

Current Issues and Clinical Evidence in Tumor-Infiltrating Lymphocytes in Breast Cancer

Sung Gwe Ahn · Joon Jeong
SoonWon Hong¹ · Woo Hee Jung¹

Departments of Surgery and ¹Pathology,
Gangnam Severance Hospital, Yonsei University
College of Medicine, Seoul, Korea

Received: July 19, 2015
Revised: July 22, 2015
Accepted: July 28, 2015

Corresponding Author

Joon Jeong, MD
Department of Surgery, Gangnam Severance
Hospital, Yonsei University College of Medicine,
211 Eonju-ro, Gangnam-gu, Seoul 06273, Korea
Tel: +82-2-2019-3379
Fax: +82-2-3462-5994
E-mail: gsjjoon@yuhs.ac

With the advance in personalized therapeutic strategies in patients with breast cancer, there is an increasing need for biomarker-guided therapy. Although the immunogenicity of breast cancer has not been strongly considered in research or practice, tumor-infiltrating lymphocytes (TILs) are emerging as biomarkers mediating tumor response to treatments. Earlier studies have provided evidence that the level of TILs has prognostic value and the potential for predictive value, particularly in triple-negative and human epidermal growth factor receptor 2-positive breast cancer. Moreover, the level of TILs has been associated with treatment outcome in patients undergoing neoadjuvant chemotherapy. To date, no standardized methodology for measuring TILs has been established. In this article, we review current issues and clinical evidence for the use of TILs in breast cancer.

Key Words: Breast neoplasms; Immune system; Lymphocytes, tumor-infiltrating; Triple negative breast neoplasms

Molecular medicine has shown that all cancers are caused by mutations accumulated in various genes. Cancerous tissues harboring genetic mutations frequently create a new class of tumor-specific antigens.^{1,2} The presentation of neoantigen by tumor cells induces an immune response and triggers antitumor immunity. These neoantigens are displayed on the surfaces of tumor cells and are increased in relation to mutational load because mutations increase the efficiency with which a peptide is presented by MHC molecules.¹ Recent findings based on whole-exome sequencing have revealed that different tumors have different mutational loads, suggesting that neoantigen repertoire varies according to tumor type.³ That study showed that breast cancer has an average of one somatic mutation per megabase (Mb) of coding DNA and is expected to have moderate immunogenicity among human cancers.

Though the immunogenicity of breast cancer has not been traditionally considered in clinical practice or cancer research, the presence of tumor-infiltrating lymphocytes (TILs) in the tumor or peritumoral site has emerged as a biomarker of antitumor immune response in breast cancer. Despite the heterogeneity of TILs and the absence of a standardized methodology of

evaluating TILs, recent studies have suggested that the presence of TILs is correlated with good outcome in patients with breast cancer.⁴⁻⁷ With advances in understanding of the role of the immune system during carcinogenesis and tumor progression, TILs have been recognized as important biomarkers reflecting anti-tumor immune response in several malignancies, such as epithelial ovarian carcinoma^{8,9} and endometrial cancer,¹⁰⁻¹⁴ as well as breast cancer.

Recent achievements in immune therapy such as adoptive T-cell therapy or dendritic cell therapy, which reactivate the anti-tumor immune response and immune check-points inhibiting monoclonal antibodies, have been applied in practice and have ameliorated outcomes in patients with advanced malignancies.¹⁵ Understanding the biology and clinical utilization of TILs might offer novel therapeutic options in management of breast cancer.

In this article, we review three issues of TILs in patients with breast cancer: (1) biology of TILs, (2) methodology defining TILs, and (3) clinical evidence of TILs as biomarkers with clinical utility.

THE BIOLOGY OF TUMOR-INFILTRATING LYMPHOCYTES IN BREAST CANCER

The components of TILs

Infiltrating immune cells are frequently observed in tumors, but the proportion of immune cells comprising the host immune system is diverse and depends on the type and organ sites of malignancies.¹⁶ Previous evidence from animal and clinical studies has shown that leukocyte subsets predominantly contribute to either tumor-suppressive or tumor-stimulating activities. In murine models, myeloid lineage leukocytes, such as dendritic cells, myeloid-derived suppressor cells, and tumor-associated macrophages, have been identified and are thought to principally act to modulate the immune microenvironment toward either an antitumor milieu or a tumor-promoting milieu. T cells that migrate to tumor and/or peritumor sites are activated or inactivated and, in turn, regulate macrophage differentiation via polarization toward pro-tumorigenic M2 or antitumor M1 functional phenotypes, suggesting the importance of cell-to-cell cross-talk in the immune milieu.¹⁷

Most TILs are T lymphocytes.¹⁸⁻²⁰ Tumor-infiltrating B lymphocytes are less common and are poorly defined.²¹ The composition of TILs has been well studied in two recent publications.¹⁹ These studies showed similar results that T lymphocytes constituted 75% of TILs, B lymphocytes made up fewer than 20%, monocytes constituted fewer than 10%, and natural killer and natural killer T cells made up fewer than 5% of all leukocytes.

To understand the role of T-lymphocyte-dominant TILs in antitumor response through adaptive immunity, it is necessary to understand the biologic characteristics and sub-classification of T cells. T cells, which are distinguished from other lymphocytes such as B cells and natural killer cells, have a T-cell receptor on the cell surface. There are several subgroups of T lymphocytes, each with a unique function. CD8+ T cells are known as cytotoxic T cells and destroy tumor cells by binding to antigen presented by MHC class I molecules, which are expressed on the membranes of all nucleated cells. These cytotoxic CD8+ T cells are regulated and can be inactivated by regulatory T cells (Treg), interleukin (IL) 10, and other cytokines, which prevent autoimmune diseases.

T helper cells (Th cells), which are also known as CD4+ T cells, mediate the immune response of other white blood cells. They assist in maturation of B cells into plasma cells and memory B cells and activate CD8+ T cells and macrophages. Th cells are activated when they come into contact with peptide antigens expressed by MHC class II molecules, which exist on the sur-

faces of antigen-presenting cells (APCs). This type of immune reaction is classified as type II immunity, which is distinguished from type I immunity, which is mainly conveyed by CD8+ T cells.²² When Th cells are activated, they undergo rapid division and release cytokines mediating the active immunologic reaction. According to signaling from APCs, Th cells differentiate into various types such as Th1, Th2, Th3, Th17, Th9, or tumor-infiltrated follicular helper (Tfh) and release different cytokines to promote various active immune reactions. Among Th cells, Treg cells develop either in the thymus or in peripheral lymphoid organs. Treg cells developed in a peripheral lymphoid organ regulate adaptive immune responses.²³ The expression of forkhead box P3 protein (FOXP3) is used to identify Treg cells.

Several studies have highlighted the importance of T cells and TILs in breast cancer. Regarding the prognostic effect of cytotoxic CD8+ T cells, it is evident that the presence of these cells is significantly associated with prolonged survival outcome^{5,24} and good response to chemotherapy.²⁵ The presence of CD8+ T cells is also associated with different subtypes of breast cancer. In a study with more than 1,200 breast cancer cases, high level of CD8+ T cells was found in the less aggressive subtypes, such as luminal cancer. In contrast, low level of CD8+ T cells was observed in HER2-positive or basal-like breast cancer.²⁶

In contrast to the studies with CD8+ T cells, the prognostic effect of CD4+ T cells in breast cancer is variously reported and remains inconclusive. Th1 cells, which are the primary sources of interferon- γ , were reported to correlate with favorable prognostic outcome,¹⁹ whereas Th2 cells were reported to counteract Th1 cells and attenuate the antitumor response based on analyses with immune-gene signatures.²⁷ A recent study defined the existence of Tfh cells and showed that an increase in CXCL13-producing Tfh cells in tertiary lymphoid structures adjacent to breast tumors is positively associated with treatment outcome and might modulate an effective and durable antitumor immune response.¹⁹ Th17 cells also contribute to the tumor microenvironment. Particularly, the balance between Th17 cells and IL-17 family, which have pro-inflammatory functions, has an important role in regulating tumor angiogenesis. The effect of Th17 cells near tumors seems to be variable depending on the cytokine milieu.²⁸ The antitumor or pro-tumor effect of Th17 cells might be different depending on the type of tumor.²⁸

Studies with CD4+ Treg cells expressing FOXP3 remain controversial because the presence of Treg cells has been associated with both immunosuppressive and immunostimulatory activity.^{18,29,30} The effects of Treg cells on prognosis differed according to immunohistochemistry (IHC) marker and type of CD4+ T

cells. Interestingly, the ratio of CD8+ to FOXP3+ is correlated with molecular subtype²⁶ and is characterized to define medullary cancer.³¹ Furthermore, a recent study showed that this ratio can be used to identify patients with good response to neoadjuvant chemotherapy in triple-negative breast cancer (TNBC).³²

Currently, little is known regarding the role of tumor-infiltrating B cells (CD20+) as components of TILs.^{33,34} Some authors have reported that increased expression of immunoglobulin κ C by B cells is associated with favorable prognosis of breast cancer according to the database of gene-expression profiling.³⁵

Factors affecting recruitment of TILs

There are several factors responsible for lymphocyte recruitment in tumors. High endothelial venules (HEV) interact with blood vessels and contribute to lymphocyte infiltration in breast cancer.³⁶ The high density of HEV is related to lymphotoxin- β produced by mature dendritic cells³⁷ and is associated with improved survival outcome in patients with breast cancer. It has been noted that HEV density is increased in ductal carcinoma *in situ* compared to invasive ductal carcinoma.

Indoleamine-2,3-dioxygenase (IDO), which catalyzes the conversion of tryptophan to kynurenine, is one of the enzymes affecting lymphocytic infiltration of tumors. Tryptophan depletion inhibits both tumor cells and lymphocytes, and kynurenine has cytotoxic activity against tumors.³⁸ Therefore, the catalytic activity of IDO might inhibit or stimulate both tumor growth and antitumor immune functions.³⁹

Factors affecting TIL count and recruitment of TILs have been studied. High TIL count has been observed in patients with TNBC.²⁵ The presence of TILs has been shown to inversely correlate with expression of human leukocyte antigen-G, which might be involved in tumor escape.⁴⁰ The TIL count has also been reported to be associated with expression of stem cell makers or epithelial-mesenchymal transition markers in cancer cells.²⁵

Stromal TILs and intratumoral TILs

Depending on lymphocyte-infiltrated site, TILs are classified as infiltrated lymphocytes in the tumor stroma (stromal TILs) or in the tumor cell islets (intratumoral TILs). Stromal TILs are defined as lymphocytes dispersed in the stroma and are distinguished from intratumoral TILs, which are located within carcinoma nests and are in direct contact with tumor cells.⁴¹ To date, most clinical trials have suggested that stromal TILs are likely to be more stable and reproducible markers than intratumoral TILs because of their increased frequency.

Efforts in methodological agreement in evaluation of TILs in breast cancer

There are many hurdles to utilizing TILs as prognostic or predictive markers because of their heterogeneity and the absence of standardized methods of evaluation. Moreover, the methodology based on IHC assessment of TILs demonstrates enormous variation in analytical practice and limits the value of TIL measurement to experimental research or specific studies. Consequently, TIL determination is not yet feasible in routine clinical practice and urgently demands a consensus in the development of a standardized measurement system.

The initial method for measuring TILs was proposed by Denkert *et al.* in 2010,⁴² which evaluated TILs in specimens from core biopsies. Since then, the majority of researchers investigating TILs have used that method, which has now been supported by robust findings. With this background, an International TIL Working Group was recently organized, and participants with experience in evaluation of TILs in specimens from phase III clinical trials were surveyed regarding topics in the methodology of TILs evaluation. Consequently, they reported current recommendations to reconcile the method of evaluating TILs (Table 1).⁴³

Clinical evidence of TILs in adjuvant or neoadjuvant studies

Major adjuvant or neoadjuvant studies testing TILs are presented in Tables 2 and 3.^{5,24,25,35,41,42,44-57} Most of these studies evaluated both stromal and intratumoral TILs. As described above, the measurement of stromal TILs is more reproducible among studies and has superior clinical value. Some studies have evaluated TILs using IHC, whereas others have identified the immune components of TILs based on databases of gene-expression profiling.

In 2010, the clinical significance of TILs as biomarkers associated with pathologic response was identified by Denkert *et al.*⁴² using samples from large clinical trials. This pivotal study was the first to evaluate TILs using the protocol of the International TIL Working Group. From that time, many researchers have focused on the association between presence of TILs and clinical outcome in various cohorts.

Among these translational studies with TILs in the adjuvant setting, the most important finding is the prognostic value of stromal TILs in TNBC. The positive correlation between increase of stromal TILs and survival outcome in TNBC was initially reported using data from the BIG 2-98 trial.⁴⁵ This correlation was validated in independent cohorts of two clinical trials.⁴⁶ Interestingly, the level of TILs was not prognostic in

Table 1. International TIL Working Group recommendations for assessing TILs in breast cancer

- 1) TILs should be reported for the stromal compartment (= % stromal TILs). The denominator used to determine the % stromal TILs is the area of stromal tissue (i.e., area occupied by mononuclear inflammatory cells over total intratumoral stromal area), not the number of stromal cells (i.e., fraction of total stromal nuclei that represent mononuclear inflammatory cell nuclei).
- 2) TILs should be evaluated within the borders of the invasive tumor.
- 3) Exclude TILs outside of the tumor border and around DCIS and normal lobules.
- 4) Exclude TILs in tumor zones with crush artifacts, necrosis, regressive hyalinization as well as in the previous core biopsy site.
- 5) All mononuclear cells (including lymphocytes and plasma cells) should be scored, but polymorphonuclear leukocytes are excluded.
- 6) One section (4–5 µm, magnification ×200–400) per patient is currently considered to be sufficient.
- 7) Full sections are preferred over biopsies whenever possible. Cores can be used in the pretherapeutic neoadjuvant setting; currently no validated methodology has been developed to score TILs after neoadjuvant treatment.
- 8) A full assessment of average TILs in the tumor area by the pathologist should be used. Do not focus on hotspots.
- 9) The working group's consensus is that TILs may provide more biological relevant information when scored as a continuous variable, since this will allow more accurate statistical analyses, which can later be categorized around different thresholds. However, in daily practice, most pathologists will rarely report for example 13.5% and will round up to the nearest 5%–10%, in this example thus 15%. Pathologist should report their scores in as much detail as the pathologist feels comfortable with.
- 10) TILs should be assessed as a continuous parameter. The percentage of stromal TILs is a semiquantitative parameter for this assessment, for example, 80% stromal TILs means that 80% of the stromal area shows a dense mononuclear infiltrate. For assessment of percentage values, the dissociated growth pattern of lymphocytes needs to be taken into account. Lymphocytes typically do not form solid cellular aggregates; therefore, the designation '100% stromal TILs' would still allow some empty tissue space between the individual lymphocytes.
- 11) No formal recommendation for a clinically relevant TIL threshold(s) can be given at this stage. The consensus was that a valid methodology is currently more important than issues of thresholds for clinical use, which will be determined once a solid methodology is in place. Lymphocyte predominant breast cancer can be used as a descriptive term for tumors that contain 'more lymphocytes than tumor cells'. However, the thresholds vary between 50% and 60% stromal lymphocytes.

Adopted from Salgado R, Denkert C, Demaria S, Sirtaine N, Klauschen F, Pruner G, Wienert S, Van den Eynden G, Baehner FL, Penault-Llorca F, Perez EA, Thompson EA, Symmans WF, Richardson AL, Brock J, Criscitiello C, Bailey H, Ignatiadis M, Floris G, Sparano J, Kos Z, Nielsen T, Rimm DL, Allison KH, Reis-Filho JS, Loibl S, Sotiriou C, Viale G, Badve S, Adams S, Willard-Gallo K, Loi S. The evaluation of tumor infiltrating lymphocytes (TILs) in breast cancer: recommendations by an International TILs Working Group 2014. *Ann Oncol* 2015; 26: 259-71, with permission of Oxford University Press.⁴³
TIL, tumor-infiltrating lymphocytes; DCIS, ductal carcinoma *in-situ*.

patients with estrogen receptor (ER)-positive cancer receiving adjuvant chemotherapy. Consequently, these findings suggest that stromal TILs can be utilized as prognostic markers in a subset of breast cancer such as TNBC but not in ER-positive breast cancer. Despite the reproducibility of TILs as prognostic markers for patients with TNBC, TILs should not be used as predictive markers for chemotherapy response because of the absence of data from patients with TNBC not treated with chemotherapy.

The pronounced prognostic effect of TILs particularly in TNBC can be explained by the neoantigens described in the introduction because TNBC has higher mutational load than do non-TNBC tumors.⁵⁸ The higher mutational load of TNBC tumors enhances immunogenicity and might result in increased TIL recruiting.

Some studies have attempted to verify the prognostic significance of TILs in patients with HER2-positive breast cancer treated with adjuvant trastuzumab. Recent data from the FINHER study suggested that increased TILs are associated with better response to adjuvant trastuzumab. In the study, patients with TIL-predominant tumors showed a superior survival outcome compared to patients with non-TIL-predominant tumors after adjuvant trastuzumab.⁴ Recently published data from the N9831 study, which tested the benefit of trastuzumab in HER2-

positive breast cancer, also showed that patients with immunogenic tumors defined by mRNA expression of immune genes had improved survival in response to trastuzumab treatments.⁵⁹ However, there are major caveats to the results of the FINHER trial. The number of patients was small (n = 209), and the prognostic value of TILs was not confirmed in multivariate analysis. Moreover, based on the same samples from the N9831 study, Perez *et al.*⁵⁰ demonstrated conflicting results. In exploratory analyses of TIL evaluation, stromal TILs were associated with improved relapse-free survival in patients treated with chemotherapy alone but were not shown to be associated with recurrence-free survival in patients treated with chemotherapy plus trastuzumab.

Therefore, based on the current findings, the effect of TILs in mediating the response to adjuvant trastuzumab is not conclusive. Despite the controversy regarding the role of TILs in response to HER2-targeted therapy, previous studies have suggested that TILs mediate the antitumor response of trastuzumab and have the potential to be predictive markers of trastuzumab response.⁴

In addition to stromal TILs identified by hematoxylin and eosin exam, several studies have shown the prognostic value of CD8+ intratumoral TILs in adjuvant settings. Furthermore, genomic data might accelerate the discovery of immune markers or

Table 2. Adjuvant studies evaluating TILs and prognosis

Reference	Study	Manner of sample collection	Regimen	Assay	Marker	Type of TILs	Sample size	Correlation with clinical outcome (multivariate analysis)a
West <i>et al.</i> (2011) ⁴⁴	Single institute	Retrospective	CMF, AC, CEF, or CAF	TMA	CD3	CD3-IHC alone	255 for anthracyclines	CD3+ T cells: HR 0.24 for DFS (p = .016, univariate)
Mahmoud <i>et al.</i> (2011) ⁵	Single institute	Retrospective	CMF	TMA	CD8	Total TIL identified by CD8	1,334	CD8+ total TIL: HR 0.55 for BCSS in training set (p = .001) HR 0.58 for BCSS in validation set (p = .002)
Liu <i>et al.</i> (2012) ²⁴	Single institute	Retrospective	MF, AC, FAC, or no CTx	TMA	CD8	sTIL, iTIL, and total TIL	497 TNBC	CD8+ iTIL: HR 0.48 for BCSS (p < .001)
Loi <i>et al.</i> (2013) ⁴⁵	BIG 02-98	Prospective	A followed by CMF or AC followed by CMF	H&E	TILs	sTIL iTIL	2,009 Total 256 TNBC	None sTIL (continuous): HR 0.83 for OS (p = .023) LPBC (binary ≥ 50%): HR 0.29 for OS (p = .036)
Adams <i>et al.</i> (2014) ⁴⁶	ECOG2197 ECOG1199	Prospective	AC vs AC AC followed by D or P	H&E	TILs	sTIL iTIL	481 TNBC	sTIL (continuous, per 10% increase): HR 0.79 for OS (p = .003)
Liu <i>et al.</i> (2014) ⁴⁷	FINHER	Prospective	D or V followed by FEC or FEC with trastuzumab if HER2+	H&E	TILs	sTIL iTIL	934 Total 134 TNBC 209 HER2+	None sTIL (continuous): HR 0.77 for DDFS (p = .02) sTIL (continuous): HR 0.82 of DDPS (p = .025, univariate) only for trastuzumab arm
Ali <i>et al.</i> (2014) ⁴⁸	Four cohorts including NEAT trial	Retrospective	Various regimen	TMA	CD8	sTIL and iTIL identified by CD8	12,439	CD8+ iTIL: HR 0.72 for BCSS (p = .00003) CD8+ sTIL: HR 0.79 for BCSS (p = .004)
Liu <i>et al.</i> (2012) ²⁴	Single institute	Retrospective	MF, AC, FAC, or no CTx	TMA	CD8, FOXP3	sTIL, iTIL, and total TIL	88 ER-/HER2+ with CD8+TIL-positive	FOXP3+ iTIL: HR 0.48 for BCSS (p = .047)
Schalper <i>et al.</i> (2014) ⁴⁹	Single institute	Retrospective	Various regimen	mRNA assay H&E	PD-L1 mRNA TILs	Nonspecific TILs	328	Positive PD-L1 mRNA expression: HR 0.27 for RFS (p = .009)
Perez <i>et al.</i> ⁵⁰ (abstract only)	N9831 arm A and C	Prospective	AC followed by P or P with trastuzumab	H&E	TILs	sTIL	489 Treated without trastuzumab 456 Treated with trastuzumab	LPBC (binary ≥ 60%): HR 0.20 for RFS (p = .007) LPBC (binary ≥ 60%): HR 1.1 for RFS (p = .87)

TIL, tumor-infiltrating lymphocyte; CMF, cyclophosphamide, methotrexate, 5-fluorouracil; AC, doxorubicin/cyclophosphamide; CEF, Canadian cyclophosphamide, epirubicin, 5-fluorouracil; CAF, cyclophosphamide, doxorubicin, 5-fluorouracil; TMA, tissue microarray; IHC, immunohistochemistry; HR, hazard ratio; DFS, disease-free survival; BCSS, breast cancer specific survival; MF, methotrexate, 5-fluorouracil; FAC, 5-fluorouracil, doxorubicin, cyclophosphamide; CTx, chemotherapy; sTIL, stromal tumor-infiltrating lymphocyte; iTIL, intratumoral tumor-infiltrating lymphocyte; TNBC, triple-negative breast cancer; H&E, hematoxylin and eosin; OS, overall survival; LPBC, lymphocyte predominant breast cancer; D, docetaxel; P, paclitaxel; V, vinorelbine; FEC, 5-fluorouracil, epirubicin, cyclophosphamide; HER2, human epidermal growth factor receptor 2; DDPS, distant disease-free survival; DDFS, distant disease relapse-free survival; FOXP3, forkhead box P3 protein; ER, estrogen receptor; RFS, recurrence-free survival.

Table 3. The association between TILs and pathologic response in neoadjuvant studies

Reference	Study	Manner of sample collection	Regimen	Assay	Marker	Type of TILs	Sample size	Definition of pCR	Correlation with pCR
Hornychova et al. (2008) ⁴¹	Single institute	Retrospective	Anthracycline-taxane-based regimens	IHC	CD3	iTIL	73	ypT0/Tis ypN0	CD3+iTIL (p = .004, univariate)
Denkert et al. (2010) ⁴²	GeparDuo GeparTrio	Prospective	EC-Doc (GeparDuo) TAC ± vinorelbine/ capecitabine (GeparTrio)	H&E	TILs	sTIL iTIL	1,058	ypT0/Tis ypN0	sTILs (continuous, per 10% increase) (p = .001, multivariate) LPBC (binary ≥60%) (p = .001, multivariate)
Denkert et al. (2015) ⁵¹	Geparsixto	Prospective	Anthracycline-taxane plus carboplatin vs Anthracycline-taxane	H&E mRNA assay	TILs 12 immune mRNA markers	sTIL iTIL	580 481	ypT0/Tis ypN0	sTILs (continuous, per 10% increase) (p = .001, multivariate) 12 immune mRNA markers were predictive for increased pCR
West et al. (2011) ⁴⁴	Public gene expression data from EORTC 10994/BIG00-01	Prospective	FEC vs TET	Gene expression data	Gene expression data	Not associated	99	Undefined	High TIL signature correlate with pCR (p = .001, multivariate)
Ono et al. (2012) ⁵²	Single institute	Retrospective	Anthracycline-based; cyclophosphamide-based or taxane-based regimens	H&E	TILs	sTIL iTIL	92 TNBC	ypT0	Total TILs correlate with pCR (p = .015, multivariate)
Yamaguchi et al. (2012) ⁵³	Single institute	Retrospective	Anthracycline-taxane-based regimens	H&E	TILs	Total TILs	68	ypT0/Tis	Total TILs correlate with pCR (p < .001, multivariate)
Oda et al. (2012) ⁵⁴	Institutional	Retrospective	Paclitaxel followed by FEC	IHC	CD8, FOXP3, IL17F	iTIL	180	ypT0ypN0	FOXP3 positively correlated with pCR (p = .014, multivariate)
Schmidt et al. (2012) ³⁵	Public gene expression data (7 cohorts)	Retrospective	Anthracycline-based regimen	Gene expression data	Gene expression data	Not associated	845	Undefined	IGKC positively correlated with pCR (p < .001)
Issa-Nummer et al. (2013) ⁵⁵	GeparQuinto Predict study	EC-D	EC followed by D	H&E	TILs	sTIL iTIL	313 HER2-	ypT0ypN0	sTILs and LPBC associated with pCR (p = .01, multivariate) LPBC associated with pCR (p = .003, multivariate)
Seo et al. (2013) ²⁵	Single institute	Retrospective	Anthracycline-taxane-based regimens	IHC	CD8, CD4, FOXP3	iTILs	153	ypT0ypN0	CD8 positively correlated with pCR (p = .003, multivariate)
Lee et al. (2013) ⁵⁶	Single institute	Retrospective	Anthracycline-taxane-based regimens	H&E IHC	TILs, CD3, CD8, FOXP3	sTILs	175	ypT0ypN0	sTIL positively correlated with pCR (p = .024, multivariate)
Nabholz et al. (2014) ⁵⁷	Phase II TVA study	Prospective	FEC with/without D with panitumumab	IHC	CD8	Not associated	47	ypT0/Tis ypN0	CD8 positively correlated with pCR (p = .000003, univariate)

CD8 positively correlated with pCR (p = .000003, univariate).
 TIL, tumor-infiltrating lymphocyte; pCR, pathological complete response; IHC, immunohistochemistry; iTIL, intratumoral tumor-infiltrating lymphocyte; H&E, hematoxylin and eosin; sTIL, stromal tumor-infiltrating lymphocyte; LPBC, lymphocyte predominant breast cancer; FEC, 5-fluorouracil, epirubicin, cyclophosphamide; TEI, docetaxel, epirubicin and docetaxel; TNBC, triple-negative breast cancer; IL, interleukin; IGKC, gene encoding for immunoglobulin kappa constant; D, docetaxel; HER2, human epidermal growth factor receptor 2; FOXP3, forkhead box P3 protein.

immune signatures associated with TILs or treatment outcome.

TILs have been evaluated in the samples of core biopsies from more than 3,000 patients receiving neoadjuvant chemotherapy. These studies used clinical information from prospective trials, as well as from single institutional cohorts. In an early study of a cohort of limited size, the numbers of intratumoral TILs detected by CD3 expression were significantly higher in patients with pathological complete response (pCR).⁴¹ Patients who achieved pCR also had significantly higher dendritic cell (CD83+) counts in specimens of core biopsies. The potential of TILs as biomarkers predicting pCR was independently confirmed using much larger cohorts of patients enrolled in the GeparDuo and GeparTrio trials. These studies showed that the percentage of intratumoral TILs is an independent predictor of pCR.⁴² The studies investigating the role of TILs in patients undergoing neoadjuvant chemotherapy are summarized in Table 3. In summary, data of both histologically assessed TILs and molecular genetic signatures indicate that increased immune markers are related to higher pCR rates independent of other clinico-pathological factors or type of chemotherapy. A recent meta-analysis of TILs in neoadjuvant studies also supported the hypothesis that higher TIL level is associated with higher pCR rate.⁶⁰

CONCLUSION

Accumulating preclinical and clinical evidence supports the use of TILs as predictive and prognostic markers in breast cancer. However, it is essential to establish a standard definition of TILs and to develop a consensus for morphological evaluation of TILs before they can be applied in routine clinical practice. The heterogeneity of types and functions of lymphocytes and activating mechanisms demands molecular and functional characterization of TILs in order to improve their value. The incorporation of other biomarkers in breast cancer, such as the remaining hurdle with interobserver variability in determination of Ki-67, suggests that a biomarker cannot be widely applied in daily practice until a standardized approach has been validated in multiple studies including prospective trials. Further scientific research with TILs will offer unique insights and information on the role of the immune systems in malignancy and in treatment response.

Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

REFERENCES

1. Heemskerk B, Kvistborg P, Schumacher TN. The cancer antigenome. *EMBO J* 2013; 32: 194-203.
2. Schumacher TN, Schreiber RD. Neoantigens in cancer immunotherapy. *Science* 2015; 348: 69-74.
3. Alexandrov LB, Nik-Zainal S, Wedge DC, *et al.* Signatures of mutational processes in human cancer. *Nature* 2013; 500: 415-21.
4. Loi S, Michiels S, Salgado R, *et al.* Tumor infiltrating lymphocytes are prognostic in triple negative breast cancer and predictive for trastuzumab benefit in early breast cancer: results from the FinHER trial. *Ann Oncol* 2014; 25: 1544-50.
5. Mahmoud SM, Paish EC, Powe DG, *et al.* Tumor-infiltrating CD8+ lymphocytes predict clinical outcome in breast cancer. *J Clin Oncol* 2011; 29: 1949-55.
6. Menard S, Tomasic G, Casalini P, *et al.* Lymphoid infiltration as a prognostic variable for early-onset breast carcinomas. *Clin Cancer Res* 1997; 3: 817-9.
7. Mohammed ZM, Going JJ, Edwards J, Elsberger B, Doughty JC, McMillan DC. The relationship between components of tumour inflammatory cell infiltrate and clinicopathological factors and survival in patients with primary operable invasive ductal breast cancer. *Br J Cancer* 2012; 107: 864-73.
8. Tomsova M, Melichar B, Sedlakova I, Steiner I. Prognostic significance of CD3+ tumor-infiltrating lymphocytes in ovarian carcinoma. *Gynecol Oncol* 2008; 108: 415-20.
9. Zhang L, Conejo-Garcia JR, Katsaros D, *et al.* Intratumoral T cells, recurrence, and survival in epithelial ovarian cancer. *N Engl J Med* 2003; 348: 203-13.
10. de Jong RA, Leffers N, Boezen HM, *et al.* Presence of tumor-infiltrating lymphocytes is an independent prognostic factor in type I and II endometrial cancer. *Gynecol Oncol* 2009; 114: 105-10.
11. Giatromanolaki A, Bates GJ, Koukourakis MI, *et al.* The presence of tumor-infiltrating FOXP3+ lymphocytes correlates with intratumoral angiogenesis in endometrial cancer. *Gynecol Oncol* 2008; 110: 216-21.
12. Ino K, Yamamoto E, Shibata K, *et al.* Inverse correlation between tumoral indoleamine 2,3-dioxygenase expression and tumor-infiltrating lymphocytes in endometrial cancer: its association with disease progression and survival. *Clin Cancer Res* 2008; 14: 2310-7.
13. Kondratiev S, Sabo E, Yakirevich E, Lavie O, Resnick MB. Intratumoral CD8+ T lymphocytes as a prognostic factor of survival in endometrial carcinoma. *Clin Cancer Res* 2004; 10: 4450-6.
14. Yamagami W, Susumu N, Tanaka H, *et al.* Immunofluorescence-detected infiltration of CD4+FOXP3+ regulatory T cells is relevant to the prognosis of patients with endometrial cancer. *Int J Gynecol*

- Cancer 2011; 21: 1628-34.
15. Taube JM, Klein A, Brahmer JR, *et al.* Association of PD-1, PD-1 ligands, and other features of the tumor immune microenvironment with response to anti-PD-1 therapy. *Clin Cancer Res* 2014; 20: 5064-74.
 16. Galon J, Angell HK, Bedognetti D, Marincola FM. The continuum of cancer immunosurveillance: prognostic, predictive, and mechanistic signatures. *Immunity* 2013; 39: 11-26.
 17. Coussens LM, Pollard JW. Leukocytes in mammary development and cancer. *Cold Spring Harb Perspect Biol* 2011; 3: a003285.
 18. Gobert M, Treilleux I, Bendriss-Vermare N, *et al.* Regulatory T cells recruited through CCL22/CCR4 are selectively activated in lymphoid infiltrates surrounding primary breast tumors and lead to an adverse clinical outcome. *Cancer Res* 2009; 69: 2000-9.
 19. Gu-Trantien C, Loi S, Garaud S, *et al.* CD4(+) follicular helper T cell infiltration predicts breast cancer survival. *J Clin Invest* 2013; 123: 2873-92.
 20. Ruffell B, Au A, Rugo HS, Esserman LJ, Hwang ES, Coussens LM. Leukocyte composition of human breast cancer. *Proc Natl Acad Sci U S A* 2012; 109: 2796-801.
 21. Cimino-Mathews A, Ye X, Meeker A, Argani P, Emens LA. Metastatic triple-negative breast cancers at first relapse have fewer tumor-infiltrating lymphocytes than their matched primary breast tumors: a pilot study. *Hum Pathol* 2013; 44: 2055-63.
 22. Gutcher I, Becher B. APC-derived cytokines and T cell polarization in autoimmune inflammation. *J Clin Invest* 2007; 117: 1119-27.
 23. Abbas AK, Benoist C, Bluestone JA, *et al.* Regulatory T cells: recommendations to simplify the nomenclature. *Nat Immunol* 2013; 14: 307-8.
 24. Liu S, Lachapelle J, Leung S, Gao D, Foulkes WD, Nielsen TO. CD8+ lymphocyte infiltration is an independent favorable prognostic indicator in basal-like breast cancer. *Breast Cancer Res* 2012; 14: R48.
 25. Seo AN, Lee HJ, Kim EJ, *et al.* Tumour-infiltrating CD8+ lymphocytes as an independent predictive factor for pathological complete response to primary systemic therapy in breast cancer. *Br J Cancer* 2013; 109: 2705-13.
 26. Liu F, Lang R, Zhao J, *et al.* CD8(+) cytotoxic T cell and FOXP3(+) regulatory T cell infiltration in relation to breast cancer survival and molecular subtypes. *Breast Cancer Res Treat* 2011; 130: 645-55.
 27. Teschendorff AE, Gomez S, Arenas A, *et al.* Improved prognostic classification of breast cancer defined by antagonistic activation patterns of immune response pathway modules. *BMC Cancer* 2010; 10: 604.
 28. Qi W, Huang X, Wang J. Correlation between Th17 cells and tumor microenvironment. *Cell Immunol* 2013; 285: 18-22.
 29. Bates GJ, Fox SB, Han C, *et al.* Quantification of regulatory T cells enables the identification of high-risk breast cancer patients and those at risk of late relapse. *J Clin Oncol* 2006; 24: 5373-80.
 30. West NR, Kost SE, Martin SD, *et al.* Tumour-infiltrating FOXP3(+) lymphocytes are associated with cytotoxic immune responses and good clinical outcome in oestrogen receptor-negative breast cancer. *Br J Cancer* 2013; 108: 155-62.
 31. Anz D, Eiber S, Scholz C, *et al.* In breast cancer, a high ratio of tumour-infiltrating intraepithelial CD8+ to FoxP3+ cells is characteristic for the medullary subtype. *Histopathology* 2011; 59: 965-74.
 32. Miyashita M, Sasano H, Tamaki K, *et al.* Tumor-infiltrating CD8+ and FOXP3+ lymphocytes in triple-negative breast cancer: its correlation with pathological complete response to neoadjuvant chemotherapy. *Breast Cancer Res Treat* 2014; 148: 525-34.
 33. An T, Sood U, Pietruk T, Cummings G, Hashimoto K, Crissman JD. *In situ* quantitation of inflammatory mononuclear cells in ductal infiltrating breast carcinoma: relation to prognostic parameters. *Am J Pathol* 1987; 128: 52-60.
 34. Mahmoud SM, Lee AH, Paish EC, Macmillan RD, Ellis IO, Green AR. The prognostic significance of B lymphocytes in invasive carcinoma of the breast. *Breast Cancer Res Treat* 2012; 132: 545-53.
 35. Schmidt M, Hellwig B, Hammad S, *et al.* A comprehensive analysis of human gene expression profiles identifies stromal immunoglobulin kappa C as a compatible prognostic marker in human solid tumors. *Clin Cancer Res* 2012; 18: 2695-703.
 36. Martinet L, Garrido I, Filleron T, *et al.* Human solid tumors contain high endothelial venules: association with T- and B-lymphocyte infiltration and favorable prognosis in breast cancer. *Cancer Res* 2011; 71: 5678-87.
 37. Martinet L, Filleron T, Le Guellec S, Rochemaux P, Garrido I, Girard JP. High endothelial venule blood vessels for tumor-infiltrating lymphocytes are associated with lymphotoxin beta-producing dendritic cells in human breast cancer. *J Immunol* 2013; 191: 2001-8.
 38. Melichar B, Ferrandina G, Verschraegen CF, Loercher A, Abbruzzese JL, Freedman RS. Growth inhibitory effects of aromatic fatty acids on ovarian tumor cell lines. *Clin Cancer Res* 1998; 4: 3069-76.
 39. Jacquemier J, Bertucci F, Finetti P, *et al.* High expression of indoleamine 2,3-dioxygenase in the tumour is associated with medullary features and favourable outcome in basal-like breast carcinoma. *Int J Cancer* 2012; 130: 96-104.
 40. Dong DD, Yie SM, Li K, *et al.* Importance of HLA-G expression and tumor infiltrating lymphocytes in molecular subtypes of breast cancer. *Hum Immunol* 2012; 73: 998-1004.
 41. Hornychova H, Melichar B, Tomsova M, Mergancova J, Urminska H, Ryska A. Tumor-infiltrating lymphocytes predict response to neoadjuvant chemotherapy in patients with breast carcinoma. *Cancer Invest* 2008; 26: 1024-31.

42. Denkert C, Loibl S, Noske A, *et al.* Tumor-associated lymphocytes as an independent predictor of response to neoadjuvant chemotherapy in breast cancer. *J Clin Oncol* 2010; 28: 105-13.
43. Salgado R, Denkert C, Demaria S, *et al.* The evaluation of tumor-infiltrating lymphocytes (TILs) in breast cancer: recommendations by an International TILs Working Group 2014. *Ann Oncol* 2015; 26: 259-71.
44. West NR, Milne K, Truong PT, Macpherson N, Nelson BH, Watson PH. Tumor-infiltrating lymphocytes predict response to anthracycline-based chemotherapy in estrogen receptor-negative breast cancer. *Breast Cancer Res* 2011; 13: R126.
45. Loi S, Sirtaine N, Piette F, *et al.* Prognostic and predictive value of tumor-infiltrating lymphocytes in a phase III randomized adjuvant breast cancer trial in node-positive breast cancer comparing the addition of docetaxel to doxorubicin with doxorubicin-based chemotherapy: BIG 02-98. *J Clin Oncol* 2013; 31: 860-7.
46. Adams S, Gray RJ, Demaria S, *et al.* Prognostic value of tumor-infiltrating lymphocytes in triple-negative breast cancers from two phase III randomized adjuvant breast cancer trials: ECOG 2197 and ECOG 1199. *J Clin Oncol* 2014; 32: 2959-66.
47. Liu S, Foulkes WD, Leung S, *et al.* Prognostic significance of FOXP3+ tumor-infiltrating lymphocytes in breast cancer depends on estrogen receptor and human epidermal growth factor receptor-2 expression status and concurrent cytotoxic T-cell infiltration. *Breast Cancer Res* 2014; 16: 432.
48. Ali HR, Provenzano E, Dawson SJ, *et al.* Association between CD8+ T-cell infiltration and breast cancer survival in 12,439 patients. *Ann Oncol* 2014; 25: 1536-43.
49. Schalper KA, Velcheti V, Carvajal D, *et al.* *In situ* tumor PD-L1 mRNA expression is associated with increased TILs and better outcome in breast carcinomas. *Clin Cancer Res* 2014; 20: 2773-82.
50. Perez EA, Ballman KV, Anderson SK, *et al.* Stromal tumor-infiltrating lymphocytes (S-TILs): in the alliance N9831 trial S-TILs are associated with chemotherapy benefit but not associated with trastuzumab benefit. In: San Antonio Breast Cancer Symposium (SABCS); 2014 Dec 2; Redwood, CA, USA; Abstract S1-06.
51. Denkert C, von Minckwitz G, Brase JC, *et al.* Tumor-infiltrating lymphocytes and response to neoadjuvant chemotherapy with or without carboplatin in human epidermal growth factor receptor 2-positive and triple-negative primary breast cancers. *J Clin Oncol* 2015; 33: 983-91.
52. Ono M, Tsuda H, Shimizu C, *et al.* Tumor-infiltrating lymphocytes are correlated with response to neoadjuvant chemotherapy in triple-negative breast cancer. *Breast Cancer Res Treat* 2012; 132: 793-805.
53. Yamaguchi R, Tanaka M, Yano A, *et al.* Tumor-infiltrating lymphocytes are important pathologic predictors for neoadjuvant chemotherapy in patients with breast cancer. *Hum Pathol* 2012; 43: 1688-94.
54. Oda N, Shimazu K, Naoi Y, *et al.* Intratumoral regulatory T cells as an independent predictive factor for pathological complete response to neoadjuvant paclitaxel followed by 5-FU/epirubicin/cyclophosphamide in breast cancer patients. *Breast Cancer Res Treat* 2012; 136: 107-16.
55. Issa-Nummer Y, Darb-Esfahani S, Loibl S, *et al.* Prospective validation of immunological infiltrate for prediction of response to neoadjuvant chemotherapy in HER2-negative breast cancer—a substudy of the neoadjuvant GeparQuinto trial. *PLoS One* 2013; 8: e79775.
56. Lee HJ, Seo JY, Ahn JH, Ahn SH, Gong G. Tumor-associated lymphocytes predict response to neoadjuvant chemotherapy in breast cancer patients. *J Breast Cancer* 2013; 16: 32-9.
57. Nabholz JM, Abrial C, Mouret-Reynier MA, *et al.* Multicentric neoadjuvant phase II study of panitumumab combined with an anthracycline/taxane-based chemotherapy in operable triple-negative breast cancer: identification of biologically defined signatures predicting treatment impact. *Ann Oncol* 2014; 25: 1570-7.
58. Shah SP, Roth A, Goya R, *et al.* The clonal and mutational evolution spectrum of primary triple-negative breast cancers. *Nature* 2012; 486: 395-9.
59. Perez EA, Thompson EA, Ballman KV, *et al.* Genomic analysis reveals that immune function genes are strongly linked to clinical outcome in the North Central Cancer Treatment Group n9831 Adjuvant Trastuzumab Trial. *J Clin Oncol* 2015; 33: 701-8.
60. Mao Y, Qu Q, Zhang Y, Liu J, Chen X, Shen K. The value of tumor infiltrating lymphocytes (TILs) for predicting response to neoadjuvant chemotherapy in breast cancer: a systematic review and meta-analysis. *PLoS One* 2014; 9: e115103.