# Dovitinib (TKI-258), a Multi-target Angiokinase Inhibitor, is Effective Regardless of KRAS or BRAF Mutation Status in Colorectal Cancer

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# Dovitinib (TKI-258), a Multi-target Angiokinase Inhibitor, is Effective Regardless of KRAS or BRAF Mutation Status in Colorectal Cancer

Directed by Professor Sun Young Rha

The Master's Thesis
submitted to the Department of Medicine,
the Graduate School of Yonsei University
in partial fulfillment of the requirements for the degree of
Master of Medical Science

Choong-kun Lee

June 2014

# This certifies that the Master's Thesis of Choong-kun Lee is approved.

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June 2014

#### **ACKNOWLEDGEMENTS**

First and foremost, praises and thanks to the God, the Almighty, for His showers of blessings throughout my research work to complete the research successfully. I specially like to express my deep and sincere gratitude to my research superviser Sun Young Rha who always has been the greatest mentor of mine for more than seven years, for all the wonderful teachings, helps and inspirations. I would like to thank professor Joong Bae Ahn and Kang Young Lee for their sincere comments about my research. I also want to thank all the great researchers in Cancer Metastasis Research Center, Yonsei University College of Medicine; Tae Soo Kim, Kyu Hyun Park, Woo Sun Kwon, Jung Min Kim, Won Suk Lee and Myung Eun Lee who contributed so much to this work. I can barely find words to express all the wisdom, love and support given me for that I am eternally grateful to my beloved parents and brother for unconditional love, fidelity, endurance and encouragement. For the last, but not least, I like to thank all the members in Division of Medical Oncology, Yonsei Cancer Center, who always work and study very hard for the cancer patients and families, twentyfour seven.

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#### **ABSTRACT**

Dovitinib (TKI-258), a Multi-target Angiokinase Inhibitor, is Effective Regardless of KRAS or BRAF Mutation Status in Colorectal Cancer

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We aimed to determine whether KRAS and BRAF mutant colorectal cancer (CRC) cells exhibit distinct sensitivities to the multi-target angiokinase inhibitor, TKI-258 (dovitinib). We screened 10 CRC cell lines by using receptor tyrosine kinase (RTK) array to identify activated RTKs. MTT assays, anchorage-independent colony-formation assays, and immunoblotting assays were performed to evaluate the in vitro anti-tumor effects of TKI-258. In vivo efficacy study followed by pharmacodynamic evaluation was done. Fibroblast Growth Factor Receptor 1 (FGFR1) and FGFR3 were among the most highly activated RTKs in CRC cell lines. In in vitro assays, the BRAF mutant HT-29 cells were more resistant to the TKI-258 than the KRAS mutant LoVo cells. However, in xenograft assays, TKI-258 equally delayed the growth of tumors induced by both cell lines. TUNEL assays showed that the apoptotic index was unchanged following TKI-258 treatment, but staining for Ki-67 and CD31 was substantially reduced in both xenografts, implying an anti-angiogenic effect of the drug. TKI-258 treatment was effective in delaying CRC tumor growth in vivo regardless of the KRAS and BRAF mutation status. Our results identify FGFRs as potential targets in CRC treatment and suggest that combined targeting of multiple RTKs with TKI-258 might serve as a novel approach to improve outcome of patients with CRC.

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Key words: Colorectal Cancer, FGFR, KRAS, BRAF, TKI-258

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#### I. INTRODUCTION

Colorectal cancer (CRC) is the third-most commonly diagnosed cancer in males and the second-most commonly diagnosed cancer in females worldwide <sup>1</sup>. Moreover, CRC incidence is increasing rapidly in several historically low-risk countries such as countries in Eastern Asia and Eastern Europe <sup>2</sup>. Because conventional anti-cancer drugs are not adequate for improving CRC-treatment outcome, we must understand the molecular biology of colon cancer and identify relevant molecular targets to biologically modulate the cancer.

Numerous inhibitors that target various receptor tyrosine kinases (RTKs) have been confirmed to inhibit tumor survival and angiogenesis in preclinical trial models of CRC <sup>3-5</sup>. Bevacizumab, a humanized monoclonal antibody that targets vascular endothelial growth factor A (VEGF-A) was approved for first-or second-line use in metastatic CRC, in combination with conventional chemotherapy <sup>6-8</sup>. Furthermore, certain epidermal growth factor receptor (EGFR)-inhibiting monoclonal antibodies such as cetuximab and panitumumab

showed modest efficacy in monotherapy or combination therapy <sup>9-12</sup>. However, the results of several studies in which these RTK inhibitors were used on CRC patients showed limited effect, implying that more effective therapeutic RTK inhibitors are required.

Fibroblast growth factors (FGFs), which promote angiogenesis and tumor growth by binding to tyrosine kinase. FGF receptors (FGFRs), are reported to be overexpressed in CRC patients <sup>13, 14</sup>. FGFR genes have been reported to potentially promote tumor growth and invasion in CRC <sup>13, 15, 16</sup>, and FGFR signals were implicated in the intrinsic resistance to EGFR inhibitors <sup>17</sup> in non-small cell lung cancer (NSCLC). Given these results, FGFR inhibitors are considered one of the potential RTK inhibitors that can be used to treat CRC patients; this is not only because FGFR is overexpressed in CRC, but also because this treatment might help overcome the resistance to EGFR inhibitors.

TKI-258 is an orally active small molecule that potently inhibits the activity of multiple RTKs including FGFRs, platelet-derived growth factor receptors (PDGFRs), and VEGF receptors (VEGFRs), which participate in tumor growth, survival, angiogenesis, and vascular development <sup>18</sup> through both direct and indirect mechanisms. Inhibiting multiple angiokinases - mainly FGFRs - led to the suppression of their downstream signaling including signaling by RAS-RAF-MAPK molecules and PI3K-AKT related molecules that are mainly involved in cell proliferation, cell survival, and tumor invasion <sup>19</sup>.

Mutations in the v-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog

(KRAS), which occur in approximately 40% of CRC patients, is considered one of the major negative predictive factors in the treatment response in patients receiving EGFR-directed antibodies <sup>20, 21</sup>. In the absence of KRAS mutations, resistance to EGFR inhibitors has been reported to be potentially caused by genetic alterations of molecules related to RAS-RAF-MAPK signaling <sup>19, 22</sup>. Furthermore, mutation in v-raf murine sarcoma viral oncogene homolog B1 (BRAF) appears to mediate cetuximab resistance in the absence of KRAS mutation <sup>23, 24</sup>, and approximately 18% of BRAF-activating mutations have been identified in CRC <sup>25</sup>. BRAF mutations have been widely reported to occur only in KRAS-negative colon carcinomas, suggesting that BRAF/KRAS activating mutations might be alternative genetic events in CRC <sup>26-28</sup>. Recently, the mutually exclusive BRAF/KRAS mutation status was suggested to be related to RTK-inhibitor sensitivity in CRC. BIBF 1120, another multi-target angiokinase inhibitor showed efficacy when combined with afatinib against CRC with KRAS mutation in vitro 29. BIBF 1120 also targets FGFR and PDGFR, but mainly targets VEGFR, and no previous studies reported KRAS/BRAF mutant CRC and FGFR inhibitor sensitivity. We surmised that KRAS or BRAF mutation status might affect FGFR inhibitor – TKI-258 - sensitivity in CRC.

In this study, to identify better RTK inhibitors that can improve CRC treatment, we determined whether genetic aberration of a novel target's downstream signals might affect the efficacy of an RTK inhibitor. First, we hypothesized that inhibition of FGFR will efficiently suppress tumor growth in

CRC, and then we hypothesized that the KRAS and BRAF mutant CRC cell lines will exhibit distinct sensitivities to the TKI-258, which mainly targets FGFR. The results of this study could lead to the identification of predictive biomarkers and thus facilitate the selection of CRC patients who are likely to benefit from treatment with the FGFR inhibitor. We investigated the anti-tumor activity of TKI-258 in CRC cell lines carrying KRAS or BRAF mutations, *in vitro* and *in vivo*, to determine whether the sensitivity of these cells to the inhibitor depends on specific gene alteration.

#### II. MATERIALS AND METHODS

#### 1. Cell lines and cell culture

The 6 human CRC cell lines used in this study - KM12SM, KM-12L4, Colo320DM, SNU-C4, SNU-1235, and SW48 – were with no known KRAS or BRAF mutation. And the 10 human CRC cell lines with any of KRAS or BRAF mutation used in this study — DLD-1, HCT-15, COLO205, SW480, HCT-116, LoVo, WiDr, CaCo2, RKO, and HT-29 — were obtained from American Type Culture Collection (Bethesda, MD). All cell lines were cultured in MEM or RPMI-1640 medium supplemented with 10% FBS (GIBCO), 100 U/mL penicillin, and 0.1 mg/mL streptomycin in a humidified 5% CO<sub>2</sub> incubator. Among the 10 CRC cell lines used in this study <sup>25, 30, 31</sup>, 5 CRC cell lines had

only KRAS mutations (DLD-1, LoVo, SW480, HCT-15, and HCT-116) and 5 CRC cell lines had only BRAF<sup>V600E</sup> mutations (HT-29, COLO205, RKO, CaCo2, and WiDr). There were no serum starvation or stimulation throughout the experiments.

#### 2. Receptor tyrosine kinase inhibitor

TKI-258 (4-amino-5-fluor-3-[5-(4-metylpiperazin-1-yl)-1H-benzimidazol-2-yl] qui-nolin-2(1H)-one, formerly known as CHIR258), which targets FGFRs, PDGFRs, and VEGFRs, was purchased from Euroasian Chemicals Pvt. Ltd. We prepared 10 mM stock solutions of the drug and stored them at -20°C.

#### 3. Receptor tyrosine kinase array

To screen for the activity of specific RTKs, we used the Human Phospho-RTK Array (R&D Systems) according to the manufacturer's protocols. Briefly, 300 µg of proteins were added to a blocked membrane and incubated at 4°C. After washing, horseradish peroxidase (HRP)-conjugated anti-phosphotyrosine antibodies (1:2,000) were added to each membrane and incubated. After washing the membranes further, proteins were visualized using an enhanced chemiluminescence (ECL) detection system (Amersham). The activated RTK levels were quantitated using GenePix Pro 4.1 software (Axon Instruments) and normalized using the positive control's intensity in each membrane. RTK signal intensity was measured as the difference between the mean of each RTK's

intensity and mean of negative signal intensity. Relative RTK intensity was defined as the RTK signal intensity of each sample divided by the positive signal intensity of each membrane.

#### 4. Immunoblotting analysis

Cells were seeded in 6-well plates and incubated for overnight at 37°C. On the next day, culture media was replaced with serum-free media and the cells were again incubated overnight. Cells were then incubated with the drug (1 μM TKI-258 or PBS control) in serum-free media at 37°C for indicated times (0, 0.5, 1, 3, and 6 h). Cells were lysed in NP-40 lysis buffer and proteins were resolved using 6% or 10% SDS polyacrylamide gels and then transferred to PVDF membranes (GE Healthcare) by using electroblotting. The following primary antibodies were used in the experiments: p-FGFR1 (Y653/Y654) (Santa Cruz Biotechnology), p-Akt (S473), AKT, p-ERK1/2 (T202/Y204), ERK1/2, p-PI3K (Y458/Y199), PI3K, p-4EBP1 (Thr37/46), 4EBP1, p-p70S6K (T389), and p70S6 (Cell Signaling). Anti-GAPDH antibody (Abcam) was used to control for equal loading.

#### 5. Cell-viability assay

Cells were seeded in 96-well plates at a density of 5,000 cells/well and incubated at 37°C. TKI-258 was serially diluted using culture media, and various concentrations of the drug were added to each well and incubated for 3

days. MTT (3-(4,5-dimethylthiazol)-2,5-diphenyl tetrazolium) was then added to the medium for 4 h, the medium was removed, the precipitate was dissolved in DMSO, and the absorbance was read at 570 nm. The drug concentrations required to inhibit cell growth by 50% ( $IC_{50}$ ) were calculated through interpolation of the dose-response curves by using CalcuSyn software (Biosoft).

#### 6. Anchorage-independent colony formation assay

We added 0.6% Noble agar (Difco) as a basement into each well of culture plates. After the agar solidified, cells (3,000 cells/well) were suspended in 0.4% Noble agar mixed in culture media containing 10% FBS and plated in triplicate on top of the basement. Plates were incubated at  $37^{\circ}$ C for 3 weeks and colonies featuring a diameter >200  $\mu$ m were counted and photographed under an inverted microscope.

#### 7. Reverse transcription (RT)-PCR analysis

Total RNA was isolated using the Trizol reagent (Invitrogen) and cDNAs were synthesized using Superscript II Reverse Transcriptase (Invitrogen) according to the manufacturer's instructions. RT-PCR reactions were performed using Taq-Gold DNA polymerase (Invitrogen), and the amplified products were separated on ethidium bromide gels containing 1.2% agarose. The house-keeping gene GAPDH was selected as the positive control. The primer set used for RT-PCR amplification of the gene encoding FGFR1 was the

following: forward 5'-CAT CCC CAG AAA AGA TGG AA-3', and reverse 5'-CCT CCC CTG TTC CCA TTA CT-3'.

#### 8. Xenograft study

Female BALB/c nu/nu mice, 6–8-weeks old, were implanted subcutaneously with LoVo cells ( $2.0 \times 10^7$ ) or HT-29 cells ( $1.0 \times 10^7$ ) in the flank. Once the tumors could be measured (mean tumor volume of 200–300 mm³), the mice were assigned to 2 groups, the vehicle only group and the TKI-258-treated group (n = 4 per group in the case of LoVo cells; n = 6 per group, HT-29 cells). TKI-258 was administered orally at 70 mg/kg per day for 4 weeks. Tumor volumes were measured every other day. After mice were sacrificed, all the organs were thoroughly examined, and formalin-fixed paraffin-embedded tumors were subjected to pathological analysis.

#### 9. Immunohistochemistry (IHC)

Following deparaffinization and rehydration, tumor sections were treated with an antigen-retrieval solution (DAKO). Endogenous peroxidases were quenched using 3% H<sub>2</sub>O<sub>2</sub>. Sections were stained using antibodies against FGFR1 (1:50, Abcam), p-ERK (1:50, Cell Signaling Technology), p-AKT (1:100, Abcam), CD31 (1:100, Abcam), and Ki-67 (1:100, DAKO), which were diluted in an antibody-dilution buffer (DAKO). Staining was visualized using 3,3-diaminobenzidinetrahydro chloride (DAB; DAKO). Lastly, each slide was

counterstained with hematoxylin for 5 min, washed with water, mounted, and covered with a coverslip.

#### 10. TUNEL assay

DNA fragments in tissue sections were detected using a TACS® 2 TdT DAB Kit (Trevigen). Briefly, the enzyme terminal deoxynucleotidyl transferase (TdT) was used to incorporate digoxigenin-conjugated dUTP at the ends of DNA fragments. The signal of TdT-mediated dUTP nick-end labeling (TUNEL) was then detected using an anti-digoxigenin antibody conjugated with peroxidase. TUNEL-positive cells were counted in 5 random high-power fields per section and are reported as a percentage of positive cells in each cellular compartment.

#### 11. Statistical analysis

The statistical significance of differences between 2 groups of data was assessed using the unpaired t test in SPSS 21.0 software; a p-value of <0.05 was considered statistically significant.

#### III. RESULTS

#### 1. RTK activity in CRC cell lines

To screen for RTK activity in KRAS or BRAF mutant 10 CRC cell lines, we used a human phospho-RTK array (Figure 1A). In addition to highly activated

EGFR, several other druggable targets such as ErbB2, HGF-R, IGF-IR, FGFR1, FGFR3, and VEGFR3 were also highly activated in CRC cell lines. Various RTKs were more activated in the KRAS mutant cell lines than in the BRAF mutant cell lines (Figure 1B). Specifically, EphA7, Mer, TrkA, and FGFR1 appeared to be relatively more activated in KRAS mutant cell lines, whereas EphB2, Insulin R, IGF-IR, and VEGFR3 were relatively more activated in BRAF mutant cell lines (Figure 1C). Because FGFR is considered one of the potential targets in CRC, our results demonstrating that FGFR1 and FGFR3 are highly activated in CRC cell lines provides a strong rationale for treating CRC with an FGFR inhibitor.

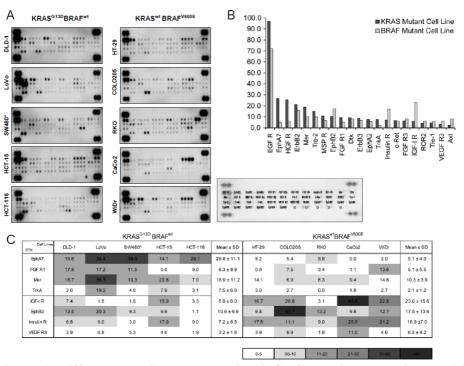


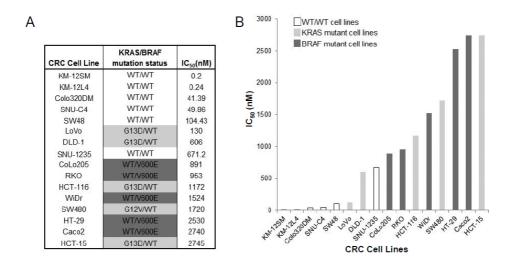
Figure 1. Differently activated RTKs in 10 CRC cell lines carrying KRAS

or BRAF mutation (A) Human phospho-RTK array was done among 10 CRC cell lines. Each RTK is spotted in duplicate and positive controls are the pairs of dots in each corner. The activated RTK levels were quantitated using GenePix Pro 4.1 software (Axon Instruments) and relative RTK intensity was calculated comparing with positive control's intensity in each membrane. (B) Among the RTKs tested, the mean values of relative RTK intensity that are the same or >5.0 are shown on the graph. RTKs appeared to be more highly activated in KRAS mutant cell lines than in BRAF mutant cell lines. (B) The 8 RTKs that were differently activated because of KRAS or BRAF mutation in the CRC cell lines are shown in the table and the heat-map. \*SW480 carries the KRAS G12V mutation, whereas the other 4 cell lines carry the KRAS G13D mutation.

#### 2. TKI sensitivity based on genetic variations

Because TKI-258 is an ATP-competitive inhibitor belonging to Class III–V RTK inhibitors that are especially sensitive against FGFRs (FGFR1/2/3, with kinase IC<sub>50</sub> values of 8–13/21/9–18 nmol/L, respectively <sup>32, 33</sup>), we used TKI-258 as a novel tyrosine kinase inhibitor (TKI) to inhibit FGFRs in CRC. First, to test whether the KRAS or BRAF mutation status affected cell viability in response to the FGFR inhibitor, we measured the anti-tumor activity of TKI-258 against 16 CRC cell lines (6 KRAS<sup>wt</sup> BRAF<sup>wt</sup>, 5 KRAS mutant cell lines, and 5 BRAF mutant cell lines) (Figure 2). CRC cell lines without any of KRAS or BRAF mutation (KRAS<sup>wt</sup> BRAF<sup>wt</sup>) were more sensitive to TKI-258.

We could not tell whether KRAS or BRAF mutation decides sensitivity to TKI-258 with this MTT assay result, but there was trend toward KRAS mutant CRC cell lines being more sensitive to TKI-258 compared to BRAF mutant (IC $_{50}$  (nM): KRAS mutant cell lines: 1274.6  $\pm$  1015.9; BRAF mutant cell lines: 1727.6  $\pm$  867.5). Immortalized normal cell lines (CCD-841COTR and CCD-18CO) were more resistant than CRC cell lines (IC $_{50}$  of 5000 nM, data not shown on figure).



**Figure 2. Anti-tumor effect of TKI-258 toward CRC cell lines** The growth inhibitor activity of TKI-258 toward CRC cell lines was determined by the cell-viability assay (MTT Assay) after 72 hours of continuous drug exposure.  $IC_{50}$  values were calculated (A). CRC cell lines without any of KRAS or BRAF mutation (KRAS<sup>wt</sup> BRAF<sup>wt</sup>) were more sensitive to TKI-258. There was a trend toward KRAS mutant CRC cell lines being more sensitive to TKI-258 compared to BRAF mutant ( $IC_{50}$  (nM): KRAS mutant cell lines: 1274.6  $\pm$ 

We compared FGFR1 mRNA and protein levels and FGFR1 activity among the CRC cell lines by using RT-PCR and western-blotting analyses; FGFR1 is considered the major target of TKI-258. There were no correlation of phosphorylated FGFR1 level and sensitivity to TKI-258 measured by MTT assay. The LoVo (KRAS  $^{\text{G13D}}$  BRAF  $^{\text{wt}}$ ) and HT-29 (KRAS  $^{\text{wt}}$  BRAF  $^{\text{V600E}}$ ) cell lines were chosen because phosphorylated FGFR1 was similarly overexpressed in these cells (Data not shown). Assays to determine in vitro anti-tumor effect of TKI-258 against LoVo (KRASG13D BRAFwt) and HT-29 (KRASwt BRAF<sup>V600E</sup>) cell lines are performed. Both cell lines showed a dose-dependent inhibition of cell growth in cell-viability assays (Figure 3A). Whereas LoVo cells were highly sensitive to TKI-258 (IC<sub>50</sub> of 130 nM), HT-29 cells were relatively more resistant to TKI-258 treatment (IC<sub>50</sub> of 2,530 nM). When we used the soft colony-formation evaluate agar assays to the anchorage-independent in vitro anti-tumor activity of TKI-258 (Figure 3B, 3C), almost no colony was formed in the case of the KRAS mutant LoVo cell line after adding 500 nM TKI-258. However, the BRAF mutant HT-29 cells were resistant to TKI-258 and showed only 3% reduction in colony formation relative to control.

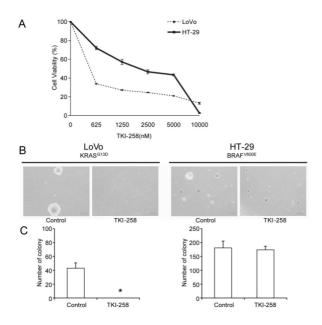


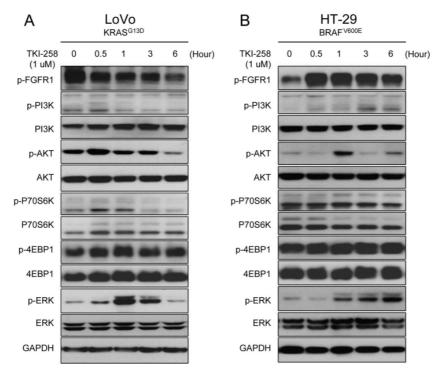
Figure 3. In vitro anti-tumor effect of TKI-258 in LoVo and HT-29 cells (A)

The GroCell-viability assay (MTT Assay) was performed using the multi-target FGFR inhibitor, TKI-258. The KRAS mutant LoVo cells (IC<sub>50</sub> = 130 nM) were more sensitive to TKI-258 than the BRAF mutant HT-29 cells (IC<sub>50</sub> = 2,530 nM). (B, C) Anchorage-independent colony-formation assay performed using TKI-258 to compare LoVo and HT-29 cell lines. Almost no colonies were formed by the KRAS mutant LoVo cells after adding 500 nM TKI-258, and the result was statistically significant; by contrast, the BRAF mutant HT-29 cell line was not affected by the drug treatment. \* p < 0.05

#### 3. Changes in downstream signaling following TKI-258 treatment

To evaluate how downstream signaling molecules are affected after drug treatment in time-course, LoVo and HT-29 cells were treated with 1  $\mu M$ 

TKI-258 *in vitro* and then examined using western-blotting analysis (Figure 4). TKI-258 showed profound, sustained inhibition of FGFR1 phosphorylation after 30-min treatment in the KRAS mutant LoVo cells, but not in the BRAF mutant HT-29 cells. In HT-29 cells, FGFR1 appeared to show increased and sustained activation after 30 min of TKI-258 treatment. Analyzing the PI3K-AKT signaling pathways revealed that TKI-258 treatment reduced the levels of phosphorylated PI3K, AKT, and P70S6K without altering the levels of phosphorylated 4EBP1 or the total expression of 4EBP1 in LoVo cells; however, no alteration in downstream signaling molecules was detected in HT-29 cancer cells. By contrast, TKI-258 treatment increased the level of activated ERK in both LoVo and HT-29 cells.



**Figure 4. Changes in downstream signaling molecules after TKI-258 treatment in LoVo and HT-29 cells** KRAS mutant LoVo and BRAF mutant HT-29 cells were treated with 1 μM TKI-258 *in vitro* to evaluate the time-dependent effect on downstream signaling molecules by using western-blotting analysis. PI3K-AKT and RAS-RAF-ERK pathways appeared to be inhibited by TKI-258 in LoVo cells, but the PI3K-AKT pathway was not inhibited in HT-29 cells and, in these cells, the RAS-RAF-ERK pathway appeared to be activated. (24 hour treatment data not shown, since cells were damaged due to long serum free status)

#### 4. In vivo efficacy and pharmacodynamic marker evaluation using TKI-258

To evaluate the anti-tumor effect of TKI-258 *in vivo*, a daily oral dose of TKI-258 of 70 mg/kg was administered to mice bearing subcutaneous LoVo or HT-29 human tumor xenografts. Contrasting the *in vitro* results, no difference in *in vivo* anti-tumor effect was observed between the 2 xenograft models featuring distinct mutation statuses: TKI-258 delayed tumor growth equally in both cell lines compared with the control group (Figure 5). No major toxicity was detected in the treated mice in both groups, and the bodyweights of these mice were not different (data not shown).

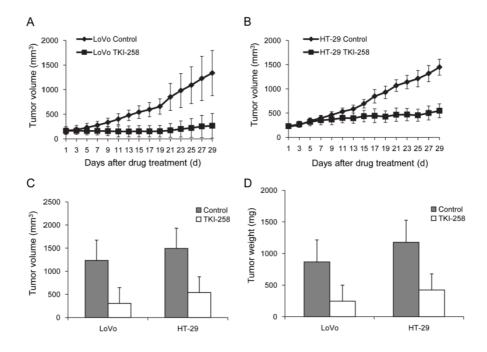


Figure 5. *In vivo* anti-tumor effect of TKI-258 in LoVo and HT-29 xenograft models (A, B) After treating with the TKI-258 (70mg/kg/d PO for 4 weeks), the *in vivo* xenograft model showed that TKI-258 delayed the growth of tumors induced by both cell lines compared with the control group (shown as Mean ± SE). However, when we precisely measured the differences in tumor volume in the control and drug-treated groups in a time-dependent manner, HT-29 xenografts appeared to be inhibited earlier than LoVo xenografts. Compared with the control groups, in the LoVo and HT-29 cell lines, tumor volume (C) and weight (D) decreased similarly following treatment with TKI-258 *in vivo*.

Next, when we evaluated the pharmacodynamics of target modulation in the tumors (Figure 6), TKI-258 treatment was found to substantially reduce the

levels of phosphorylated FGFR1 in both xenografts. Cells positive for phosphorylated AKT were not markedly reduced after the treatment, but cells positive for phosphorylated ERK were reduced in the TKI-258-treated group. This result differed from the in vitro western-blotting data, which showed that p-ERK staining was not reduced. Large areas of necrosis were also detected in TKI-258-treated tumors when compared with control tumors. When CD31 was stained to evaluate the anti-angiogenic activity of TKI-258 in vivo, the size and numbers of CD31-positive blood vessels within the tumors were observed to be diminished after the treatment in both xenografts. Furthermore, actively proliferating tumor cells that were identified using Ki-67 staining were markedly reduced in TKI-258-treated mice. Moreover, the TUNEL assay used to assess the effect of TKI-258 on apoptosis showed no change in the apoptotic index in either xenograft (Figure 7). These results suggest that TKI-258 inhibited tumor growth in vivo and that the effect of TKI-258 in target-molecule inhibition was similar in KRAS mutant and BRAF mutant cell lines. Taken together, our results suggest that the KRAS and BRAF mutation status does not affect tumor-growth inhibition and changes in target molecules induced by the FGFR inhibitor in vivo.

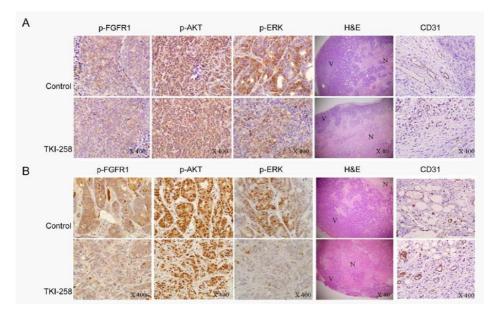


Figure 6. Immunohistochemical staining of human colon tumor xenografts

Tumors were resected and processed and slides were stained using antibodies against p-FGFR1, p-ERK, p-AKT, and CD31. H&E staining was also performed. TKI-258 treatment reduced the staining for p-FGFR1, p-ERK, and CD31 in tumor xenografts induced by both cell lines. However, p-AKT stained tumor cells were similar in the control and treated groups. Large areas of necrosis were also detected using H&E staining in the TKI-258-treated tumors when compared with the control tumors (A: LoVo; B: HT-29). All magnifications  $400\times$ , except H&E magnification  $(40\times)$ ; N = massive necrosis; V = viable tumor.

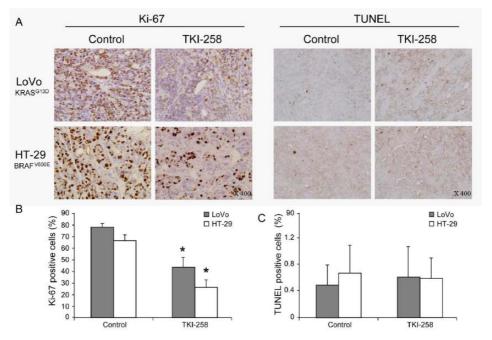


Figure 7. Ki-67 staining and TUNEL assay of xenograft models Ki-67 staining and TUNEL assay were also performed (A). TKI-258-treated mice showed a significant reduction of Ki-67-positive cells in tumors induced by both cell lines (B), but the numbers of TUNEL-positive cells were not statistically different (C). \* P < 0.05

#### IV. DISCUSSION

Numerous RTK inhibitors are currently available that target various cancers and these inhibitors are continuing to show clinical success in various cancer treatments. To treat metastatic CRC, anti-EGFR monoclonal antibodies such as cetuximab and panitumumab and anti-angiogenic agents such as bevacizumab

and regorafenib are becoming widely used. However, because certain disappointing results such as drug resistance have been obtained, more effective RTK targets are sought for CRC treatment.

We screened 10 KRAS or BRAF mutant CRC cell lines using an RTK array to evaluate which RTKs are up-regulated and to check for novel target-RTK candidates. EGFR was the single most activated RTK, and we also detected other activated RTKs such as HGFR, ErbB2, FGFR1, FGFR3, and VEGFR3, which already have inhibitors being used in other types of cancer treatment. Certain RTKs showed varying activity patterns according to KRAS or BRAF mutation status: EphA7, Mer, TrkA, and FGFR1 were highly activated in 5 KRAS mutant cell lines, and EphB2, Insulin R, IGF-IR, and VEGFR3 were highly activated in 5 BRAF mutant cell lines. Among these RTKs, although EphA7 and EphB2 belong to the same ephrin-receptor family, EphA7 was markedly upregulated in KRAS mutant cell lines and EphB2 was upregulated in BRAF mutant cell lines. Ephrin-family proteins are known to affect cytoskeletal and cell-adhesion mechanisms and thus regulate cell position and motility. Interestingly, EphA7, which acts as a tumor suppressor in follicular lymphoma <sup>34</sup>, was reported to be down-regulated in CRC relative to normal tissue <sup>35</sup>. The finding for VEGFR3 is also surprising since VEGFR3 is generally associated with lymphangiogenesis. The clinical relevance of these findings in relation to KRAS or BRAF mutation status of CRC remains unknown and further studies are warranted.

FGFR is one of the RTKs that plays key biological roles in cancer, and FGFR has emerged as a potential therapeutic target <sup>36-38</sup>. Recently, FGFR1, FGFR2, or FGFR3 overexpression in CRC was reported to result in increased growth and invasion in preclinical or clinical settings <sup>13, 15, 16, 39</sup>. In this study, 10 CRC cell lines we tested showed overexpressed FGFRs (relative RTK intensity among KRAS-mutant cell lines: FGFR1 > FGFR3 > FGFR2α; and among BRAF-mutant cell lines: FGFR3 > FGFR1 > FGFR2α; almost no FGFR4 was detected in either cell line); this result identifies FGFR as a potential target in CRC treatment. Moreover, considering that tumor progression is mediated not only by the malignant cancer cells themselves but also by surrounding non-malignant stromal cells such as fibroblasts, FGFR is considered an attractive candidate target and several drugs against this RTK family are being developed. Because the initiation and progression of most cancers rarely depend on a single growth factor or a simple genetic alteration, multi-target RTK inhibition might represent an alternative strategy to inhibit complex signaling pathways in cancer cells, even if increased toxicity is occasionally detected. Herein, we have described the anti-tumor activities of TKI-258, a multi-target angiokinase inhibitor, by inhibiting Class III, IV, and V RTKs, notably those of the FGFR, VEGFR, and PDGFR subfamilies.

We evaluated 16 CRC cell lines for their sensitivity to TKI-258 in relation to the KRAS or BRAF mutation status. Our *in vitro* data showed that TKI-258 exhibited significant anti-tumor activity against CRC cell lines without any of

KRAS or BRAF mutation, compared to CRC cell lines with any of KRAS or BRAF mutation. Among KRAS or BRAF mutant CRC cell lines, it was hard to tell which mutation type was more sensitive to TKI-258, but there was trend toward KRAS mutant CRC cell lines being more sensitive. In KRAS mutant HT-29 cells, TKI-258 inhibited PI3K-AKT activities, whereas these activities in BRAF mutant LoVo cells were not affected by the treatment. ERK appeared to be activated in both cell lines after treatment with TKI-258, which suggests that KRAS or BRAF mutation leading to the activation of the RAS-RAF-MAPK pathway might function as a resistance mechanism against the FGFR inhibitor. Studies conducted using EGFR inhibitors also suggested that drug resistance results from the KRAS mutation directly activating RAS-RAF-MAPK downstream signaling 40,41. Interestingly, ERK activation peaked at 1 hour after treatment and then appeared to diminish in LoVo cells, where p-ERK levels increased in a time-dependent manner in HT-29 cells. TKI-258's ability to inhibit multiple angiokinases might have played a role here. For instance, initial inhibition of FGFR by the drug might have blocked the PI3K-AKT pathway but activated the RAS-RAF-MAPK pathway, and the sequential delayed effects of PDGFR or VEGFR inhibition might have influenced RAS-RAF pathway to inactivate ERK subsequently. Conversely, because KRAS functions not only the RAS-RAF-MAPK pathway but also through effector-mediated cytoplasmic signaling networks including the PI3K pathway <sup>42</sup>, inhibition of the PI3K pathway by the drug might have subsequently affected RAS-RAF-MAPK signaling in KRAS mutant cells. Following TKI-258 treatment in the BRAF mutant HT-29 cells, an up-regulation of p-ERK was detected, whereas p-PI3K and p-AKT were repressed. Previously, anchorage-independent growth and tumorigenic growth of BRAF mutation-positive CRC cells were shown to depend on the function of the mutant BRAF and to depend critically on persistent ERK activation <sup>43</sup>. Furthermore, TKI-258 might have not altered PI3K pathway in the BRAF mutant HT-29 cells because HT-29 cell lines are known to carry a PI3K-activating E545K mutation, whereas LoVo cells do not exhibit any PI3K mutation.

Because our *in vitro* data revealed that LoVo cell lines were more sensitive than HT-29 cell lines to TKI-258, we expected tumors generated by implanted LoVo cells to have regressed more than tumors generated by the HT-29 cells. However, relative to control, both of the xenografts tested in our study exhibited a significant reduction in tumor growth regardless of the KRAS or BRAF mutation status. Our IHC results were also distinct from the *in vitro* immunoblotting results after TKI-258 treatment: p-ERK-positive cells were reduced but p-AKT-positive cells were similar in both xenografts, whereas the *in vitro* study showed increased p-ERK in both cell lines but reduced p-AKT in the LoVo cell line considering the crosstalk between the pathways, resulting in drug resistance. Recently, concomitant AKT/mTOR inhibition was suggested to be required for BRAF-mutant CRC <sup>44</sup>, and we could talk into account including

an mTOR inhibitor as a combination partner in further studies. Treatment with the FGFR inhibitor has been reported to reduce Ki67 staining and increase TUNEL staining in prostate cancer cells and head and neck cancer cells, implying that the inhibitor not only suppressed cell proliferation but also induced apoptosis <sup>45, 46</sup>. In our result, TKI-258 treatment inhibited cell proliferation and angiogenesis but did not induce apoptosis. This disparity could be explained related to apoptosis induction as the distinct origins of the tumor and the inhibition of different subclasses of FGFRs.

One explanation of the *in vitro* and *in vivo* differences might be from the innate difference of two systems including the delayed effect of the drug, because we used a 4-week treatment in the xenograft study. Another possible explanation is the ability of TKI-258 to target multiple RTKs and its effect on the tumor microenvironment: TKI-258 targets not only FGFRs but also VEGFR and PDGFR. A key factor of the tumor microenvironment is an abnormal but abundant vasculature, which supplies sufficient nutrients and oxygen for sustenance and ensures tumor progression <sup>47</sup>. The VEGFR-inhibiting feature of the multi-target inhibitor TKI-258 might play a critical role in equally suppressing proliferation of both KRAS and BRAF mutated tumor cells *in vivo*; we observed that CD31-stained vessel size and numbers were diminished after treatment with TKI-258. In addition, not only VEGFR but also FGFR signaling potently affects the microenvironment, because one of the well-known direct effects of FGF signaling is the promotion of angiogenesis through endothelial

cells and other vascular cells <sup>48</sup>. Hence, TKI-258 will show anti-angiogenic effect not only by inhibiting VEGFRs, but also by inhibiting FGFRs.

FGF and FGFRs are considered to act synergistically with the VEGF pathway to promote neovascularization, implying one mechanism of adaptive resistance to VEGF inhibitor at least in renal cell carcinoma or pancreatic cancer <sup>49</sup>. Because EGFR and VEGF inhibitors are the only approved RTK inhibitors widely used to treat CRC, TKI-258 targeting FGFRs might show promising results among CRC patients who are refractory to EGFR or VEGF inhibitors. Previously, LoVo and HT-29 cells were reported to be resistant to the EGFR inhibitor cetuximab *in vivo* <sup>50</sup>. However, our results showing that these cell lines are sensitive to TKI-258 suggest that the resistance to the EGFR inhibitor might be overcome by using TKI-258.

#### V. CONCLUSION

Our results indicate that treatment with the multi-target angiokinase inhibitor TKI-258 was effective in KRAS mutant LoVo cells but not BRAF mutant HT-29 cells *in vitro*. However, our *in vivo* studies showed that KRAS and BRAF mutant xenograft tumors were both inhibited by TKI-258 treatment, which might have resulted from the angiogenesis-suppressing effect of the multi-target inhibitor TKI-258. Moreover, our results revealed the possibility of using TKI-258 to overcome resistance to EGFR or VEGF inhibitors in CRC. In

conclusion, FGFR is an effective target for CRC treatment regardless of KRAS or BRAF mutation and our results suggest that combined targeting of multiple RTKs, especially FGFR and VEGFR, might be a novel approach to improve the outcome of CRC patients, possibly among selected patients in whom FGFR is overexpressed or amplified.

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#### ABSTRACT(IN KOREAN)

Dovitinib (TKI-258)의 KRAS 또는 BRAF 돌연변이 세포주에서의 효용

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이 충 근

본 연구는 대장암의 치료성적을 향상시키기 위해, 다중 표적 RTK 억제제인 dovitinib (TKI-258)을 사용하여 KRAS 나 BRAF 돌연변이에 따른 대장암세포의 감수성 차이를 확인하고자 하였다. 저자는 RTK array를 사용하여 10개의 대장암 세포주를 검사하여 활성화된 RTK를 규명하였다. In vitro 항종양 효과를 분석하기 위하여 MTT 분석, 부착 비의존성 군체 형성 실험. Immunoblot 분석을 시행하였으며, in vivo 이종이식 연구를 진행하였다. 대장암 세포주에서 FGFR1과 FGFR3는 가장 활성화된 RTK 중 하나였다. In vitro 실험에서 BRAF 돌연변이 HT-29 세포는 KRAS 돌연변이 LoVo 세포에 비해 TKI-258 에 더 저항성이 컸다. 그러나 in vivo 이종이식 연구에서 TKI-258 치료는 대장암 세포에서 KRAS 혹은 BRAF 돌연변이 상태와 무관하게 대장암의 생성을 효과적으로 억제하였다. 본 연구결과, FGFR 이 추후 대장암 치료에서 중요한 표적이 될 것임을 시사하며, 대장암 환자의 생존을 늘리기 위해 다중 표적 RTK 억제제를 사용해 볼 수 있을 것으로 생각된다.

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핵심되는 말 : 대장암, FGFR, KRAS, BRAF, Dovitinib(TKI-258)