

Effects of transplantation of human
embryonic stem cells on functional
recovery in spinal cord injured rats

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Directed by Professor Chang Il Park

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ABSTRACT

Effects of transplantation of human embryonic stem cells on
functional recovery in spinal cord injured rats

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(Directed by Professor Chang Il Park)

This study was carried out to investigate the functional recovery following the transplantation of human embryonic stem (hES) cells after a spinal cord injury (SCI). Sprague-Dawley rats were anesthetized with sodium pentobarbital and subjected to the SCI model. SCI was induced using the NYU impactor. The hES cells were transplanted 1 week after a SCI. The hES cells transplanted into the rats were found to promote the hind limb performance 8 weeks after transplantation. In the electrophysiological study, the transplanted rats showed significantly shortened latencies and increased amplitudes of motor and somatosensory evoked potentials, compared to the media-treated rats. In the spinal cord of the hES cell-treated group, the pathological findings including the glial scar formation and degenerative changes were attenuated and the human Tau protein-positive cells were identified in the vicinity of the necrotic cavity and in the white matter. These results suggest that the transplantation of hES cells might play a role in promoting the functional recovery after a SCI.

Key words : spinal cord injury, human embryonic stem cells, transplantation,
functional recovery

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I. INTRODUCTION

It has been shown that two separate components, the primary and secondary injuries, are combined to produce a neurological injury in an acute spinal cord injury (SCI).¹ The primary injury is the initial mechanical insult and succeeded by the secondary injury, i.e. biochemical and cellular alterations that promote progressive tissue damage, largely mediated by ischemia and aberrant calcium influx into neurons.² Both the primary and secondary injury cascades produce cell death both in the neuronal and supporting cell tissues, resulting in permanent functional deficits.

Recovery from SCI is quite difficult owing to the limited ability of the vertebrate central nervous system to regenerate injured cells, replace damaged myelin sheath and reestablish functional neuronal connections. So many patients with SCI, who are quadriplegics or paraplegics, have difficulty in walking and cannot help being dependent to accomplish the activities of daily living for their remaining years.

Recently, as a therapeutic approach in SCI, stem cells provide a partial

solution for the treatment of SCI because they are genetically normal, multipotential and capable of indefinite replication. In 1999, Brustle et al.³ reported that transplantation in a rat model of a human myelin disease showed that embryonic stem cell-derived glial precursors interacted with host neurons and efficiently myelinated axons in spinal cord. Similarly, Akiyama et al.⁴ examined the myelin repair potential of transplanted neural precursor cells derived from the adult human brain from tissue removed during surgery. They also suggested that transplantation of these cells into the demyelinated rat spinal cord resulted in extensive remyelination and the remyelinated axons conducted impulses at near normal conduction velocity. McDonald et al.⁵ transplanted neural differentiated mouse embryonic stem cells into a rat spinal cord after traumatic injury. They reported that 2-5 weeks later, transplanted cells survived and differentiated into astrocytes, oligodendrocytes and neurons, furthermore transplanted rats showed hindlimb weight support and partial hindlimb coordination.

Human embryonic stem (hES) cells derived from the inner cell mass of blastocyst-stage embryos are more totipotent.^{6,7} These hES cells have a remarkable proliferative capacity and stability in a long-term culture⁸ and can differentiate into various types of cells,^{6,7} including hematopoietic precursors, heart and skeletal muscle, endothelium, and neural cells. Therefore, hES cells may be a potential source for cell therapy in a central nervous system with different type of injuries.

This study was carried out to investigate whether hES cells could regenerate to replace lost neuronal cells, remyelinate the damaged axons and restore the function of injured rat spinal cord.

II. MATERIALS AND METHODS

1. The establishment of an animal model

Male adult Sprague-Dawley rats (Daehan Biolink, Chungbuk, Korea) weighing 300-350 g at the time of surgery were used in this study. The animals were housed in a facility fully accredited by the Association for Assessment and Accreditation of Laboratory Animal Care (AAALAC). The temperature and humidity were kept constant at 22 ± 2 °C and $50 \pm 10\%$, respectively. Food and water were available ad libitum. The institutional Review Board in Yonsei University approved all the experimental procedures and the NIH guidelines were followed.

An acute incomplete spinal cord injury was induced using the NYU weight-drop device. The rats were anesthetized with pentobarbital (50 mg/kg, i.p.) and a laminectomy was performed at the T9 spinal level. The exposed dorsal surface of the cord was then subjected to a weight-drop impact. The moderately contused incomplete SCI models were obtained by dropping a 10 g weight impact rod from a 25 mm height. The contusion impact velocity and compression rate were monitored in order to guarantee the consistency between animals. During their recovery, the rats' rectal temperatures were maintained at 37°C by a feedback-regulated heating pad. The postoperative nursing care included a bladder expression twice a day. Prophylactic gentamycin sulfate (1 mg/kg) was regularly administered for a week.

2. Behavioral assessment after SCI

A behavioral test was performed to measure the functional recovery of the hindlimbs of the rats. The open field testing procedure was previously described by Basso et al.⁹ The rats were gently adapted to the open field which was a molded-plastic circular enclosure with a smooth, nonslip floor (90 cm diameter; 21 cm wall height). Once a rat walked continuously in the open field, three investigators conducted the 5 min testing sessions on each leg. The open field test was performed on all the animals at least once a week from day 1 to 9 weeks after surgery.

3. Culture of hES cells

The hES cells (SNU-hES3: Korea Stem Cell Research Center registered cell line) were maintained in DMEM/F12 (Life Technologies, Germany) supplemented with 20% (v/v) serum replacements (Life Technologies, Germany), 100 iu/ml penicillin (Life Technologies, Germany), 100 g/ml streptomycin (Life Technologies, Germany), 0.1 mM nonessential amino acids (Life Technologies, Germany), 0.1 mM mercaptoethanol (Sigma, St. Louis, MO, USA) and 4 ng/ml basic FGF (Life Technologies, Germany). The hES cell colonies were cultured on a feeder layer of mouse STO cells pre-treated with mitomycin C (Sigma, St. Louis, MO, USA). The hES cell colonies were isolated mechanically and dissociated to single cells using trypsin-EDTA 1X (Life Technologies, Germany) prior to transplantation.

4. Transplantation of hES cells

After the behavioral test was performed for 1 week after the SCI, the rats were assigned into three groups without bias (one media-treated and two hES cell-transplanted groups) and were anesthetized with halothane (1:2, N₂O:O₂). Using a capillary glass tube, 5 µl of cultured hES (5×10^3 or 2×10^4) cells was transplanted into the epicenter of the injury in two transplantation groups. In a preliminary study, 5×10^4 hES cells were transplanted into injured rat spinal cord. It showed that the transplanted hES cells led to formation of teratomas in many cases. So the amount of the transplanted hES cells in this study should be reduced than 5×10^4 to prevent tumor formation. The media-treated group received a 5 µl culture medium injection into the epicenter of the injury. In order to prevent immune rejection, all rats received cyclosporine A (10 mg/kg) daily from 2 days before the transplant.

5. Electrophysiological study after transplantation of hES cells

A. Animal preparation

At 8 weeks after transplantation, the electrophysiological evaluation was performed including somatosensory evoked potentials (SSEP) and motor evoked potentials (MEP). The animals were anesthetized with urethan given by intraperitoneal injection (1.25 g/kg). Each animal was also given atropine sulfate (0.8 mg/kg) to reduce tracheal secretions. Using a surgical microscope,

the right femoral artery and vein were catheterized and the trachea was intubated with tracheostomy. Pancuronium bromide (1.0 mg/kg) was then perfused through the femoral vein to induce muscle relaxation. The rat was then artificially respired using a small animal respirator (Harvard Apparatus, South Natick, MA, USA) and expiratory CO₂ was maintained within the physiological range using a capnometer (Model 2200, Traverse Medical Monitors, Saline, MI, USA). Each animal was then placed on a stereotaxic device (Narishige Scientific Instrument Laboratory, Setagaya-Ku, Tokyo, Japan) and rectal temperature was maintained between 36.0 and 37.0 C using a feedback controlled heating blanket (Harvard Apparatus, South Natick, MA, USA).

B. Recording of somatosensory evoked potentials (SSEP)

The left sciatic nerve was exposed and isolated. A pair of electrodes, a proximal cathode and a distal anode, was hooked around the nerve. A single square pulse of electrical stimulus was delivered by a stimulus isolator (A365D or A365, World Precision Instruments, New Haven, CT, USA), which was driven by a pulse generator (Pulsemaster A300, World Precision Instruments, New Haven, CT, USA). The pulse duration of stimuli was 0.1 msec and the intensity was 6 mA at 1-4 Hz.

For the SSEP recording, craniectomy was performed in contralateral frontoparietal area. The exact area of craniectomy was 4 mm lateral to sagittal suture and 4 mm posterior to bregma. The special recording electrode (NE-120, Rhodes Medical Instruments, Distributed by David Kopf Instruments, Tujunga, CA, USA) was fixed on the sensorimotor cortex at 2 mm lateral to sagittal

suture and 2 mm posterior to bregma. This electrode consisted of a round plate, which was 1.4 mm in diameter with a 0.2 mm shaft that was 3 mm in length and protruded from the center of the plate. The electrode was insulated with the exception of the tip of the shaft (0.2 mm) and the bottom surface of the round plate. The pointed tip of the electrode with a 0.5 mm long exposed area was inserted into the cerebral cortex perpendicular to the cortical surface. This enabled gentle contact of the round flat surface with the cortical surface.

C. Recording of motor evoked potentials (MEP)

It was necessary to increase the area of stimulation in the motor cortex area in order to properly monitor the MEP with low stimulus intensity. For this purpose, the special electrode was used, which was already used for recording of the SSEP in this study. In cortical stimulation, the round area of the electrode was the anode and the pointed tip was the cathode. This electrode was designed to keep the cathode and the anode close together in order to prevent current spread. In addition, there was also a large enough surface for activating a large number of pyramidal neurons with a low intensity electrical current. Furthermore, the direction of current flow was designed to be the same as the projection of the pyramidal cell bodies. The sensorimotor cortex (2 mm lateral and 2 mm posterior to bregma), where the anode was placed, had almost flat geometry due to the rat having no sulci in the frontoparietal cortical area. The electrode was placed using a micromanipulator under microscopic guide, which enabled us to direct the cathode vertical to the cortical surface. A single square pulse (0.1 msec pulse duration and 6 mA intensity) of electrical stimulus was

delivered by a stimulus isolator (A365D or A365, World Precision Instruments, New Haven, CT, USA), which was driven by a pulse generator (Pulsemaster A300, World Precision Instruments, New Haven, CT, USA).

For MEP recording, Laminectomy was performed at L1 spinal level. The shape and specifications of recording electrodes were the same as those of the stimulating electrodes. Following the laminectomy, the electrode was inserted into the contralateral gray matter of the spinal cord near the motor conduction tracts. The pointed tip of the electrode was used as active and the rounded part of the electrode was used as a reference electrode.

The analog signals of the evoked potential were amplified by AC amplifier (Model RPS 107, Grass Instrument Co., Warwick, RI, U.S.A), filtered (bandpass 1,000-10,000 Hz), and averaged on an IBM-compatible personal computer system equipped with Spike 2 software (CED, UK). Each SSEP and MEP consisted of an average of 80-100 single sweep epochs. In order to minimize the effect of an anesthetic on the evoked potentials, recording commenced at least 20 min after injection of the anesthetic.

The threshold of electrical stimulation was first determined in each experiment. The effect of the stimulation intensity was analyzed on the wave forms and latencies.

6. Histological examination

For the histological examination, the animals were anesthetized with pentobarbital and perfused transcardially with 150 ml of normal saline followed by 600 ml of 4% paraformaldehyde in a 0.1 M phosphate buffer 8 weeks after

transplantation. The spinal cord was removed and stored in the same fixatives for 2 hours and then processed for paraffin embedding or a cryosection.

For a pathological assessment, the spinal cords were subjected to paraffin embedding and Luxol fast blue-cresyl violet staining. The spinal cord sections were cut to an 8 μm thickness, deparaffinized and incubated with 1% Luxol fast blue (Chameleon Chemical reagent, Osaka, Japan) overnight, and differentiated with 0.05% lithium carbonate. The sections were incubated with 0.1% cresyl violet (Sigma, St. Louis, MO, USA) for 6 min and rinsed with 95% ethanol, mounted and observed using an optical microscope.

The survival and differentiation of the transplanted hES cells into neuronal components were confirmed using double immunofluorescence for the human Tau protein, which is a class of microtubule associated proteins, and the glial fibrillary acidic protein (GFAP). Double labeling with the microtubule associated protein 2 (MAP2) and synaptophysin was also used to observe the neurons and terminals. The spinal cord tissues were dipped in sucrose for at least 24 hours, and 40 μm -thick parasagittal sections were cut with a cryostat. The sections were permeabilized with 50% ethanol for 30 min, blocked with 10% normal donkey serum for 30 min, and incubated overnight in a mixture of the primary antibodies; GFAP (Rabbit anti-cow-GFAP, 1:2000, DAKO, Glostrup, Denmark) and human Tau protein (mouse anti-human Tau protein, 1:100, Abcam, Cambridgeshire, UK) or MAP2 (mouse anti-MAP2, 1:1000, Chemicon, Temecula, CA, USA) and synaptophysin (rabbit anti-synaptophysin, 1:200, Zymed, South San Francisco, CA, USA). The secondary antibodies (FITC- or Cy3-conjugated anti-rabbit or anti-mouse raised in donkey, 1:200; Jackson Immunoresearch, West Grove, PA, USA) were applied for 3 hours. The

sections were coverslipped and examined by confocal microscopy (Olympus FV500, Olympus, Tokyo, Japan). The double fluorescent images were saved in TIFF format, and the contrast and brightness were adjusted using the Adobe Photoshop software (v. 8, Adobe, San Jose, CA, USA). The final plates were composed using Corel Draw (v. 10, Ontario, Canada).

III. RESULTS

1. Behavioral assessment

The hind limb locomotor performance was tested in all the rats using the BBB open-field scale.⁹ Prior to transplantation, the BBB tests were performed on all the animals at 1 day, 4 days, and 7 days after a SCI. The animals with a low score and equally malfunctioned hind limbs were selected for the experiments. The media-treated group (n=12) scored very low for both legs 1 day after the injury. The score gradually increased to 9 weeks after the injury (Fig. 1) (Table 1 and 2). The 2×10^4 hES cell-transplanted group (n=12) showed a significantly improved left (Fig. 1A) (Table 1) and right hind limb (Fig. 1B) (Table 2) performance after the transplant compared with the media-treated group ($p < 0.05$). However, The 5×10^3 hES cell-transplanted group (n=12) tended to show an increased hind limb performance after the transplant when compared with the media-treated group, but the difference was not significant except for the left hind limb at 5 weeks after transplantation.

2. Electrophysiological study

At 8 weeks after transplantation, the electrophysiological evaluation, including somatosensory evoked potentials (SSEP) and motor evoked potentials (MEP), was performed in the media-treated group (n=33) and the 2×10^4 hES cell-transplanted group (n=29). However electrophysiological study was not performed in the 5×10^3 hES cell-transplanted group because there was no

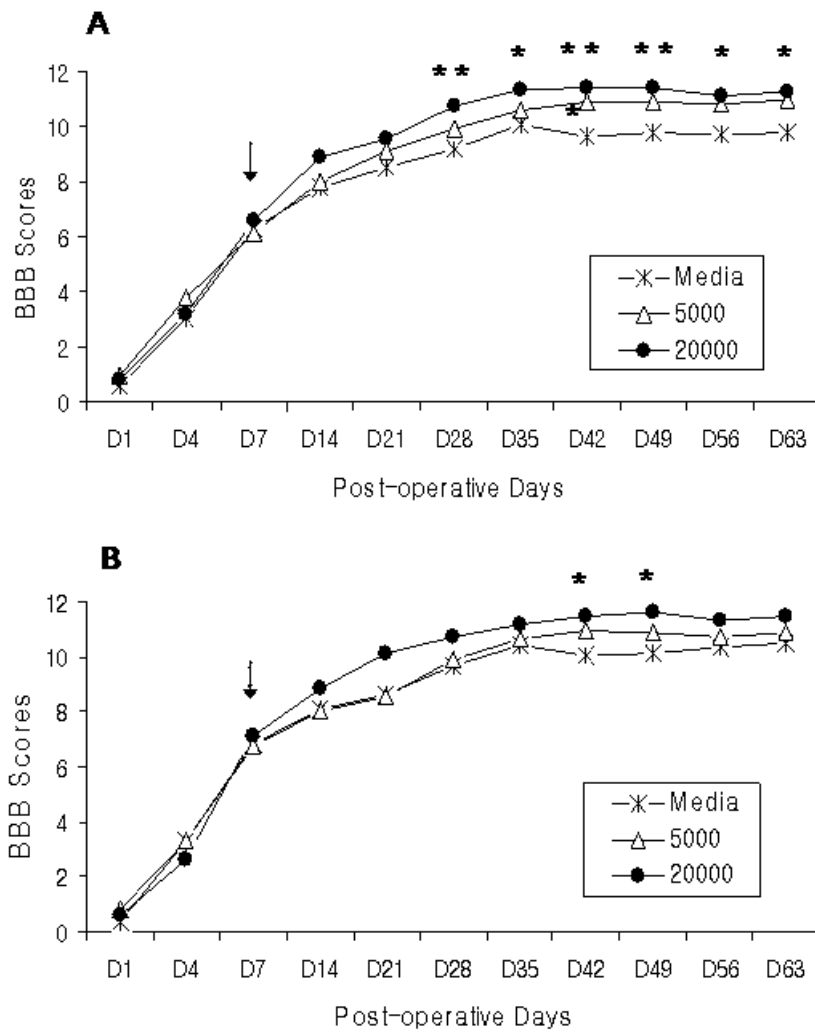


Fig. 1. BBB scores of the SCI rats before and after transplantation. The hES cells transplantation at 7 days p.o. significantly improved the hind limb performance in both legs after transplantation. A: BBB score of the left leg. B: BBB score of the right leg. Arrow (\downarrow) indicates the transplantation point. The asterisks (*) indicate a statistically significant difference between the media-treated group and the hES cell-transplanted groups using an one-way ANOVA followed by Dunnet's multiple comparison test at each time point (* $p < 0.05$, ** $p < 0.01$).

Table 1. BBB scores of the left leg in the SCI rats

Days after SCI	Media-treated group	5×10 ³ hES cell-transplanted group	2×10 ⁴ hES cell-transplanted group
1 day	0.44 ± 0.12	0.69 ± 0.17	0.66 ± 0.22
4 day	2.69 ± 0.20	2.90 ± 0.26	2.83 ± 0.21
7 day	6.70 ± 0.25	6.85 ± 0.26	7.18 ± 0.28
2 week	8.44 ± 0.41	8.38 ± 0.41	8.96 ± 0.50
3 week	8.83 ± 0.55	8.97 ± 0.55	9.73 ± 0.39
4 week	9.75 ± 0.29	10.44 ± 0.34	10.20 ± 0.18**
5 week	10.55 ± 0.34	10.92 ± 0.36	11.39 ± 0.36*
6 week	10.17 ± 0.47	11.13 ± 0.39*	11.67 ± 0.53**
7 week	10.39 ± 0.45	10.96 ± 0.34	11.52 ± 0.43**
8 week	10.18 ± 0.20	10.55 ± 0.17	10.70 ± 0.58*
9 week	10.33 ± 0.17	10.73 ± 0.25	10.21 ± 0.62*

Values were expressed as mean ± s.e.m.

The asterisks (*) indicate a statistically significant difference between the media-treated group and the hES cell-transplanted groups (*p<0.05, **p<0.01).

significant improvement of hind limb performance in the 5×10³ hES cell-transplanted group, compared to the media-treated group, as mentioned above. In the media-treated group, the MEP and the SSEP recordings were detectable in 16 and 28 rats respectively. And in the 2×10⁴ hES cell-transplanted group, the MEP and the SSEP recordings were detectable in 16 and 21 rats respectively too. The responses of the MEP and the SSEP between the media-treated and the hES cell-transplanted group were analyzed using the chi-square test, but the between-group differences were not statistically significant (p>0.05).

Table 2. BBB scores of the right leg in the SCI rats

Days after SCI	Media-treated group	5×10 ³ hES cell-transplanted group	2×10 ⁴ hES cell-transplanted group
1 day	0.47 ± 0.12	0.81 ± 0.18	0.74 ± 0.21
4 day	2.85 ± 0.19	3.13 ± 0.26	3.34 ± 0.25
7 day	6.59 ± 0.26	6.53 ± 0.28	7.10 ± 0.28
2 week	8.31 ± 0.36	8.27 ± 0.40	8.58 ± 0.37
3 week	9.19 ± 0.25	9.37 ± 0.33	9.40 ± 0.65
4 week	9.79 ± 0.30	10.44 ± 0.34	10.30 ± 0.18
5 week	10.45 ± 0.40	10.83 ± 0.32	11.43 ± 0.37
6 week	9.94 ± 0.47	11.00 ± 0.28	11.68 ± 0.51*
7 week	10.32 ± 0.44	11.04 ± 0.29	11.52 ± 0.50*
8 week	9.90 ± 0.19	10.64 ± 0.17	10.65 ± 0.52
9 week	10.22 ± 0.15	10.86 ± 0.25	10.43 ± 0.48

Values were expressed as mean ± s.e.m.

The asterisks (*) indicate a statistically significant difference between the media-treated group and the 2×10⁴ hES cell-transplanted group (*p<0.05).

Figure 2 shows representative wave form of the SSEP, a negative-positive-negative potential (an upward deflection was designated as negative). The wave of the MEP had the same pattern as the SSEP too (Fig 3). However the MEP in the each group consisted of only a few peaks above the detection level of 1 uv, on the other hand, the SSEP consisted of distinctive peaks with larger amplitudes than the MEP. The latencies of each evoked potentials were measured from the onset of the initial rising phase from the baseline (initial), the peak of the first negative deflection (N1) and the peak of the first positive deflection (P1). The amplitudes were measured also from the

peak of the first negative deflection from the baseline (negative peak amplitude) and the distance between the peak of the first negative and positive deflection (peak to peak amplitude).

The initial and P1 latency of the MEP and the SSEP in the hES cell-transplanted group were significantly shortened when compared to the media-treated group ($p < 0.05$) (Fig. 4) (Table 3 and 4). In addition, the hES cell-transplanted group showed a significant increase in amplitudes of the MEP and the SSEP when compared with the media-treated group ($p < 0.05$) (Fig. 5) (Table 5 and 6).

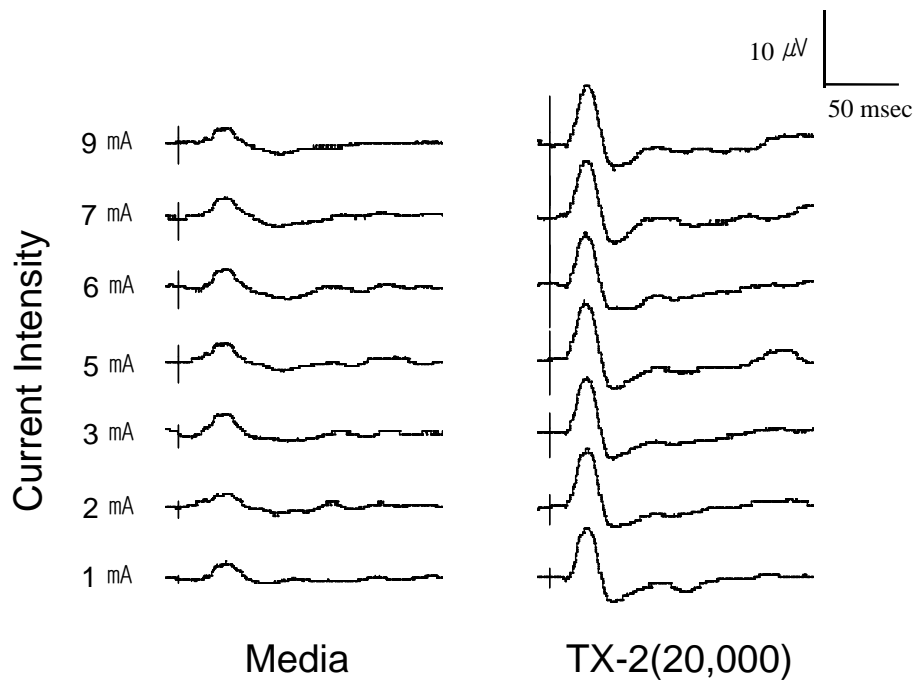


Fig. 2. Representative somatosensory evoked potentials (SSEP) recorded in the media-treated group and the hES cell-transplanted group. Media: the media-treated group, TX-2: the 2×10^4 hES cell-transplanted group.

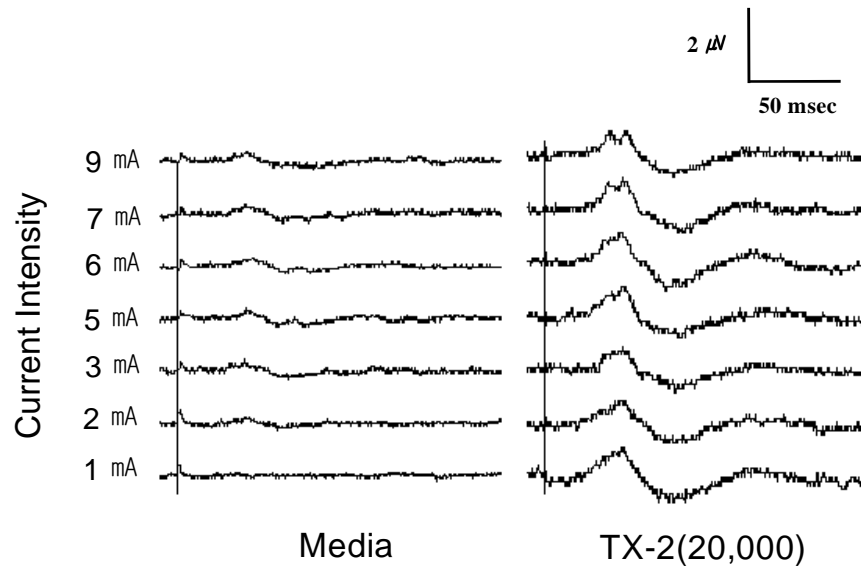


Fig. 3. Representative motor evoked potentials (MEP) recorded in the media-treated group and the hES cell-transplanted group. Media: the media-treated group, TX-2: the 2×10^4 hES cell-transplanted group.

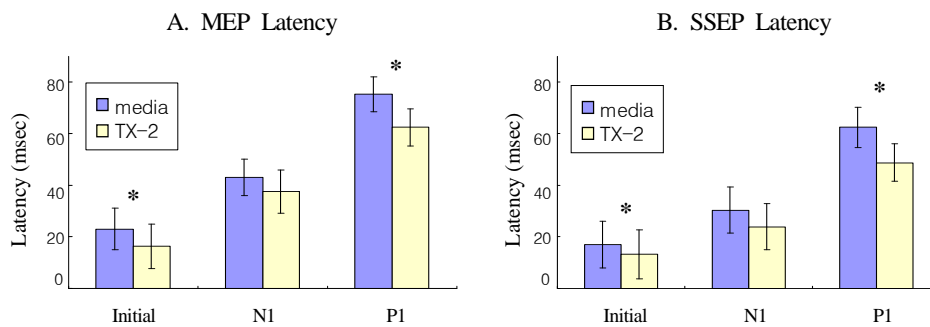


Fig. 4. Electrophysiological changes in latencies after spinal cord injury and subsequent transplantation of hES cells. Values were expressed as mean \pm s.e.m (msec). A. MEP latency, B. SSEP latency (media: the media-treated group, TX-2: the 2×10^4 hES cell-transplanted group, Initial: latency of the onset of the initial rising phase from the baseline, N1: latency of the peak of the first negative deflection, P1: latency of the peak of the first positive deflection). The asterisks (*) indicate a statistically significant difference between the media-treated group and the hES cell-transplanted group using a student's t-test (* $p < 0.05$).

Table 3. Comparison in latencies of the MEP between the media-treated and the 2×10^4 hES cell-transplanted group

MEP latency	Media-treated group	2×10^4 hES cell-transplanted group
Initial ¹	22.96 ± 8.03	16.34 ± 8.58*
N1 ²	43.10 ± 7.11	37.58 ± 8.41
P1 ³	75.25 ± 6.76	62.41 ± 7.21*

Values were expressed as mean ± s.e.m (msec). 1: latency of the onset of the initial rising phase from the baseline, 2: latency of the peak of the first negative deflection, 3: latency of the peak of the first positive deflection. The asterisk (*) indicates a statistically significant difference between the media-treated and the hES cell-transplanted group using a student's t-test (*p<0.05).

Table 4. Comparison in latencies of the SSEP between the media-treated and the 2×10^4 hES cell-transplanted group

SSEP latency	Media-treated group	2×10^4 hES cell-transplanted group
Initial ¹	16.90 ± 9.10	13.18 ± 9.43*
N1 ²	30.42 ± 8.82	23.85 ± 8.96
P1 ³	62.44 ± 7.78	48.71 ± 7.23*

Values were expressed as mean ± s.e.m (msec). 1: latency of the onset of the initial rising phase from the baseline, 2: latency of the peak of the first negative deflection, 3: latency of the onset of peak of the first positive deflection. The asterisks (*) indicate a statistically significant difference between the media-treated and the hES cell-transplanted group using a student's t-test (*p<0.05).

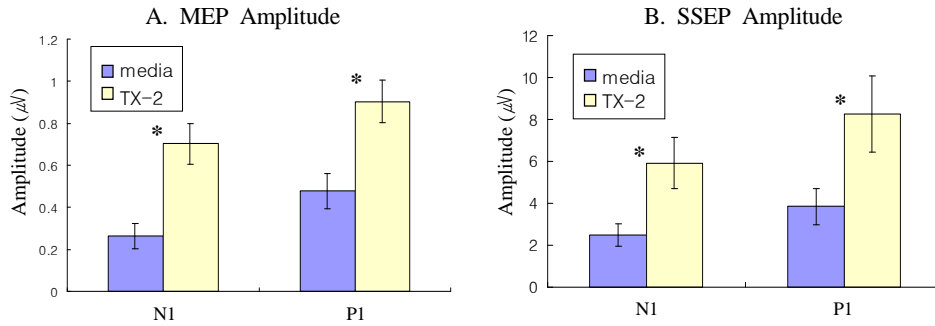


Fig. 5. Electrophysiological changes in amplitudes after spinal cord injury and subsequent transplantation of hES cells. Values were expressed as mean \pm s.e.m (μV). A. MEP amplitudes, B. SSEP amplitudes (media: the media-treated group, TX-2: the 2×10^4 hES cell-transplanted group, N1: amplitude of the peak of the first negative deflection from the baseline, P1: amplitude between the peak of the first negative and positive deflection). The asterisks (*) indicate a statistically significant difference between the media-treated and the hES cell-transplanted group using a student's t-test (* $p < 0.05$).

Table 5. Comparison in amplitudes of the MEP between the media-treated and the 2×10^4 hES cell-transplanted group

MEP amplitude	Media-treated group	2×10^4 hES cell-transplanted group
Negative peak amplitude ¹	0.26 ± 0.06	$0.70 \pm 0.10^*$
Peak to peak amplitude ²	0.48 ± 0.08	$0.90 \pm 0.10^*$

Values were expressed as mean \pm s.e.m (μV). 1: amplitude of the peak of the first negative deflection from the baseline, 2: amplitude between the peak of the first negative and positive deflection. The asterisks (*) indicate a statistically significant difference between the media-treated and the hES cell-transplanted group using a student's t-test (* $p < 0.05$).

Table 6. Comparison in amplitudes of the SSEP between the media-treated and the 2×10^4 hES cell-transplanted group

SSEP amplitude	Media-treated group	2×10^4 hES cell-transplanted group
Negative peak amplitude ¹	2.49 ± 0.54	5.91 ± 1.22*
Peak to peak amplitude ²	3.85 ± 1.22	8.26 ± 1.83*

Values were expressed as mean ± s.e.m (µV). 1: amplitude of the peak of the first negative deflection from the baseline, 2: amplitude between the peak of the first negative and positive deflection. The asterisks (*) indicate a statistically significant difference between the media-treated and the hES cell-transplanted group using a student's t-test (*p<0.05).

3. Histological examination and immunohistochemistry

In the low power view of the spinal cord stained with Luxol fast blue and cresyl violet, the typical pathological findings of the SCI were observed in both the media- and hES cell-transplanted groups at 8 weeks after transplantation. However, the spinal cord of the media-treated group showed more extensive cavity formation, tissue necrosis, gliosis along the rostrocaudal extent and severe demyelination of the white matter in the ventral funiculus (Fig. 6A and B). In the high power view of the dorsal horns in the vicinity of the necrotic cavity, necrotic cells, glial nuclei and inflammatory cells were predominant in the media-treated group. However, these pathological findings were significantly attenuated and there was no evidence of tumor formation in the hES cell-treated group (Fig. 6C and D). In double labeling immunohistochemistry for human Tau and GFAP, the glial scars heavily stained for GFAP were concentrated at the margin of the necrotic cavity. No

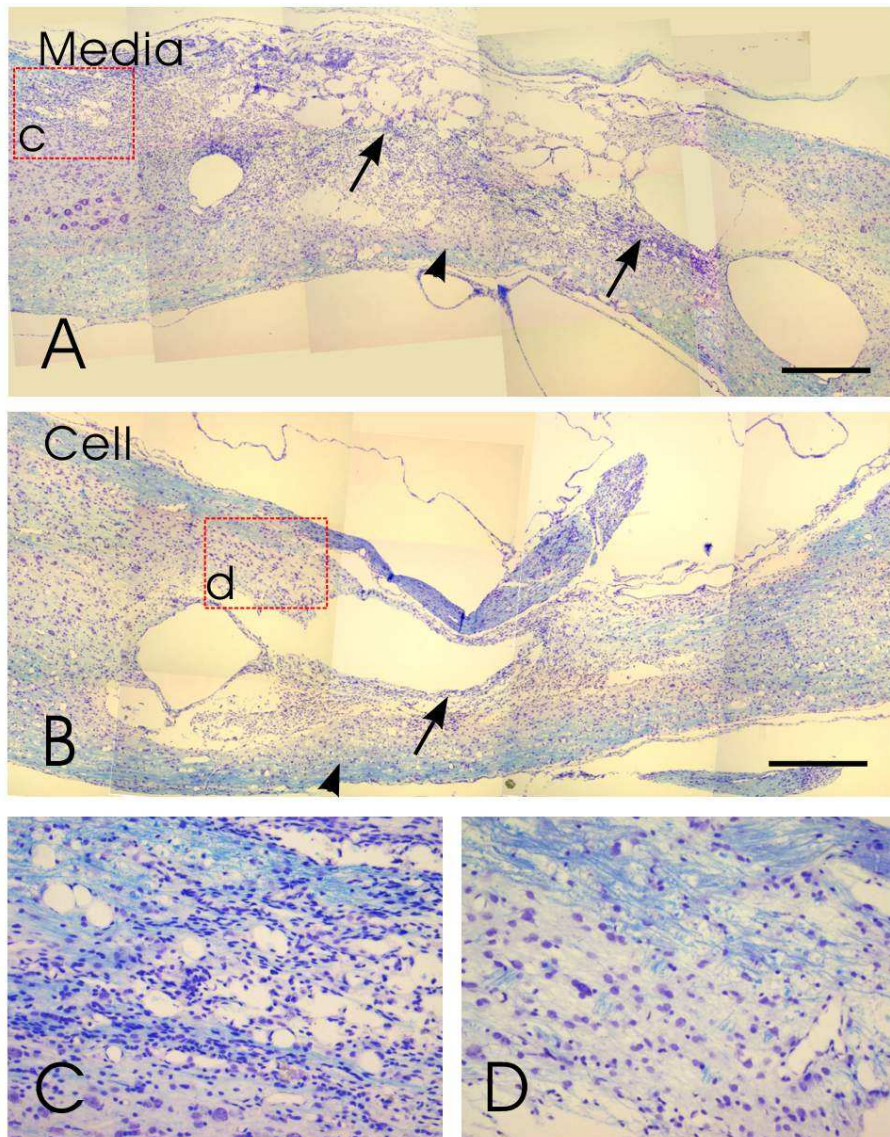


Fig. 6. Luxol fast blue cresyl violet stain of the spinal cords (parasagittal section) at 8 weeks after the transplantation of hES cells. A and B: Low power view of the spinal cord of the media-treated (Media) (A) and the 2×10^4 hES cell-transplanted (Cell) animals (B). Extensive tissue necrosis, glial scar formation (arrows) and demyelination (arrowheads) were observed in the spinal (continued)

cord of the media-treated group, and these findings were attenuated in the spinal cords of the hES cell-transplanted group. The boxed areas (c, d) are magnified in C and D. C and D: High power view of the areas corresponding to the dorsal horn at the margin of the necrotic cavity. Neuronal necrosis and glial scar formation were predominant in the dorsal horn of the media-treated group (C) but was not evident in that of the hES cell-transplanted group (D). Scale bars= 500 μ m.

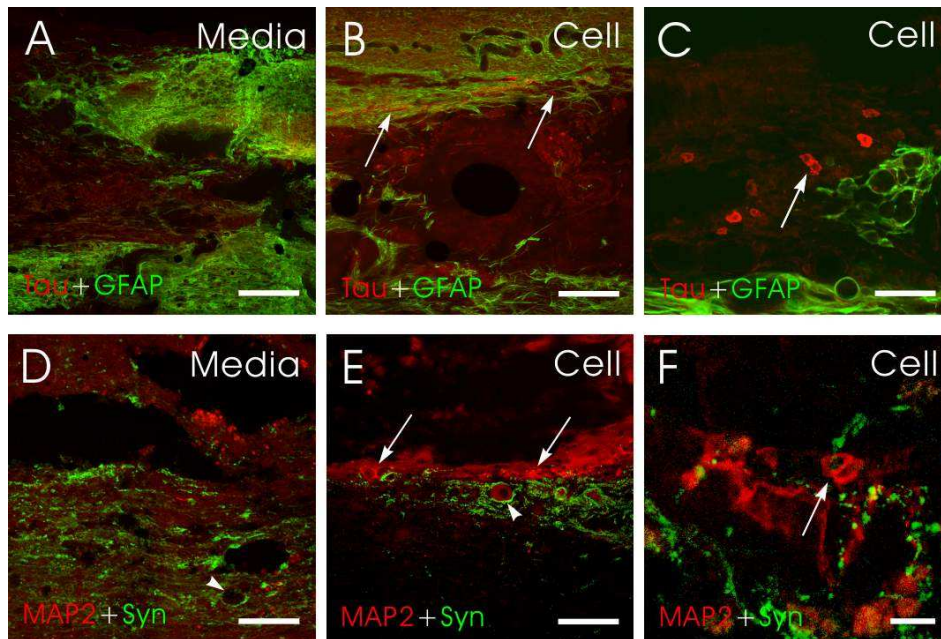


Fig. 7. Immunohistochemistry in the spinal cords 8 weeks after transplantation. A-C: Confocal microscopy of the double immunofluorescence for GFAP and human Tau protein (Tau) in the spinal cord of the media-treated (Media) (A) and the 2×10^4 hES cell-treated (Cell) rats (B and C) 8 week after transplantation. The cells positive for the human Tau proteins were scattered in the cavity and margin of the glial scar of the host spinal cord in the hES cell-treated rats (arrows in B). In the high power view, the Tau protein-positive cells (arrow in C) were round to ovoid in shape. D-F: Double labeling for MAP2 and synaptophysin (Syn) in the spinal cord of the media-treated (D) and the 2×10^4 hES cell-treated (E and F) rats. In the media-treated group, the (continued)

MAP2-positive neurons (arrowheads) were lost and the surrounding synaptic terminals were clumped in the vicinity of the cavity (D), but those were relative relatively spared in the hES cell-treated rats (E). In this animal, a number of cells at the margin of the cavity were strongly stained for MAP2 (arrows in E and F) and these cells were intermixed with the varicosities stained for synaptophysin (F). Scale bars= 100 μm in A, B, D, and E; 25 μm in C; 10 μm in F.

Tau protein-positive cells were observed in the spinal cords of the media-treated group (Fig. 7A). On the other hand, in the spinal cord of the hES cell-treated group, a few cells positive for the Tau protein were scattered in the necrotic cavity, the spinal gray matter adjacent to the cavity, or in the white matter (Fig. 7B). These Tau-positive cells were round or ovoid-shaped, and the stain was in the cytoplasm, sparing the nuclei (Fig. 7C). In the double stain for MAP2 and synaptophysin, while most of the neurons were lost and the terminals were clumped in the media-treated rats (Fig. 7D), the anterior horn cells that were positive for MAP2 along with the surrounding terminals in the vicinity of the cavity were spared in the 2×10^4 hES cell-treated rats (Fig. 7E). In the margin of the cavity in the spinal cord of the hES cell-treated rats, cells with a strong immunoreaction for MAP2 were observed, and these cells were intermixed with varicosities positive for synaptophysin (Fig. 7E and F).

IV. DISCUSSION

Traumatic spinal cord injuries in animals give rise to lesions that are similar to those observed in human SCI. These animals show functional deficits after a SCI resulting from damage to the axons, the loss of neurons and glia, and demyelination.

The results in this study showed that a hES cell transplant significantly improves the locomotion recovery in animals with an acute SCI. In particular, the hind limb performance improved greatly in the 2×10^4 hES cell-transplanted group, compared with the media-treated group. To our knowledge, this is the first study to demonstrate the functional recovery after the transplant of hES cells in spinal cord injured rats. Histologically, the transplantation also attenuated the demyelination and glial scar formation after the SCI. Some cells positive for the human Tau protein were observed 8 weeks after the transplant even though the number was not great. These results suggest that hES cells are effective in the functional recovery after being transplanted in spinal cord injured rats compared with the media-treated rats.

There have been a number of studies that have reported the improvement in the functional recovery after the transplantation in spinal cord injured animals. For example, Zurita et al.¹⁰ reported a case of a functional recovery in a chronic model using the transplantation of bone marrow stromal cells 3 months after the SCI. They reported that the BBB score showed a significant increase at 4 weeks after the transplant. Similarly, it was previously shown that the hind limb performance was significantly improved 28 days after transplanting oligodendrocyte precursor cells.¹¹ In a related study, Groves et

al.¹² examined the ability of O-2A remyelination in a demyelinated spinal cord by an X-irradiation. It was shown that in rats, mouse embryonic stem cells differentiate into oligodendrocytes, astrocytes and neurons, and the transplantation of mouse embryonic stem cells promotes the functional recovery after transplantation in spinal cord injured rats.⁵ Therefore, the transplantation of stem or precursor cells might be effective in the functional recovery after a SCI.

In the present study, a morphological study was performed to examine the survival and differentiation of the transplanted hES cells, which might contribute to the functional and morphological improvement. The results showed that the transplantation of hES cells attenuated glial scar formation and demyelination. This suggests that the functional improvement in this study might be due to the prevention of further degenerative changes or the facilitation of a self regeneration processes rather than to the neuronal differentiation of the transplanted cells. A glial scar is known to be a major cause of functional impairment after a SCI, where a functional deficit is largely due to an interruption in the long ascending and descending tracts rather than to the degeneration of the local neurons.^{13,14} The inhibition of the glial components, for example, proteoglycans, which implicate neuronal outgrowth, can promote the functional recovery after a SCI.¹⁵ The results of double labeling with MAP2 and synaptophysin showed that the neurons and synaptic terminals around the cavity were spared after transplantation. Recently, it was suggested that the host structures may benefit not only by the replacement of lost cells but also from the "chaperone" effect with neuroprotective substances expressed by stem cells.¹⁶ On the other hand, cells positive for the human Tau protein were

observed in some animals transplanted with 2×10^4 hES cells. However, only a small number of Tau-positive cells were observed in these animals, suggesting that the survival rate of the hES cells transplanted in the injured spinal cord was very low.

The possibility that the transplanted cells might also differentiate into neuroglial cells including oligodendrocytes, which play a critical role in remyelination, could not be excluded because the antibodies specific to glial cells of human origin was unavailable. Double labeling with human nuclear antigen (HNA) with other glial markers was attempted, but the antibody for HNA showed nonspecific binding to the degenerating cells (data not shown).

In the electrophysiological evaluation of the present study, the latencies of motor and somatosensory evoked potentials in the transplanted rats were significantly shortened compared to the media-treated rats. In addition, the hES cell-transplanted group showed an increased amplitudes when compared with the media-treated group. In 2001, Akiyama et al.⁴ examined the myelin repair potential of transplanted neural precursor cells derived from the adult human brain from tissue removed during surgery. They also suggested that transplantation of these cells into the demyelinated rat spinal cord resulted in extensive remyelination and the remyelinated axons conducted impulses at near normal latencies and conduction velocities. Recently, Bambakidis et al.¹¹ reported that MEP recordings revealed a strong trend towards significant improvement in the latencies after transplanting oligodendrocyte precursors compared with controls. On the basis of such a prior studies, it seems quite likely that much of the electrophysiological findings in the present study can be explained by activity of the hES cell-derived oligodendrocytes in enhancing

myelination or prevention of demyelination, rather than the replacement of lost neuron or axonal regeneration. This is consistent with the histological findings in this study

Embryonic stem cells are derived directly from early embryos and are pluripotent, indicating that they are individually capable of giving rise to derivatives of each of the three primary germ layers and to germ cells. Embryonic stem cells retain the characteristics of the embryo founder cells, even after a prolonged culture and extensive manipulation.¹⁷ Because the cells can be cultured in vitro, there is a greater degree of control over their growth.¹⁸ In order to manipulate the cellular behavior, native or artificial factors can also be used. In addition, hES cells have been shown to differentiate into reasonable numbers of neural derivatives.¹⁹ Therefore, under these conditions, the transplantation of hES cells may be one of the promising cell replacement therapies for a human SCI. However further studies are necessary to identify the special stain for glial cells of human origin and quantify surviving and differentiated neural cells from the transplanted hES cells by cell counting. And additional long-term studies will be needed not only in animal models but in a human SCI.

V. CONCLUSIONS

This study showed that transplantation of hES cells into the injured rat spinal cord improved the hind limb weight support, shortened latencies and increased amplitudes of the evoked potentials and attenuated the glial scar formation and degenerative changes. In addition, some of the transplanted hES cells survived and differentiated into neurons. These results suggest that the transplantation of hES cells plays a role in promoting functional recovery after a SCI, and is one of the important candidates for future cell replacement therapies for a human SCI.

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ABSTRACT (IN KOREAN)

척수손상 쥐 모델에서 인간 배아 줄기세포의 이식이
기능적 회복에 미치는 효과

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척수손상 후, 손상 부위에 인간 배아 줄기세포를 이식하여 신경학적 기능회복이 일어나는지를 알아보기로 본 연구를 시행하였다. 이를 위하여 Sprague-Dawley 흰쥐를 사용하여 척수손상 동물 모델을 제작하였다. Sodium pentobarbital을 복강 내 주입하여 마취시킨 후, 제 9 척추 부위의 후궁절제술을 시행하였고 NYU impactor 기구를 사용하여 노출된 척수부위에 impact rod를 낙하시켜 척수 손상을 발생시켰다. 척수손상 동물 모델을 세 군으로 나누어 두 군은 인간 배아 줄기세포 이식군, 나머지 한 군은 대조군으로 하였다. 손상 1주일 경과 후, 두 이식군에는 손상부위에 각각 $5 \times 10^3/5\mu\text{l}$, $2 \times 10^4/5\mu\text{l}$ 양의 인간 배아 줄기세포를 이식하였고 대조군에는 culture media만을 주입하였다. 척수 손상 후 모든 실험동물을 주 1회 행동관찰을 실시하였고 9주째에 전기생리학적 평가와 척수의 조직 검사를 실시하였다. 그 결과 줄기세포 이식군에서 hind limb 의 운동수행능력의 향상을 관찰할 수 있었고 유발전위의 잠시가 통계학적으로 유의하게 감소되었고 진폭도 유의하게 증가하였다. 또한 조직학적 검사 상, glial scar와 탈수

초화 현상이 감소되었고 줄기세포를 이식한 척수손상부위에서 human Tau 단백질 양성인 세포가 관찰되었다.

이러한 결과로, 인간 배아 줄기세포의 이식은 척수 손상 쥐 모델의 기능회복에 중요한 역할을 한 것으로 생각되며 향후 인간 배아 줄기세포의 이식은 척수손상환자에서 세포 치료법의 하나로 중요한 역할을 하리라 생각된다.

핵심되는 말 : 척수손상, 인간 배아 줄기세포, 이식, 기능 회복