.2\sim 0.8$, $P=0.011$) have association with better outcome. The presence of tMSLCs isolated from the specimen of pGBM is associated with the survival of patient.

Key words : isolation, primary glioblastoma, prognosis, stroma, tumor mesenchymal stem-like cell

Tumor mesenchymal stem-like cell as a prognostic marker in primary glioblastoma

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I. Introduction

Glioblastomas (GBMs) are generated by interactions between cancer stem cells (CSCs) and stroma.¹⁻³ The accumulation of molecular errors in CSCs initiates tumorigenesis, and these CSCs aggregate with stromal cells, which synergistically aggravate the disease.^{4,5} Mesenchymal stem-like cells (MSLCs) have been isolated from normal brain^{6,7} and Lang et.al.,⁸ presented the isolation of mesenchymal stem cells (MSCs) from glioma for the first time. In addition, tumor MSLCs (tMSLCs) have been isolated from several human brain tumors,^{2,9-11} suggesting that these cells play a role in creating a microenvironment conducive to brain tumor initiation and progression.^{2,12-14}

GBMs can be grouped into several subtypes, based on molecular markers, gene expression profiles,¹⁵⁻¹⁹ and chromosomal aberration.^{20,21}

Based on their genetic characteristics, GBMs can be divided into four types, with the mesenchymal type having the poorest prognosis.^{17,22,23} Several molecular markers have been shown to be related to survival benefits in patients with GBM, including O-6-methylguanine-DNA methyltransferase (MGMT) methylation and the isocitrate dehydrogenase (IDH) 1/2 mutation²⁴⁻²⁶ The prognostic value of heterozygosity (LOH) at chromosomes 1p and 19q, however, is unclear.^{27,28} Isolation of CSCs from primary GBM (pGBM) samples can also predict the natural course of pGBM.²⁹ Although tumor stromal cells were found to have a significant impact on patient survival^{30,31} the clinical significance of isolation of tMSLCs, a type of stromal cells, from pGBM stroma has not been determined.

We hypothesized that the presence of tMSLCs may aggravate the natural course of pGBM. This study therefore assessed whether the presence of tMSLCs in pGBM patients has an effect on patient survival and prognosis.

II. Materials and methods

Patient information. A total of 82 patients with pGBM who received standard therapy³² at two institutions (Severance Hospital, Yonsei University College of Medicine, and Seoul St. Mary's Hospital, The Catholic University of Korea College of Medicine) from April 2009 to October 2014 were included in this study (Table 1). We followed up the cohort from previous report¹¹ and added new additional patients which were not included in that report.¹¹ Approval for harvest and investigation was obtained from the institutional review boards of the two institutions, and all patients provided written informed consent, as specified in the Declaration of Helsinki. Specimens for isolation of tMSLCs were collected in the operating theater from patients undergoing surgery. All surgical specimens were evaluated by two neuropathologists, who diagnosed each patient according to World Health Organization (WHO) classifications.³³ Survival, extent of resection, molecular markers, and tMSLC culture results were analyzed statistically. Inclusion criteria were as follows: the first pathologic diagnosis of primary glioblastoma patients with the standard Stupp protocol. Radiation dose of 60 Gy fractionated by 30 times. Stupp protocol within 2 weeks after the pathological diagnosis. Patients who expired during the standard treatment. Excluded patients met following criteria: Recurred glioblastoma after previous surgery. Gliosarcoma. Non-standard dose for temozolomide administration. Hypofractionated radiotherapy. Poor hematologic profile that delayed normal course of standard treatment.

Initial treatment. All patients underwent surgical resection, aimed at gross total resection of the tumor, followed by concurrent chemotherapy and radiotherapy and adjuvant chemotherapy.³² Gross total tumor resection was defined as macroscopic removal of 100% or above of the tumor mass found on magnetic resonance (MR) T1 enhanced and T2 images.^{34,35} Patients not suitable for total resection underwent subtotal resection, defined as removal of macroscopic tumor volume $\geq 90\%$ but $< 100\%$, or partial resection, defined as removal of macroscopic tumor volume $< 90\%$. The extent of tumor resection was estimated and classified by the neurosurgeons and rechecked by postoperative review of MR imaging (MRI) scans. All patients received postoperative adjuvant radiotherapy with concomitant and adjuvant temozolomide (TMZ), as described previously.³² Each patient was offered standard therapy³² after pathologic confirmation. The only factors determining the continuation of standard treatment were patient tolerance (general condition, laboratory abnormalities such as hematologic problems), family agreement for the treatment, and patient survival. The correlation between molecular markers (MGMT methylation, p53, 1p LOH, 19q LOH, Ki-67 index, IDH1 mutation) and survival was analyzed statistically. MGMT methylation was assessed by polymerase chain reaction (PCR), and LOH at chromosomes 1p and 19q by fluorescent in situ hybridization (FISH).

Isolation of tMSCs. tMSCs with characteristics similar to MSCs have been isolated from brain tumor specimens.⁹⁻¹¹ Tumor specimens removed surgically were cultured as described⁹⁻¹¹ and mesenchymal features were assayed, including plastic adhesion, trilineage differentiation, the presence of typical MSC surface markers (Positive for CD 105, CD 90, CD 72 and negative for CD 45, CD 31, and NG2) and non-tumorigenic behavior.^{11,13,36}

Statistical Analysis. The primary outcome measure was median overall survival (OS), defined as the interval from date of surgery confirming the diagnosis of pGBM to the date of last follow-up visit or death.³⁷ Immunohistochemical analysis of p53 expression was defined as immunopositivity when the area with staining of $\geq 50\%$ of cancer cells were found. Ki 67 index was defined as immunopositive when the stained area exceeded 10 % or more. Among clinically deemed primary glioblastoma, 5 patients (6%) had mutation on IDH1. They were not excluded from our study for comprehensive evaluation. Because of small number of patients with IDH1 mutation and previous reports about different clinical characteristics,³⁸ they were not eligible for survival analysis and excluded from multivariate cox regression model. Survival was analyzed by the Kaplan-Meier method and compared by the log-rank test. Demographic characteristics were compared using Fisher's exact test or t-test. All statistical analyses were performed using SPSS 22 (IBM Korea, Seoul, Korea), with p values less than 0.05 were regarded as statistically significant.

III. Results

Patient characteristics. Of the 82 patients with pGBM, 48 (59%) were positive and 34 (41%) negative for tMSLCs (Table 1), with no group differences in the extent of resection ($P=0.471$), age ($P=0.683$), and expression of specific molecular markers (IDH1, $P=0.642$; MGMT promoter, $P=0.653$; p53, $P=0.522$ for positivity $P=0.492$ for the percentage of immunohistochemistry; EGFR, $P=0.161$; Ki 67, $P=0.739$ for the number of immunostaining $P=0.057$ for the percentage of immunohistochemistry). All of 48 tMSLCs isolated from specimens showed trilineage differentiation, expression of MSC surface markers, and adherence to a plastic plate without gliomagenesis.

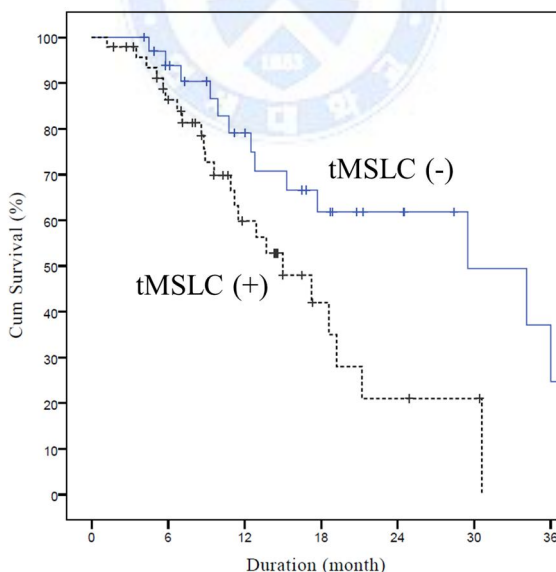


Figure 1. Kaplan-Meier estimates of overall survival in patients positive and negative for tMSLCs. ($p = 0.014$ by the log-rank test).

Patient survival. At a medium follow-up of 11.1 months, 38 patients died. The median survival duration of all pGBM patients was 18.6 months, 15.0 months in patients positive of tMSLCs and 29.5 months in patients negative for tMSLCs (P=0.014; Fig.1). The 6, 12, and 24 month actuarial rates were 86%, 60%, and 21% respectively, in patients positive for tMSLCs, and 93%, 79%, and 61%, respectively, in patients negative for tMSLCs. From univariate cox proportional regression, the only factor associated with poor survival was isolation of tMSLCs from the specimen (OR=2.4, 95% CI=1.2~5.1, P=0.017, Table 3). We included extent of resection, codeletion of 1p19q, MGMT methylation, and Ki 67 index to a multivariate cox model with a result that isolation of tMSLCs (OR=2.5, 95% CI=1.1~5.6, P=0.021) was associated with poorer outcome and larger extent of resection had association with better prognosis (OR=0.5, 95% CI=0.2~0.8, P=0.011) while codeletion of 1p19q, MGMT methylation, and Ki 67 index does not differentiate survival of patients.

Table 1. Demographic and clinical characteristics of patients with pGBM

| Characteristics | tMSLCs (+) (N=48) | tMSLCs (-) (N=34) | P value |
|---------------------------------------|----------------------|----------------------|---------|
| Age (years) | | | 0.683 |
| Median | 57.5 | 61 | |
| Range | 28~85 | 24~80 | |
| Age – no. (%) | | | 0.899 |
| <50 years – no. (%) | 9 (19) | 6 (18) | |
| ≥50 years – no. (%) | 39 (81) | 28 (82) | |
| Gender | | | 0.110 |
| Male – no. (%) | 33 (69) | 17 (50) | |
| Female – no. (%) | 15 (31) | 17 (50) | |
| Median survival (months) | 15.0 | 29.5 | 0.014 |
| 95% CI | 9.6~20.4 | 11.9~47.1 | |
| Pathological diagnosis | pGBM | pGBM | |
| Treatment | OP/Stupp | OP/Stupp | |
| Extent of operation (Patients) | | | 0.471 |
| Gross total resection | 29 (60) | 19 (56) | |
| Subtotal resection | 18 (38) | 12 (35) | |
| Partial resection | 1 (2) | 3 (9) | |

Abbreviations: S.D, Standard deviation; IDH, Isocitrate dehydrogenase; LOH, Loss of heterozygosity; OP/Stupp, Operation followed by Stupp's regimen;

Table 2. Molecular marker expression stratified by isolation of tMSLCs

| Characteristics | tMSLCs (+) (N=48) | tMSLCs (-) (N=34) | P value |
|--------------------------------|----------------------|----------------------|---------|
| Molecular markers | | | |
| IDH1 | | | 0.642 |
| Wild type – no. (%) | 39 (91) | 26 (96) | |
| Mutation – no. (%) | 4 (9) | 1 (4) | |
| Missing data – no. (%) | 5 (10) | 7 (21) | |
| 1p19q | | | 0.341 |
| No codeletion – no. (%) | 37 (80) | 30 (91) | |
| Median survival (months) | 15.0 | 29.5 | 0.011 |
| 95% CI | 8.9~21.1 | 9.1~50.0 | |
| Codeletion – no. (%) | 9 (20) | 3 (9) | |
| Median survival (months) | 12.9 | 9.3 | 0.886 |
| 95% CI | 0.8~25.0 | 1.6~17.0 | |
| Missing data – no. (%) | 2 (4) | 1 (3) | |
| MGMT promoter | | | 0.653 |
| Wild type – no. (%) | 27 (59) | 18 (53) | |
| Median survival (months) | 15.0 | NA | 0.122 |
| 95% CI | 8.8~21.2 | NA | |
| Methylated – no. (%) | 19 (41) | 16 (47) | |
| Median survival (months) | 18.6 | 34.1 | 0.164 |
| 95% CI | 6.2~31.0 | 13.6~54.6 | |
| Missing data – no. (%) | 2 (4) | 0 (0) | |
| p53 | | | 0.522 |
| IHC negative (< 50%) – no. (%) | 23 (77) | 13 (65) | |
| Median survival (months) | 13.7 | NA | 0.324 |
| 95% CI | 9.8~17.6 | NA | |
| IHC positive (≥ 50%) – no. (%) | 7 (23) | 7 (35) | |
| Median survival (months) | 18.6 | NA | 0.704 |
| 95% CI | 9.9~27.3 | NA | |
| IHC Mean ± S.D. | 28.4±27.0 | 33.9±28.8 | 0.492 |
| Range (%) | 1.5~85 | 2.5~90 | |
| Missing data – no. (%) | 18 (38) | 14 (41) | |
| EGFR | | | |
| IHC Mean ± S.D. | 1.9±1.1 | 2.3±0.9 | 0.161 |
| Range | 0~3 | 0~3 | |
| Missing data – no. (%) | 6 (13) | 7 (21) | |
| Ki 67 | | | 0.739 |
| IHC negative (<10%) – no. (%) | 5 (11) | 3 (9) | |
| IHC positive (≥10%) – no. (%) | 40 (89) | 31 (91) | |
| IHC % Mean ± S.D. | 22.6±14.1 | 30.1±20.2 | 0.057 |
| Range (%) | 2~60 | 3~80 | |
| Missing data – no. (%) | 3 (6) | 0 (0) | |

Abbreviations: S.D, Standard deviation; MGMT, O-6-methylguanine-DNA methyltransferase; EGFR, epidermal growth factor receptor; NA, Not available.

Table 3. Cox proportional hazard regression model of factors prognostic for overall survival in patients with pGBM

| Characteristics | Univariate | | | Multivariate | | |
|--|------------|----------|---------|--------------|----------|---------|
| | OR | 95% CI | P value | OR | 95% CI | P value |
| Isolation of tMSLCs | 2.4 | 1.2~5.1 | 0.017 | 2.5 | 1.1~5.6 | 0.021 |
| Age \geq 50 years | 1.3 | 0.5~3.1 | 0.587 | | | |
| Extent of resection | 0.7 | 0.4~1.1 | 0.151 | 0.5 | 0.2~0.8 | 0.011 |
| IDH1 mutation | 1.6 | 0.4~6.7 | 0.551 | | | |
| LOH 1p19q | 1.3 | 0.6~3.0 | 0.532 | 1.1 | 0.4~2.6 | 0.869 |
| MGMT methylation | 0.8 | 0.4~1.6 | 0.522 | 0.9 | 0.4~1.9 | 0.792 |
| p53 \geq 50% | 0.7 | 0.2~1.8 | 0.418 | | | |
| EGFR | 1.1 | 0.7~1.5 | 0.782 | | | |
| Ki 67 index \geq 10% | 3.3 | 0.5~24.7 | 0.235 | 5.4 | 0.7~42.0 | 0.107 |

Abbreviations: tMSLCs, tumor mesenchymal stem-like cells; IDH, isocitrate dehydrogenase; LOH, loss of heterozygosity; MGMT, O-6-methylguanine-DNA methyltransferase; EGFR, epidermal growth factor receptor; OR, odds ratio; CI, confidence interval

IV. Discussion

MSLCs are cells with MSC-like properties that have been isolated from brain^{6,7}, especially from brain tumors (tMSLCs).^{2,9-11,13,39} These cells possess MSC surface antigens, show trilineage differentiation, adhere to plastic plates, and are non-tumorigenic^{11,13,36}

This study found that OS differed significantly between pGBM patients positive and negative for tMSLCs, suggesting that tMSLCs may play a role in the progression of pGBM. Although the “seed and soil” concept of cancer biology was proposed more than 125 years ago,⁴⁰⁻⁴² GBM tumorspheres (TS) have been demonstrated recently,^{43,44} with tMSLCs being a factor in this concept⁹⁻¹² Although the exact function of tMSLCs in pGBM is not well understood, this study showed that tMSLCs were clinically important, in that they were prognostic of survival. The next step should be to assess the interactions between the GBM TS and tMSLCs.

Small number of patients (82 cases in total) recruited to this retrospective study is a limitation to interpret the results of this study. Although we compiled as many patients as possible, only subgroup that meets strict inclusion and exclusion criteria has limited number of patients. As our analysis does not show different prognosis in overall survival that was determined by MGMT methylation or LOH of 1p19q which was shown in more inclusive larger patient set, cautious interpretation of our result is required.

From original group of 82 patients, only five of 70 patients tested (7.1%) had IDH1 mutations, including one from 27 tMSLC-negative (3.7%) and four from 43 tMSLC-positive (9.3%) patients. While these 5 tumors were not clinically suspected as secondary glioblastomas, they were excluded from multivariate cox regression model as the entity was shown to have different prognosis.³⁸ Patients with mutated IDH1 were younger than those with wild-type IDH1 (45.3 vs 60.7 years).³⁸ Although LOH at 1p or 19q was found to correlate with longer OS in patients with oligodendroglioma⁴⁵ the association in patients with pGBM remains unclear. In our result, codeletion of 1p19q was not associated with prognosis (Univariate: OR=1.3, 95% CI=0.6~3.0, P=0.532, Multivariate: OR=1.1, 95% CI=0.4~2.6, P=0.869). Analysis of MGMT promotor showed that 42% of specimens were methylated (41% in tMSLCs(+), 47% in tMSLCs(-)), and that median OS tended to be longer in patients with than without methylation while lacking statistical significance (18.6 vs 15.0 months, P=0.650). IHC analysis of p53 found that 28% of specimens were stained for this marker. However, median OS was similar in p53 positive and negative patients (18.6 vs 13.7 months, P=0.415).

In this study, tMSLCs were isolated from 58.5% of patients with pGBM, compared with 46.2% in a previous study.¹¹ This increase, despite using the same isolation method, reemphasizes that isolation of a specific cell type from a tumor specimen requires a standardized method or may reflect a learning curve among laboratory staffs. Further research may identify specific

cell markers prognostic of OS.

Mesenchymal features may contribute to poor survival in patients with brain tumors. Higher grade gliomas¹¹ and meningiomas¹⁰ are more likely to be identified to have tMSLCs. Indeed, tMSLCs could not be isolated from WHO grade 1 gliomas and meningiomas, whereas 20%, 33%, and 32% (or 46.2% without secondary GBM and recurrent GBM) of WHO grades 2, 3, and 4 gliomas, respectively, were positive for tMSLCs.¹¹ It remains unclear, however, whether the presence of tMSLCs aggravates the natural history of a brain tumor or contributes to tumor progression.

V. Conclusions

Isolation of tMSLCs is associated with the survival of pGBM patients. tMSLCs may have a critical role in the survival of patients with pGBM. Other cell types may predict the clinical course of patients with pGBM. In addition, cell surface markers and molecular markers of pGBM may have prognostic value, and the interactions of tMSLCs with gCSCs may better reveal the role of these cell types in pGBM patients.

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ABSTRACT(IN KOREAN)

일차성 교모세포종 예후 인자인 종양 간엽줄기유사세포

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윤선진

뇌종양에서 간엽줄기유사세포가 분리된다는 것은 종양의 시작과 진행에 영향을 주는 미세환경 조성에 이 세포의 영향이 있음을 시사한다. 그러나 일차성 교모세포종 환자에서 간엽줄기유사세포가 분리된 군의 임상적 특성은 지금까지 보고되지 않았다. 이 논문에서는 2009년 4월부터 2014년 10월까지의 82명 환자에서 얻어진 교모세포종 표본을 분석하였다. 생존, 재발, 종양제거 정도 그리고 분자생물학적 표지자 등이 통계적으로 분석되었다. 중위 생존기간은 18.6개월이었고 간엽줄기유사세포 분리군에서는 생존기간이 15.0개월, 비분리군은 29.5개월이었다 ($p=0.014$). 다중분석을 통해 본 예후인자는 종양 간엽줄기유사세포 분리 ($OR=2.5$, 95% $CI=1.1\sim 5.6$ $p=0.021$)와 수술의 정도 ($OR=0.5$, 95% $CI=0.2\sim 0.8$, $p=0.011$)가 생존에 영향을 주는 인자들이었다. 일차성 교모세포종에서 종양 간엽줄기유사세포의 분리는 종양의 임상적 진행의 악화 정도와 연관이 있다.

핵심되는 말 : 분리, 일차성 교모세포종, 예후, 버팀질, 종양 간엽줄기유사세포