

Transient retinoic acid signaling confers anterior-posterior polarity to the inner ear

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Edited* by Brigid L.M. Hogan, Duke University Medical Center, Durham, NC, and approved November 11, 2010 (received for review July 19, 2010)

Vertebrate hearing and balance are based in complex asymmetries of inner ear structure. Here, we identify retinoic acid (RA) as an extrinsic signal that acts directly on the ear rudiment to affect its compartmentalization along the anterior-posterior axis. A rostrocaudal wave of RA activity, generated by tissues surrounding the nascent ear, induces distinct responses from anterior and posterior halves of the inner ear rudiment. Prolonged response to RA by posterior otic tissue correlates with *Tbx1* transcription and formation of mostly nonsensory inner ear structures. By contrast, anterior otic tissue displays only a brief response to RA and forms neuronal elements and most sensory structures of the inner ear.

axial specification | developmental compartments | morphogen

Normal hearing and balance require that discrete patches of mechanosensory hair cells, each with a distinct function, be precisely positioned within the asymmetric membranous labyrinth of the inner ear (Fig. 1A). Five vestibular sensory patches are present in all vertebrate inner ears: the three cristae (anterior, lateral, and posterior) that detect angular head movements and two maculae (utricle and saccule) that detect linear acceleration. The specialized organ for detecting sound in chickens and mammals is the basilar papilla and organ of Corti, respectively.

The entire membranous labyrinth and its innervating neurons are derived from an ectodermal thickening adjacent to the hindbrain known as the otic placode. As the placode deepens to form a cup and then pinches off to form the otocyst, some cells of the otic epithelium delaminate to form neuroblasts of the cochleovestibular ganglion (CVG). Inner ear sensory organs, and the neurons that innervate them, are thought to arise from a neural-sensory competent domain (NSD), most of which is located in the anterior region of the otic cup (1). By contrast, posterior otic epithelium forms nonsensory tissues and only one sensory organ, the posterior crista. This basic organization of functional elements in the ear is thought to be governed by signals emanating from adjacent tissues (2, 3); however, molecular mechanisms that establish the initial anterior-posterior (A-P) asymmetry of the ear primordium are poorly defined. Here, we show that a rostrocaudal wave of retinoic acid activity provides signals to the ear rudiment and establishes structural asymmetries required for normal hearing and balance.

Results

Ectoderm Adjacent to the Otic Cup Confers A-P Polarity to the Otocyst. A clear manifestation of A-P asymmetry in developing amniote ears is the anterior expression of transcripts associated with cochleovestibular ganglion neurogenesis. We performed tissue transplantations in ovo to identify source(s) of signals that specify the otic A-P axis in the chicken. Transplantations were carried out at the otic cup stage (11–15 somite stages), before the otic A-P axis is specified (4). As expected, reversing the A-P orientation of the otic cup alone resulted in a high occurrence of otocysts with the axial plan of the host (Fig. 1C, D, and G and Fig. S1A). However, a small percentage of transplants had either a posterior duplication of the NSD (double anterior) (Fig. S1F

and I) or a single posterior NSD, suggestive of an A-P inversion (Fig. 1G).

We hypothesized that A-P polarity inversion was due to an unintended transfer of the donor's A-P inductive signal into the host along with responding otic tissue. Because changing the A-P axis of the hindbrain has no apparent effect on A-P patterning of the inner ear (4), we modified our transplantation protocol to include ectoderm and underlying mesoderm adjacent to the otic cup (Fig. S1C). This modification increased the occurrence of A-P inversion (Fig. 1G and Fig. S1E and H). Similarly, an increased occurrence of A-P inversion was obtained when ectoderm but not mesoderm was included in the otic cup transplant (Fig. 1B and E–G), indicating that an activity within the periotic ectoderm influences A-P patterning of the inner ear.

To identify this activity, we sought candidate genes that are asymmetrically expressed in periotic ectoderm. Retinoic acid (RA) signaling has been implicated in patterning of the hindbrain and other embryonic structures (5–7). The location of the otic placode within a gap between domains of *retinaldehyde dehydrogenase2* (*Raldh2*), the earliest and most widely expressed gene encoding a RA-synthesizing enzyme, and *Cyp26* genes (*Cyp26A1*, *Cyp26B1*, and *Cyp26C1*), which encode P450-associated RA-catabolizing enzymes (8, 9), suggested a possible involvement of RA signaling in specifying the otic A-P axis (Fig. 2A, B, D, and E). Our expression analyses confirmed previous reports of *Raldh2* and *Cyp26s* in tissues adjacent to the otic epithelium and showed *Cyp26C1* to be expressed in rostral but not caudal periotic ectoderm (Fig. 2C) (8, 9).

Responsiveness of the Otic Epithelium to RA Changes over Time. To determine whether otic tissue responds to RA, we used the transgenic mouse strain RARE-*lacZ*—in which *lacZ* is driven by a RA responsive element (10)—to assay for reporter expression within the otic epithelium. At embryonic day (E) 8.25, the anterior border of β -gal activity lies at the border of rhombomeres 4 and 5, rostral to the location of the otic placode (Fig. 3A and B; ref. 11). One-half day later, β -gal staining is detectable only in the posterior half of the otic cup (Fig. 3C–F), and by E9.5, β -gal is absent from the otocyst (Fig. 3G and H). This gradual withdrawal of RA responsiveness, first from anterior otic tissue and then from posterior otic tissue, is a likely consequence of caudally shifting boundaries of *Raldh2* and *Cyp26* expression surrounding the ear (12).

Author contributions: J.B. and D.K.W. designed research; J.B., S.R., K.-A.K., S.K.K., and D.K.W. performed research; U.C.D. contributed new reagents/analytic tools; J.B., S.R., K.-A.K., S.K.K., and D.K.W. analyzed data; and J.B., S.R., U.C.D., and D.K.W. wrote the paper.

The authors declare no conflict of interest.

*This Direct Submission article had a prearranged editor.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1010547108/-DCSupplemental.

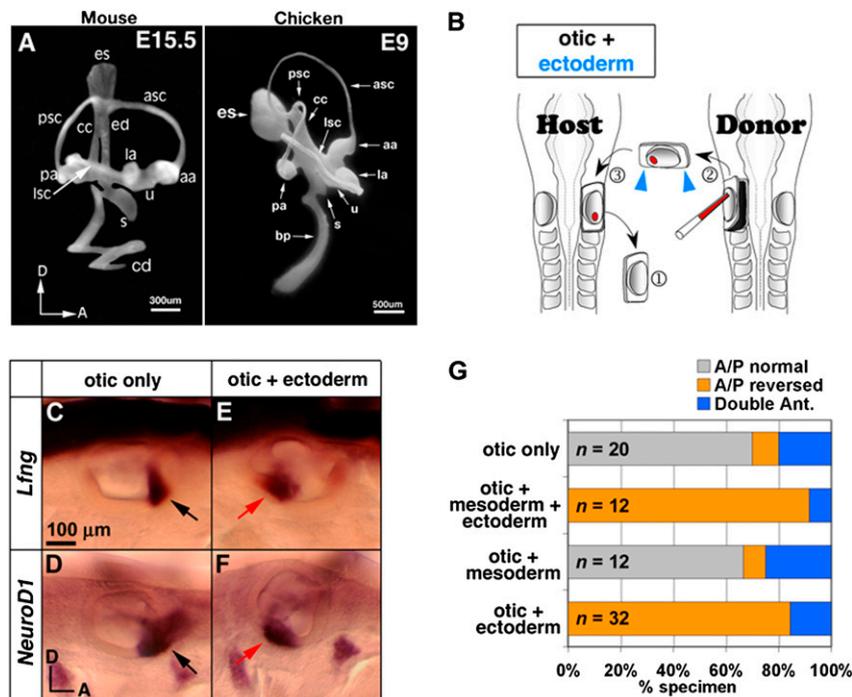


Fig. 1. (A) Paint-filled inner ears from mouse and chicken embryos. (B) The replacement of a host's right-sided otic cup with a donor's left-sided otic cup, including adjacent ectoderm (blue arrowheads). (C and D) Transplanting an otic cup alone results in normal patterning of anterior inner ear neurosensory markers, *Lfng* and *NeuroD1* (arrows). (E and F) Transplanting an otic cup plus adjacent ectoderm causes an inversion of *Lfng* and *NeuroD1* patterning (red arrows). (G) Percentages of samples from various surgical conditions with a normal or inverted A-P axis, or duplicated anterior domains. aa, anterior ampulla; asc, anterior semicircular canal; bp, basilar papilla; cd, cochlear duct; ed, endolymphatic duct; es, endolymphatic sac; la, lateral ampulla; lsc, lateral semicircular canal; pa, posterior ampulla; psc, posterior semicircular canal; s, saccule; u, utricle.

RA Confers Posterior Identity to the Inner Ear. Administering RA to timed-pregnant RARE-*lacZ* mice at E7.75 (before otic placode formation) induced widespread *lacZ* activity throughout the embryo, including the entire otocyst, within 1 d (10). Similar administrations of RA to wild-type mice at E7.75, E8.25, and E8.5 down-regulated anterior expression of *Lunatic fringe* (*Lfng*) and *NeuroD1* in the otocyst (Fig. 4 A, B, D, E, G, and H) and caused ectopic anterior expression of *Tbx1*, which is normally expressed selectively in the posterior otic region (Fig. 4 C, F, and I). RA administered at the later gestational times caused less

severe dysmorphology (Fig. 4 G–I) and fewer ears with altered gene expression patterns (Table S1). These results support the idea of a temporal window during which the ear rudiment is most sensitive to RA.

We next assayed for posteriorizing activity of RA on the ear rudiment of chicken embryos by implanting RA-soaked beads into the mesenchyme anterior to the otic cup at E1.5. An anterior source of exogenous RA reduced or abolished *Lfng* and *NeuroD1* expression in the otocyst (Fig. 4 J, K, M, and N) and induced ectopic expression of posterior otic genes *Tbx1* (Fig. 4 L and O) and *SOH1* ($n = 11$) in the anterior otocyst. Implanting an RA-soaked bead posterior to the otic cup yielded similar results, indicating that otic patterning is highly sensitive to differences in effective RA concentration at a distance from the RA source. Interestingly, posterior implantation of RA-soaked beads 12–15 h later in development (E2) did not cause an expansion of the *Tbx1* expression domain, once again indicating that the competence of otic tissue to respond to RA is developmentally regulated. These results in both mouse and chicken strongly suggest that the changing patterns of RA responsiveness we characterized in the RARE-*lacZ* mouse (Fig. 3) reflect functionally relevant events in ear development.

RA bead implantations also caused posteriorization of the hindbrain, indicated by anterior expansion of genes normally expressed in the posterior hindbrain and down-regulation of genes associated with the anterior hindbrain (Fig. S2A–F). To test whether the RA-induced inner ear phenotypes are indirect phenomena resulting from RA-induced changes in the hindbrain (Fig. S2A–F; ref. 13), we surgically altered rhombomere patterns to mimic the molecular changes brought about by RA bead implantation. This alteration was accomplished by replacing the segment of hindbrain adjacent to the ear (r4–r6) with a block of caudal neural tissues containing r7 and spinal cord (Fig. S3). Such

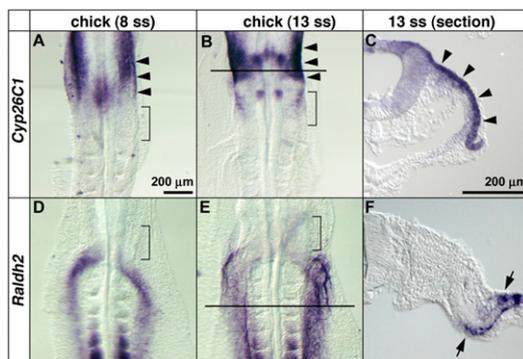


Fig. 2. Expression patterns of *Raldh2* and *Cyp26C1* in chicken embryos. *Cyp26C1* is expressed in the ectoderm anterior to the otic placode/cup region (A–C, arrowheads), whereas *Raldh2* is expressed in the mesodermal tissues caudal to the otic tissues such as somites and the lateral mesoderm (D–F, arrows). Brackets indicate the location of the otic placode/cup. Weak *Cyp26C1* expression in the otic region in B is associated with the mesoderm beneath the otic cup.

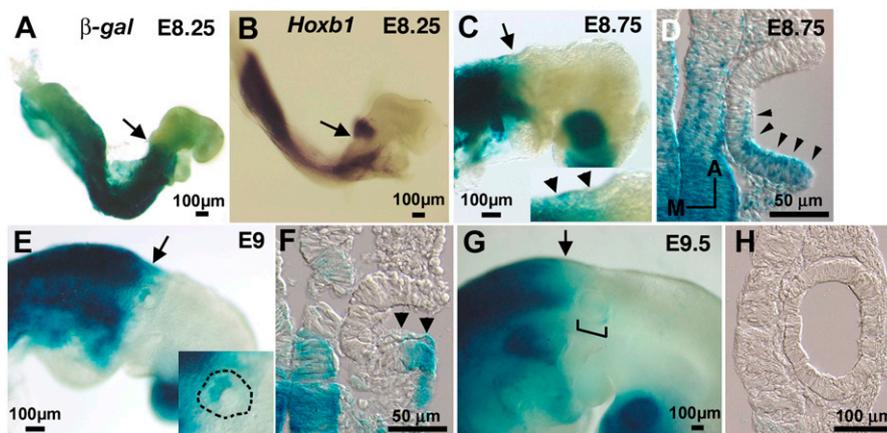


Fig. 3. β -Gal histochemical staining in RARE-*lacZ* embryos. (A and C–H) β -Gal histochemical staining at E8.25 (A), E8.75 (C and D), E9 (E and F), and E9.5 (G and H). Arrow in A indicates the anterior border of β -gal staining at E8.25, which is comparable to the r4/r5 boundary indicated in B (arrow) as assessed by *Hoxb1* expression. Arrows in C, E, and G indicate the anterior-most extent of β -gal staining, which lies at the r5/r6 boundary at E8.75 (C inset and D) and posterior to r6 by E9.5 (G); arrowheads in C, D, and F indicate the anterior-most extent of otic epithelial β -gal staining. Otocyst: E Inset, dashed line, and G, bracket.

hindbrain operations failed to generate gene expression changes in ears similar to those with RA implantations (Fig. S3), suggesting that the effects of localized exogenous RA on inner ear development are independent of hindbrain-inner ear signaling.

If exogenous RA posteriorizes otic tissue, then blocking endogenous RA should have the opposite effect: namely, to anteriorize posterior otic tissue. Endogenous RA signaling can be blocked by using citral, an inhibitor of *Raldh* activity (14). Implanting a citral-soaked bead posterior to the otic cup down-regulated expression of posterior otic genes *Tbx1* and *SOH1* (Fig. 5 A–E, brackets). In contrast, expression domains of the anterior genes *Lfng* and *NeuroD1* were duplicated or expanded into the posterior otic region (Fig. 5 F–K, red arrows). Interestingly, citral-bead implantations did not cause observable gene expression changes in the hindbrain (Fig. S2 G–J) (15), again suggesting that the effects of this perturbation on the inner ear are not mediated by the hindbrain.

The longer-term effects of early exogenous RA on inner ear development were determined at E7, when all gross structures are normally distinguishable (ref. 16 and Fig. 6A). Embryos in which an RA bead was implanted anterior to the otic cup had inner ears resembling a mirror image duplication of two posterior halves, each half consisting of a posterior-like canal and ampulla (Fig. 6 B and C). Anterior structures such as the anterior ampulla/canal, utricle, and saccule were missing (Fig. 6A, red labels). The lateral ampulla and canal, both of which are considered anterior structures (17), were also absent. The cochlear duct, composed of both A-P and medial-lateral components (1), was misshaped (Fig. 6 B and C). Consistent with these results, similarly treated embryos left to develop until E9 and immunostained for hair cells had inner ears with only two sensory patches resembling posterior cristae (Fig. 6 E and F).

RA Induction of Otic *Tbx1* Transcription Occurs Rapidly and Independently of Protein Synthesis. The T-box transcription factor gene *Tbx1* is implicated in the establishment of posterior otic identity (18, 19), making it a likely mediator of RA's effect on otic tissue. We therefore tested whether RA directly activates *Tbx1* transcription in otic epithelial cells, which would require that the response to exogenous RA be rapid and independent of protein synthesis. Indeed, *Tbx1* was up-regulated within 3 h of RA bead implantation (Fig. 7 B and C). Pretreating chicken embryos to inhibit protein synthesis (20) did not block this rapid RA-induced up-regulation of *Tbx1* in otic tissue (Fig. 7 E and F). In contrast, RA-induced down-regulation of mesodermal *Tbx1* in

these same embryos, which has been shown to require protein synthesis (21), was blocked (Fig. 6 C and F, bracket), verifying that protein synthesis was inhibited in our experimental system. These results suggest that exposure to RA posteriorizes the rudimentary ear at least, in part, through direct transcriptional activation of *Tbx1*.

Low Concentrations of RA Are Required for Proper Anterior Gene Expression. Our finding that some critical concentration of RA is necessary and sufficient for posteriorizing the otic epithelium is consistent with gene expression analyses showing a close proximity of the otic posterior pole to mesodermal *Raldh2* expression (Fig. 2). However, developmental analyses of RARE-*lacZ* staining revealed an early, albeit brief, responsiveness of the anterior otic placode to endogenous RA (Fig. 3A). Furthermore, the inversion of A-P polarity achieved by rotating otic cup plus surrounding periotic ectoderm (Fig. 1 B and G) could be due to a posterior translocation of the rostral *Cyp26C1*-positive ectoderm, which might reduce the effective local RA concentration to a level suitable for stabilizing the anterior neural fate. We therefore asked whether a low concentration of RA (relative to that present posteriorly) promotes anterior otic identity. Presentation of low RA concentrations to the anterior otic epithelium during normal development could be due to distance from the mesodermal *Raldh2* source, proximity to the catabolizing activity of rostral *Cyp26* gene products, or both. The activity of a catabolic "sink" may be of particular importance in controlling RA activity for ear development, given that RA synthesis unrelated to known sites of *Raldh1-3* expression has been reported in the neural tube anterior to the otic placode (22). We therefore sought to reduce the effective concentration of endogenous RA near the anterior otic cup by rostral implantation of a citral bead. This implantation resulted in a near complete loss of the anterior neurosensory marker *Lfng* and down-regulation of *NeuroD1* (Fig. 5 L and M), indicating that some effective concentration of RA activates or potentiates gene expression associated with anterior otic identity.

Discussion

Retinoic Acid Specifies the A-P Axis of the Inner Ear. In invertebrates, compartments and boundaries are thought to drive pattern formation. Cells within the embryo and primordial larval structures such as the wing imaginal disk of *Drosophila* are organized into compartments based on positional information in the form of morphogen gradients. Each compartment is then stabilized by the

relative to the host, but the other axes (D-V and medio-lateral) were unchanged. Before transplantation, 0.05% CM-Dil (Molecular Probes) in 300 mM sucrose solution was injected into the anterior region of the otic cup of the donor for orientation and tracking. Only embryos with appropriately transplanted tissues were used for further analyses.

Bead implantation and cycloheximide pretreatment. Bead implantation was carried out as described with minor modifications (41, 42). For delivery of RA (Sigma), AG1-X2 beads (Bio-Rad) were soaked in 0.5 mg/mL RA. For delivery of Citral (an inhibitor of retinaldehyde dehydrogenases; Sigma), SM2 beads (Bio-Rad) were soaked in 0.4 g/mL Citral solution diluted in DMSO. Anterior bead implantations were conducted by making a slit in the ectoderm rostral to the right otic cup, at the level of rhombomere 3/4 boundary, whereas posterior bead implantations were performed by making an incision in the ectoderm between the posterior otic cup and the first somite. A single bead soaked with specific reagents was pressed down into the slit by using the tip of a forcep, and implanted embryos were further incubated and harvested for whole mount in situ hybridization at E2.5–E3, paint fill analysis at E7, or anti-HCA (hair cell antigen) staining at E9 (17).

To inhibit protein synthesis, cycloheximide solution (2 mg/50 mL Tyrode's solution) was applied onto the chorioallantoic membrane of chicken em-

bryos 2 h before bead implantations. Control embryos received 50 mL of Tyrode's solution alone.

RARE-LacZ Mice and RA Administration. RARE-*lacZ* mouse strain was generated by J. Rossant (10). RA solution emulsified in corn oil was administered to mice by gavage (50 mg/kg of body weight) between E7.75 and E8.5. Embryos were harvested at E9.5 and analyzed by whole-mount in situ hybridization or β -galactosidase histochemical staining. All animal procedures were approved and conducted according to the National Institutes of Health Animal Use and Care Committee guidelines.

Whole-Mount in Situ Hybridization and β -Galactosidase Staining. Whole-mount in situ hybridization and β -galactosidase histochemical staining were carried out as described (10, 43). Details of probes used are available upon request.

ACKNOWLEDGMENTS. We thank Drs. Robert Morell and Andrew Griffith for critical reading of the manuscript. This work was supported by the National Institute on Deafness and Other Communication Disorders Intramural Program, faculty research Grant 6-2008-0102 from Yonsei University College of Medicine (to J.B.), and Basic Science Research Program Grant 2010-0015354 through the National Research Foundation of Korea (to J.B.).

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